

Grammar Formalisms

Yoav Goldberg

(the nice slides are by Julia Hockenmaier)

Recap of last lecture

- **Strong vs weak generative capacity**
- **Why the structure of natural language cannot be modeled by a FSA**

Today's lecture

- **A bit more on context-free grammars**
- **Some formal language theory;
the Chomsky Hierarchy**
- **Bounded and unbounded
non-local dependencies**
- **The Penn Treebank**

CFGs and center embedding

The mouse ate the corn.

The mouse *that the snake ate* ate the corn.

The mouse *that the snake that the hawk ate ate* ate the corn.

....

These sentences are all grammatical.

They can be generated by a CFG:

S	→	NP	VP
NP	→	NP	RelClause
RelClause	→	<i>that</i>	NP <i>ate</i>

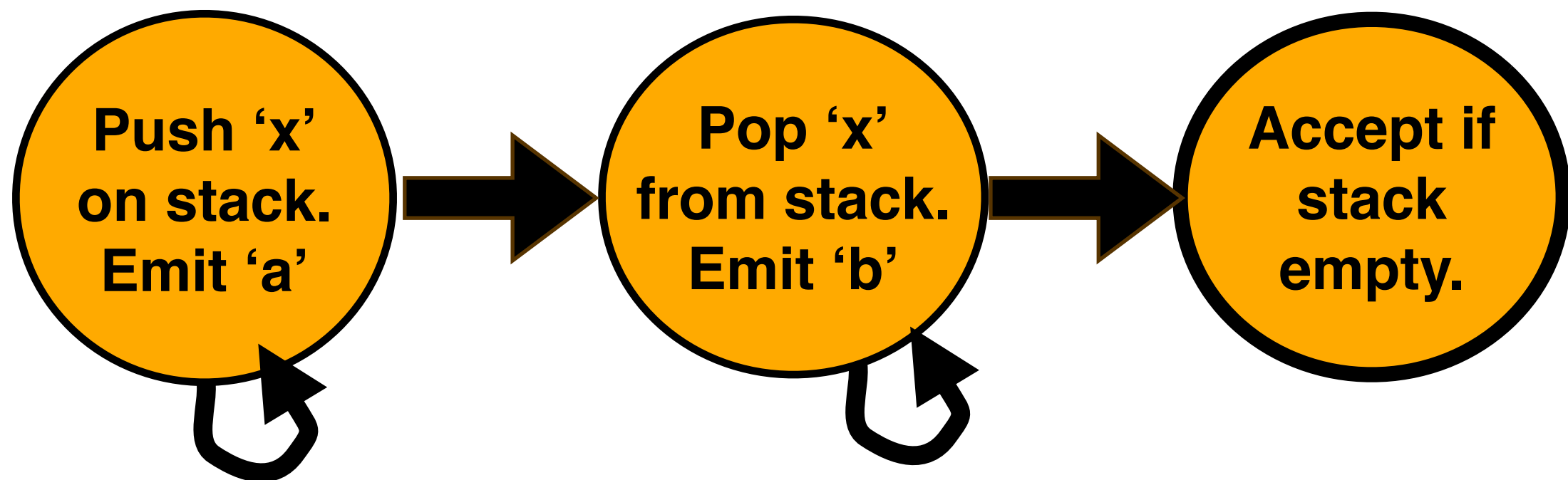
Linguists distinguish between a speaker's

- **competence** (grammatical knowledge) **and**
- **performance** (processing and memory limitations)

CFGs are equivalent to Pushdown automata (PDAs)

PDAs are FSAs with a stack:

Emit a symbol *and* push/pop a symbol from the stack



This is equivalent to the following CFG:

$S \rightarrow a X b$

$X \rightarrow a X b$

$X \rightarrow a b$

Generating $a^n b^n$

1. Push x on stack. Emit a.
2. Push x on stack. Emit a.
3. Push x on stack. Emit a.
4. Push x on stack. Emit a.
5. Pop x off stack. Emit b.
6. Pop x off stack. Emit b.
7. Pop x off stack. Emit b.
8. Pop x off stack. Emit b

Stack:	String:
Stack: x	String: a
Stack: xx	String: aa
Stack: xxx	String: aaa
Stack: xxxx	String: aaaa
Stack: xxx	String: aaab
Stack: xx	String: aaabb
Stack: x	String: aaabbb
Stack:	String: aaabbbb

Center embedding in German

...daß ich [Hans schwimmen] sah

...that I Hans swim saw

...that I saw [Hans swim]

...daß ich [Maria [Hans schwimmen] helfen] sah

...that I Maria Hans swim help saw

...that I saw [Mary help [Hans swim]]

...daß ich [Anna [Maria [Hans schwimmen] helfen] lassen] sah

...that I Anna Maria Hans swim help let saw

...that I saw [Anna let [Mary help [Hans swim]]]

... and in Dutch...

