

# Stone-Čech remainders of $\psi$ -spaces

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# Almost disjoint family (of countable sets)

## Definition

Let  $\kappa \geq \omega$  be a cardinal number. An infinite family  $\mathcal{A}$  of countably infinite subsets of  $\kappa$  ( $\mathcal{A} \subset [\kappa]^\omega$ ) is an *almost disjoint family* provided  $A \neq A'$  in  $\mathcal{A} \Rightarrow A \cap A'$  is finite.

## Definition

An almost disjoint family  $\mathcal{A}$  is called *maximal* provided  $\mathcal{A}$  is not properly contained in any other almost disjoint family.

# Mrówka's $\psi$ -space, generalized

## Definition

Let  $\psi(\kappa, \mathcal{A})$  denote the space with underlying set  $\kappa \cup \mathcal{A}$  and with the topology having as a base all singletons  $\{\alpha\}$  for  $\alpha < \kappa$  and all sets of the form  $\{A\} \cup (A \setminus F)$  where  $A \in \mathcal{A}$  and  $F$  is finite.

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For the case  $\kappa = \omega$ , and  $\mathcal{A}$  maximal,  $\psi(\omega, \mathcal{A})$  is the well known space of S. Mrówka which he denoted  $N \cup \mathcal{R}$ , and denoted by  $\Psi$  in the book by Gillman and Jerison, "Rings of Continuous Functions."

# Mrówka's $\psi$ -space, generalized

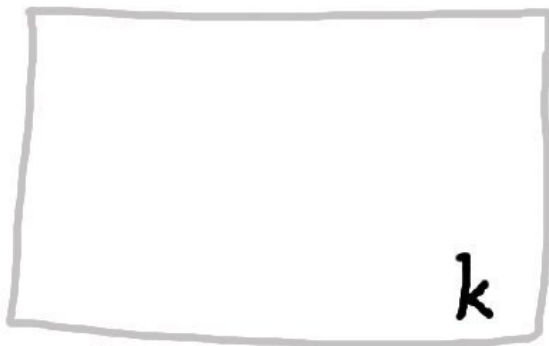
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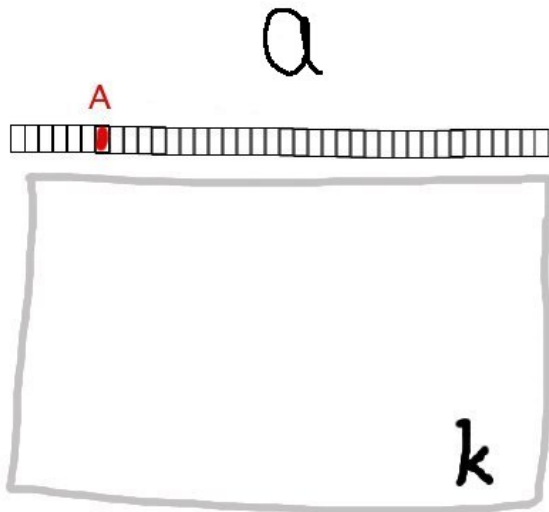


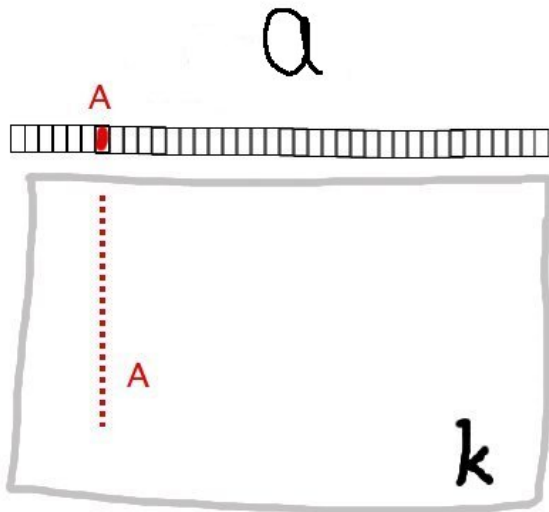
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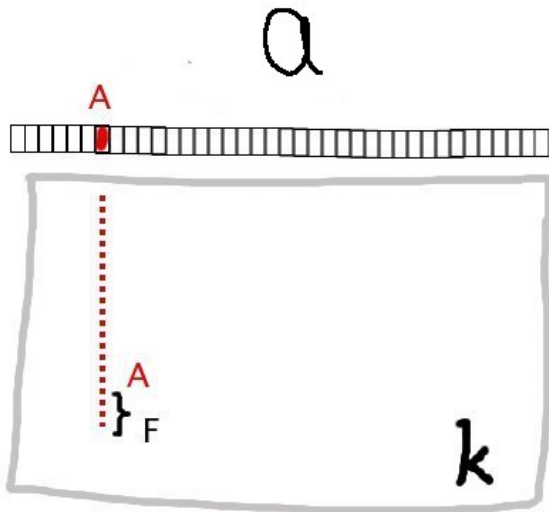
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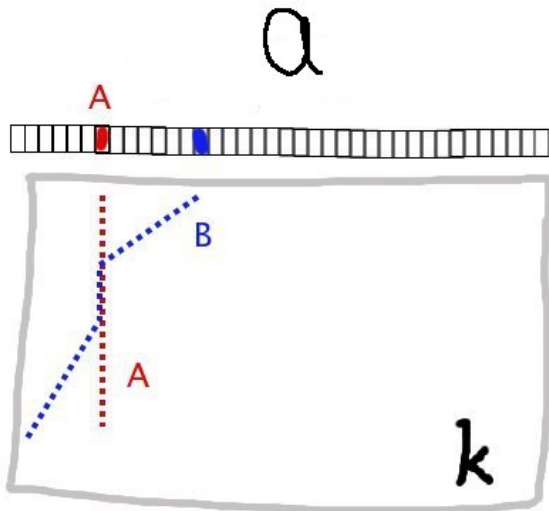




