

# Towards Bit-Width-Independent Proofs in SMT Solvers

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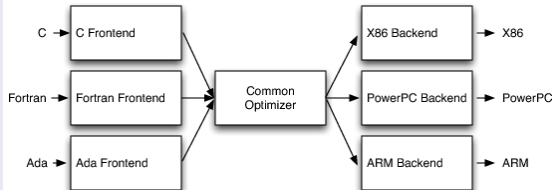
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# Why Bit-width Independence?

## LLVM [Image from Lattner 2012]



## Alive [Lopes et al. 2015]

Language + tool for:

- Writing optimizations
- **Verifying them**
- Generating code

```
1 Name: AndOrXor:1733
2 %cmp1 = icmp ne %A, 0
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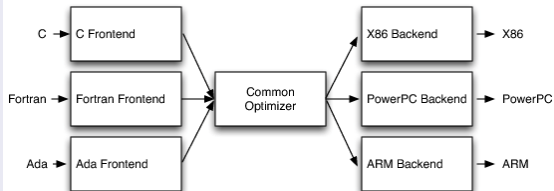


$$(A \neq 0 \vee B \neq 0) \Leftrightarrow (A | B \neq 0)$$

Alive proves validity up to a **certain** bit-width

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$$(A \neq 0 \vee B \neq 0) \Leftrightarrow (A | B \neq 0)$$

Our Goal: proving validity for **every** bit-width

Goal:  
proving validity for **every** bit-width

Express



Solve



Examples



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Examples



## Fixed-width Bit-vectors

- Many-sorted First-order Logic
- Sorts:  $\mathbf{BV}[1], \mathbf{BV}[2], \dots$
- Sorted equality, functions, predicates
- Used in SMT-LIB 2

$$(x \neq_3 000 \vee y \neq_3 000) \Leftrightarrow (x \mid_3 y \neq_3 000)$$

## Arbitrary-width Bit-vectors

- Variables range over bit-vectors of arbitrary width
- Bit-width can be quantified
- Many-sorted first-order logic does not seem like a natural fit

$$\forall k.(x \neq_k 0\dots 0 \vee y \neq_k 0\dots 0) \Leftrightarrow (x \mid_k y \neq_k 0\dots 0)$$

# Language for Bit-vectors of Parametric Width

## Language

- **Unsorted** functions & predicates
- Bit-vector variables:  $X = \{x_0, x_1, \dots\}$
- Bit-vector constants:  $C = \{c_0, c_1, \dots\}$

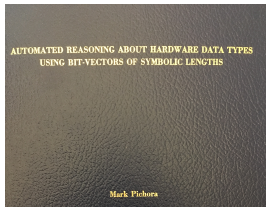
$$(x_1 \neq c_0 \vee x_2 \neq c_0) \Leftrightarrow (x_1 | x_2 \neq c_0)$$

## Auxiliary Maps

$$t(N) = \{0, n + m, \dots\}$$

- $\omega^b : X \cup C \rightarrow t(N)$  **symbolic bit-width**
- $\omega^N : C \rightarrow t(N)$  **symbolic value**

- **Validity:** always w.r.t. a given  $\omega$
- considering all integer interpretations
- Variant of [Pichora 2003]



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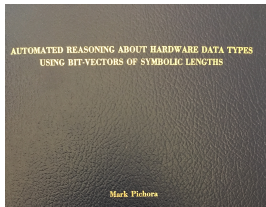
$$\omega^b(x_1) = \omega^b(x_2) = \omega^b(c_0) = k$$
$$\omega^N(c_0) = 0$$

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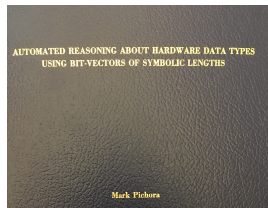
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**Bad  $\omega$**

$$\omega^b(x_1) = k, \omega^b(x_2) = k + 1$$



Goal:  
proving validity for **every** bit-width

Express



Solve



Examples



# Solving Bit-vector Formulas with Parametric Width

## Possibilities

- Bit-blasting (infinite SAT-instance)
- Specialized solver
- Translation to strings
- **Translation to integers**

## From Bit-vectors to Integers

- Semantics for many operators is already built-in (exceptions:  $\&$ ,  $|$ ,  $\dots$ )
- Benefit from advancements in integer-solving

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# Translation

*Tr:*      *BV*       $\mapsto$       *NIA*

$x$              $\mapsto$      $x$   
 $c$              $\mapsto$      $\omega^N(c) \bmod 2^k$   
 $k = \omega^b(x)$

$=$              $\mapsto$      $=$   
 $x <_u y$        $\mapsto$      $x < y$   