...dat ik **Hans** zag **zwemmen**

...that I Hans saw swim

...that I saw [*Hans swim*]

...dat ik **Maria** **Hans** zag **helpen** **zwemmen**

...that I Maria Hans saw help swim

...that I saw [*Mary help* [*Hans swim*]]

...dat ik **Anna** **Maria** **Hans** zag **laten** **helpen** **zwemmen**

...that I Anna Maria Hans saw let help swim

...that I saw [*Anna let* [*Mary help* [*Hans swim*]]]

Such **cross-serial** dependencies require
mildly context-sensitive grammars

The Chomsky Hierarchy refined

	Language	Automata	Parsing complexity	Dependencies
Type 3	Regular	Finite-state	linear	adjacent words
Type 2	Context-Free	Pushdown	cubic	nested
	Mildly Context-sensitive	Extended Pushdown	polynomial	cross-serial
Type 1	Context-sensitive	Linear Bounded	exponential	
Type 0	Recursively Enumerable	Turing machine		

(we'll return to this later in the course)

Where do we get the grammar from?

- **Write it by hand:**
Coverage and software engineering problems
- **Learn/Induce it (from raw text):**
This doesn't work so well
- **Read it off a treebank:**
Gives statistics as well as coverage.
But: creating treebanks = lots of manual labor.