 $x <_s y$        $\mapsto$      $to\_s(k, x) < to\_s(k, y)$

$x + y$        $\mapsto$      $(x + y) \bmod 2^k$   
 $x \ll y$        $\mapsto$      $(x \cdot 2^y) \bmod 2^k$

$\cdot, \text{div}, \text{mod}, \sim, -, \gg, \circ$  are handled similarly

$x \& y$        $\mapsto$      $\sum_{i=0}^k 2^i \cdot \min(x[i], y[i])$   
 $x | y$        $\mapsto$      $\sum_{i=0}^k 2^i \cdot \max(x[i], y[i])$   
 $x \oplus y$        $\mapsto$      $\sum_{i=0}^k 2^i \cdot |x[i] - y[i]|$

$\varphi$              $\mapsto$      $Tr(\varphi)$

# Translation

*Tr:*    *BV*     $\mapsto$     *NIA*

$$\begin{aligned}x &\mapsto x \\c &\mapsto \omega^N(c) \bmod 2^k \\k = \omega^b(x)\end{aligned}$$

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$$\varphi \mapsto Tr(\varphi) \wedge \bigwedge (0 \leq x < 2^k)$$

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# Translation

*Tr*: *BV*  $\mapsto$  *UFNIA*

$$x \mapsto x$$

$$c \mapsto \omega^{\mathbb{N}}(c) \bmod p2(k)$$

$$k = \omega^b(x)$$

$$= \mapsto =$$

$$x <_u y \mapsto x < y$$

$$x <_s y \mapsto to\_s(k, x) < to\_s(k, y)$$

$$x + y \mapsto (x + y) \bmod p2(k)$$

$$x \ll y \mapsto (x \cdot p2(y)) \bmod p2(k)$$

$\cdot, \text{div}, \text{mod}, \sim, -, \gg, \circ$  are handled similarly

$$x \& y \mapsto \&^{\mathbb{N}}(k, x, y)$$

$$x | y \mapsto |^{\mathbb{N}}(k, x, y)$$

$$x \oplus y \mapsto \oplus^{\mathbb{N}}(k, x, y)$$

$$\varphi \mapsto \text{Tr}(\varphi) \wedge \bigwedge (0 \leq x < p2(k)) \wedge \text{Axioms}$$



# Axiomatizations

$$\varphi \quad \mapsto \quad \text{Tr}(\varphi) \wedge \bigwedge (0 \leq x < p2(k)) \wedge \text{Axioms}(p2, \&^{\mathbb{N}}, |^{\mathbb{N}}, \oplus^{\mathbb{N}})$$

## Axiomatization Modes

- full
- partial
- combined
- qf

# Axiomatizations

$$\varphi \quad \mapsto \quad \text{Tr}(\varphi) \wedge \bigwedge (0 \leq x < p2(k)) \wedge \text{Axioms}(p2, \&^{\mathbb{N}}, |\mathbb{N}, \oplus^{\mathbb{N}})$$

Axiomatization Mode: full

power of two

$$p2(0) = 1 \wedge \forall k. k > 0 \Rightarrow p2(k) = 2 \cdot p2(k - 1)$$

bit-wise and

$$\forall k, x, y.$$
$$k = 1 \Rightarrow \&^{\mathbb{N}}(k, x, y) = \min(x[0], y[0]) \wedge$$
$$k > 1 \Rightarrow \&^{\mathbb{N}}(k, x, y) = \&^{\mathbb{N}}(k - 1, x[k - 2 : 0], y[k - 2 : 0]) +$$
$$p2(k - 1) \cdot \min(x[k - 1], y[k - 1])$$

bit-extraction

$$x[k - 2 : 0] \quad := \quad x \bmod p2(k - 1)$$
$$x[k - 1] \quad := \quad (x \div p2(k - 1)) \bmod 2$$
$$x[0] \quad := \quad x \bmod 2$$

# Axiomatizations

$$\varphi \mapsto \text{Tr}(\varphi) \wedge \bigwedge (0 \leq x < p2(k)) \wedge \text{Axioms}(p2, \&^{\mathbb{N}}, |\mathbb{N}, \oplus^{\mathbb{N}})$$

Axiomatization Mode: partial

base cases

$$p2(0) = 1 \wedge p2(1) = 2 \wedge p2(2) = 4 \wedge p2(3) = 8$$

weak monotonicity

$$\forall i \forall j. i \leq j \Rightarrow p2(i) \leq p2(j)$$

strong monotonicity

$$\forall i \forall j. i < j \Rightarrow p2(i) < p2(j)$$

modularity

$$\forall i \forall j \forall x. (x \cdot p2(i)) \bmod p2(j) \neq 0 \Rightarrow i < j$$

never even

$$\forall i \forall x. p2(i) - 1 \neq 2 \cdot x$$

always positive

$$\forall i. p2(i) \geq 1$$

div 0

$$\forall i. i \div p2(i) = 0$$

# Axiomatizations

$$\varphi \quad \mapsto \quad \text{Tr}(\varphi) \quad \wedge \quad \bigwedge (0 \leq x < p2(k)) \quad \wedge \quad \text{Axioms}(p2, \&^N, |\!|^N, \oplus^N)$$

Axiomatization Mode: partial

base case	$\forall x \forall y. \&^N(1, x, y) = \min(x[0], y[0])$
max	$\forall k \forall x. \&^N(k, x, p2(k) - 1) = x$
min	$\forall k \forall x. \&^N(k, x, 0) = 0$
idempotence	$\forall k \forall x. \&^N(k, x, x) = x$
contradiction	$\forall k \forall x. \&^N(k, x, p2(k) - 1 - x) = 0$
symmetry	$\forall k \forall x \forall y. \&^N(k, x, y) = \&^N(k, y, x)$
difference	$\forall k \forall x \forall y \forall z. x \neq y \Rightarrow \&^N(k, x, z) \neq y \vee \&^N(k, y, z) \neq x$
range	$\forall k \forall x \forall y. 0 \leq \&^N(k, x, y) \leq \min(x, y)$

# Axiomatizations

$$\varphi \quad \mapsto \quad \text{Tr}(\varphi) \wedge \bigwedge (0 \leq x < p2(k)) \wedge \text{Axioms}(p2, \&^{\mathbb{N}}, |\mathbb{N}, \oplus^{\mathbb{N}})$$

Axiomatization Mode: combined

combined = full + partial

Axiomatization Mode: qf

qf = some base cases (quantifier free)

# Axiomatizations

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## Correctness

- full and combined translations are sound and complete.
- partial and qf translations are sound

## Effectiveness

- combined > partial > full > qf
- combined and full can be used for a SAT result
- qf can be used with more solvers

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proving validity for **every** bit-width

Express



Solve



Examples

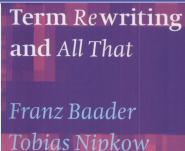


# Case Studies

## 3 Application Domains



Invertibility Conditions



Rewriting Rules



Compiler Optimizations

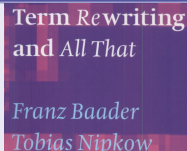


# Case Studies

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## Benchmarks Generation

- Abstracted each set of problems to a parametric bit-width problem
- Translated to integers using the four approaches
- Submitted translations to SMT-LIB

## Evaluation

- Participants of SMT-COMP 2018 UFNIA division: *CVC4*, *Z3*, *Vampire*
- Limits: 5 minutes run-time, 4GB memory
- **Original problems are UNSAT**

# Invertibility Conditions for Bit-vectors [Niemetz et. al 2018]

Invertibility Conditions



Google

An invertibility condition for a literal  $A(x)$  provides the exact conditions under which  $A(x)$  is solvable for  $x$ .

About this result Feedback

## Example

- $true \Leftrightarrow \exists x. x + s = t$
- $(t \neq 0 \vee s \neq 0) \Leftrightarrow \exists x. x \ \& \ s \neq t$

- We translated 160 Invertibility conditions from [Niemetz et. al 2018]
- Excluded conditions that involve  $\circ$
- All were already verified up to 65 bits
- They are used for arbitrary bit-width in CVC4 for quantifier instantiation

# Verifying Invertibility Conditions

Goal: Prove Validity of  $IC \Leftrightarrow \exists x.l[x]$  for every bit-width.

$\Leftarrow$ : Prove that  $\exists x.l[x] \wedge \neg IC$  is UNSAT **QF (modulo axioms)**

$\Rightarrow$ : Quantifier cannot be eliminated in the general case.

## Conditional Inverses

- We used SyGuS to synthesize **conditional inverses**.
- A conditional inverse for  $l[x]$  is a term  $\alpha$  such that  $\exists x.l[x] \Leftrightarrow l[\alpha]$
- $(\Rightarrow')$ :  $IC \Rightarrow l[\alpha]$  **Quantifier Eliminated**.
- We found 131 Conditional inverses.