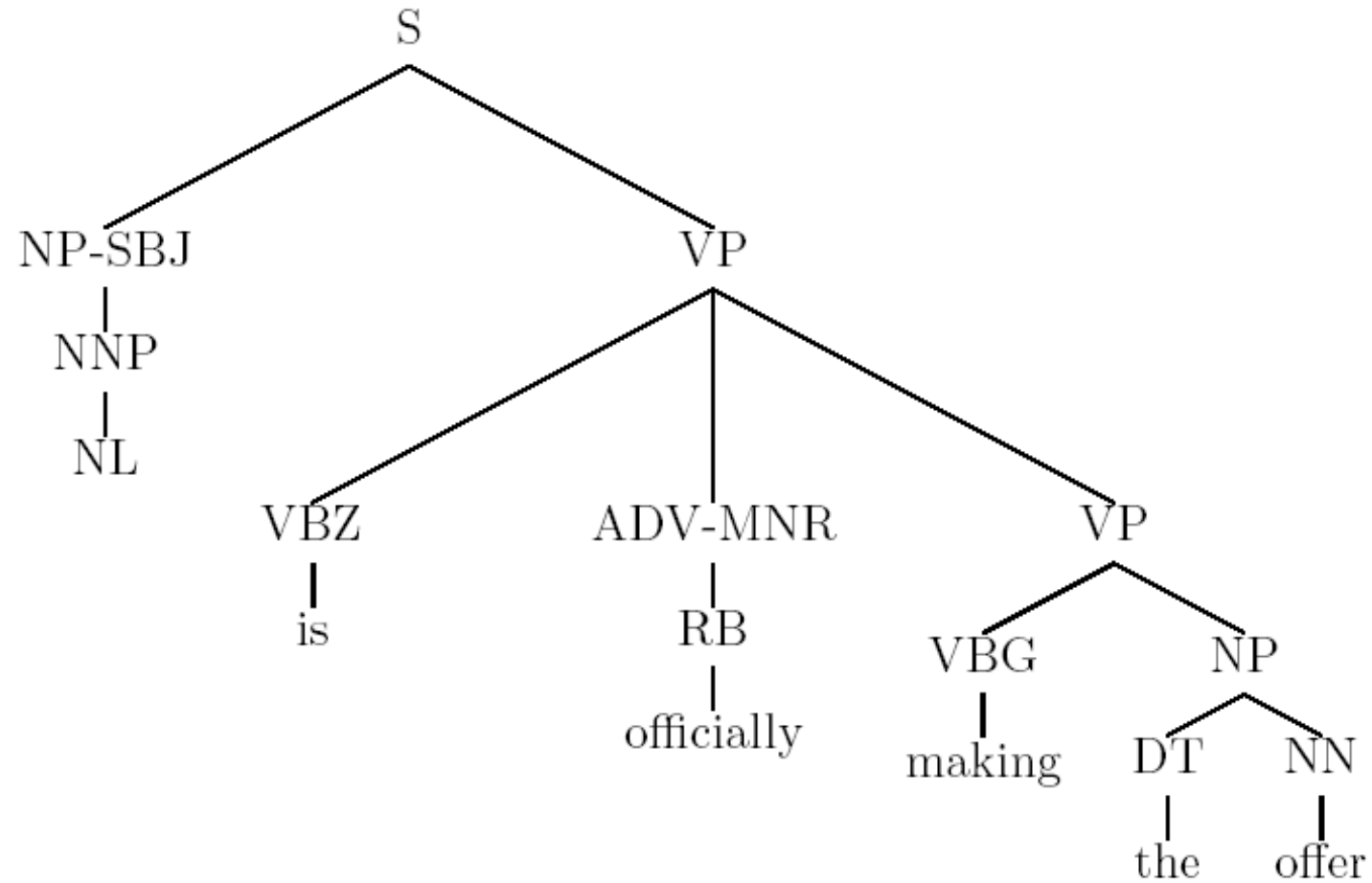
The Penn Treebank

- **The first large syntactically annotated corpus**
 - Wall Street Journal (50,000 sentences, 1 million words)
 - also Switchboard, Brown corpus, ATIS
- **The annotation:**
 - POS-tagged (Ratnaparkhi's MXPOST)
 - Manually annotated with phrase-structure trees
 - Relatively detailed analyses (exception: NPs)
 - *Traces* and other *null elements* used to represent non-local dependencies
 - Designed to allow extraction of predicate-argument structure
- **Standard data set for English parsers**

The Treebank label set

- **48 preterminals (tags):**
 - 36 POS tags, 12 other symbols (punctuation etc.)
 - Simplified version of Brown tagset (87 tags)
(cf. Lancaster-Oslo/Bergen (LOB) tag set: 126 tags)
 - 1M words too little data to allow more fine-grained distinctions?
 - eliminate redundancy that is otherwise recoverable
- **14 nonterminals:**
 - standard inventory (S, NP, VP,...)

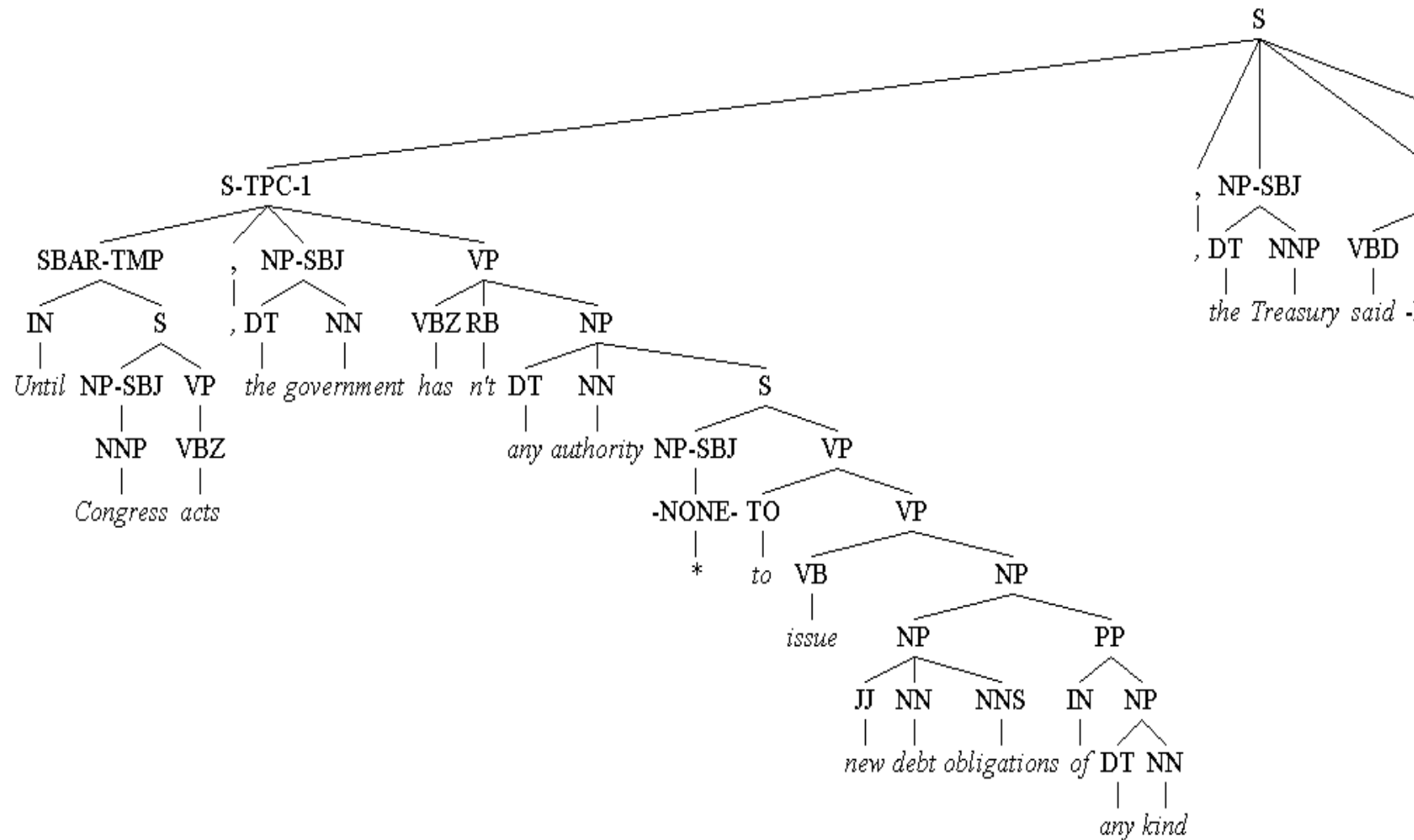
A simple example



- **Relatively flat structures:**
 - There is no noun level
 - VP arguments and adjuncts appear at the same level
- **Function tags (-SBJ, -MNR)**

A more realistic (partial) example

Until Congress acts, the government hasn't any authority to issue new debt obligations of any kind, the Treasury said



Predicate-argument structure

What is “the meaning” of a (declarative) sentence?

I am eating sushi.

- Truth-conditional semantics:
We know the meaning of a sentence, if we know under which situations it is true.
- We also want to be able to draw inferences.
- Both require translation into an expression in some formal logic.

Translating language into formal logic....

.... is way beyond the scope of this course!!!

.... and is far from being a solved problem:

- Linguistic issues: quantifiers, tense/aspect,
- Coverage!!!

Predicate-argument structure

A simpler task:

Translate a sentence into an expression that describes the relations between the **entities described in the sentence.**

Who does what to whom?
eat(I, sushi)

NB: typically words stand in for entities.

Grammatical functions (subject, object) replaced with “thematic roles” (agent, patient,....)

Dependency structure

An even simpler task:

Translate a sentence into an expression that describes the relations between the **words in the sentence.**

Dependency grammars and parsers often ignore some classes of dependencies

Syntactic categories vs. grammatical functions

- The mapping from syntactic categories to dependency types or grammatical functions is not one-to-one:

eat [NP dinner] [NP Monday night]

- The Penn Treebank solution: function tags

eat [NP dinner] [NP-TMP Monday night]

Function tags in the Penn Treebank

- **Inventory:**
-TMP, -LOC, -PRD, -SBJ, -CLR, -ADV, -MNR
- **Constituents whose grammatical function differs from the (implicitly assumed) default have function tags.**
- **Useful, but sometimes inconsistent**

The dependencies so far:

- **Arguments:**
 - verbs take arguments: subject, object, complements, ...
 - **Heads subcategorize for their arguments**
- **Adjuncts/Modifiers:**
 - adjectives modify nouns,
 - adverbs modify VPs or adjectives,
 - PPs modify NPs or VPs
 - **Heads do not subcategorize for their modifiers**
(modifiers subcategorize for the head)

**These are all “local” dependencies that can typically be expressed in a CFG.
Each word is the dependant of *one other word*.
Hence, dependency *trees*.**