## Example

$$\begin{aligned} \text{true} &\Leftrightarrow \exists x.x + s = t \\ (t \neq 0 \vee s \neq 0) &\Leftrightarrow \exists x.x \ \& \ s \neq t \end{aligned}$$

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## Example

$$\begin{aligned}(t-s) + s = t &\Leftrightarrow \exists x.x + s = t \\ \sim t \ \& \ s \neq t &\Leftrightarrow \exists x.x \ \& \ s \neq t\end{aligned}$$

# Invertibility Conditions: Results

$\ell[x]$	=	≠	< <sub>u</sub>	> <sub>u</sub>	≤ <sub>u</sub>	≥ <sub>u</sub>	< <sub>s</sub>	> <sub>s</sub>	≤ <sub>s</sub>	≥ <sub>s</sub>
$-x \boxtimes t$	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
$\sim x \boxtimes t$	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
$x \& s \boxtimes t$	→	✓	✓	✓	✓	✓	→	→	✗	→
$x   s \boxtimes t$	→	✓	✓	✓	✓	✓	→	✗	→	✗
$x \ll s \boxtimes t$	→	←	✓	→	✓	→	→	✗	←	✗
$s \ll x \boxtimes t$	✓	✓	✓	✓	✓	✓	←	✓	←	✓
$x \gg s \boxtimes t$	✓	✓	✓	→	✓	✓	✓	→	✓	→
$s \gg x \boxtimes t$	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
$x \ggg_a s \boxtimes t$	✗	✓	✓	✓	✓	✓	→	✓	→	✓
$s \ggg_a x \boxtimes t$	✓	✓	←	←	←	←	←	✗	←	✓
$x + s \boxtimes t$	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
$x \cdot s \boxtimes t$	✗	←	✓	✗	✓	✗	✗	✗	←	✗
$x \text{ div } s \boxtimes t$	✓	✓	✓	✓	✓	←	✓	✓	✓	✓
$s \text{ div } x \boxtimes t$	✓	←	✓	✓	✓	✓	✓	←	✓	←
$x \text{ mod } s \boxtimes t$	✓	✓	✓	✓	✓	✓	✗	✓	←	✓
$s \text{ mod } x \boxtimes t$	→	✓	✓	✓	✓	✓	✓	←	✓	←

- 110 out of 160 invertibility conditions verified for any bit-width
- →: 8 were proved only when using conditional inverses
- qf mode proved 40



11 more conditions were proven in Coq [Ekici et al. 2019]

# Rewriting Rules for Fixed-width Bit-vectors

## Rewriting in Bit-vector Solvers

- Bit-vector formulas are **rewritten** before bit-blasting
- Rewrites are Implemented for arbitrary bit-width
- Their verification is crucial for soundness

Term Rewriting  
and All That

Franz Baader

Tobias Nipkow

## Evaluation

- We synthesized  $\sim 2000$  “Rewrite Candidates”
  - pairs  $\langle A, B \rangle$  of bit-vector formulas/terms that are equivalent for bit-width 4
- Proven rewrites were added as axioms
- Fixpoint was reached after 1 round for formulas and 2 rounds for terms

	Generated	Proved
Formula	435	409
Term	1575	878 (935)

# Compiler Optimizations with Alive



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```

$$(A \neq 0 \vee B \neq 0) \Leftrightarrow (A | B \neq 0)$$

- We translated 160 correctness conditions to UFNIA
- Verified 88 of them for arbitrary bit-width
- combined mode was best, qf mode was very good

## Required axioms

$$\forall k \forall x. |^{\mathbb{N}}(k, 0, x) = x$$

$$\forall k \forall x \forall y. \max(x, y) \leq |^{\mathbb{N}}(k, x, y)$$

# Conclusion

## We Have Seen

- Proving parametric **bit-vector** formulas is useful, and possible!
- Translation to **integers** + **UF** + quantifiers

## Why Is This Possible?

- Advances in **non-linear arithmetic** and quantifier solving
- Features of case studies: Real & Rely on **basic properties**

## Future Work

- Satisfiable Benchmarks
- Stronger **axioms**





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Thank You !

# Many-sorted Logic for Parametric Bit-vectors

## Many-sorted First-order Logic?

- Option 1: One sort for all bit-widths  $0010 + 111 = ?$   $000 \circ 00 \stackrel{?}{=} 0$ 
  - No type-checking  $\Rightarrow$  more errors
- Option 2.0: A sort for every integer term:  
 $\mathbf{BV}[1], \dots, \mathbf{BV}[(2 \cdot k + 3)], \dots$ 
  - Variables of sort  $\mathbf{BV}[2 \cdot k]$  and  $\mathbf{BV}[k + k]$  are not comparable
- Option 2: A sort for every normalized integer term:  
 $\mathbf{BV}[1], \dots, \mathbf{BV}[(2 \cdot k + 3), \dots]$ 
  - $\mathbf{BV}[5]$  and  $\mathbf{BV}[[k]]$  have disjoint domains in all interpretations