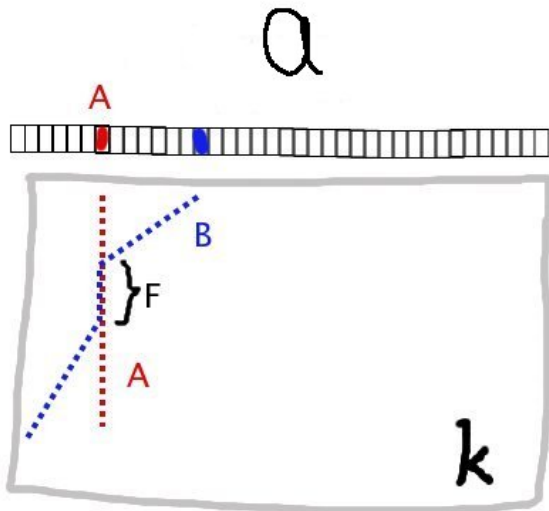
$\psi$  is first countable, locally compact



# $\psi$ is Hausdorff



$\psi$  is Hausdorff and zero-dimensional



# Stone-Čech compactification

If  $X$  is a space and  $f : X \rightarrow \mathbb{R}$  continuous, we call the set  $f^{-1}(0) \subset X$  a **zero set of  $X$** .

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For a  $T_{3\frac{1}{2}}$ -space  $X$  the **Stone-Čech compactification of  $X$**  (denoted  $\beta X$ ) is that compact space containing  $X$  as a dense subset and that satisfies the condition that disjoint zero sets in  $X$  have disjoint closures in  $\beta X$ .

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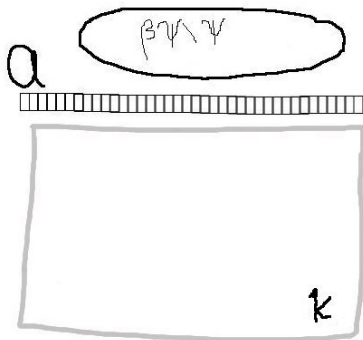
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This is equivalent to the statement that every continuous  $f : X \rightarrow \mathbb{R}$ , has a continuous extension  $\bar{f} : \beta X \rightarrow \mathbb{R}$ .



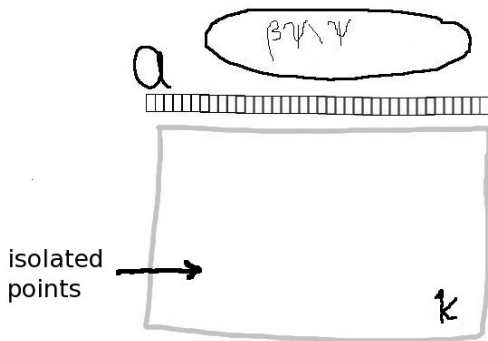
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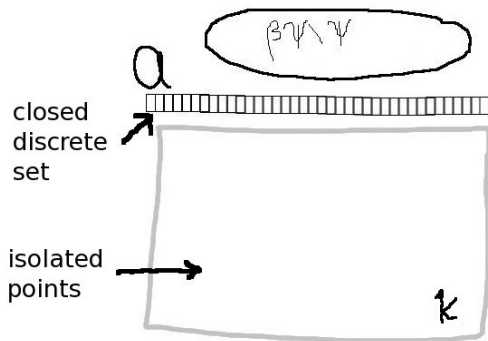
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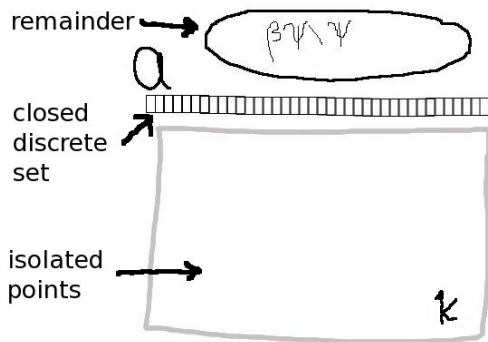
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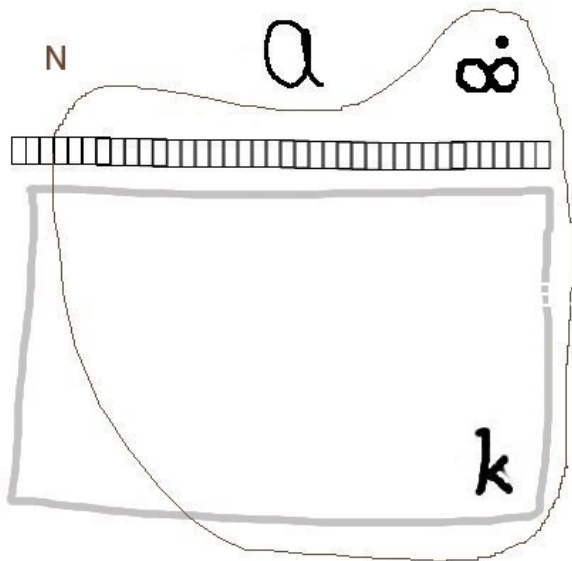


# Stone-Čech compactification

We view the picture of  $\beta\psi$  as three levels:



$\alpha\psi$ : the one-point compactification



# Mrówka's Theorem

Theorem (Mrówka, 1977)

*There exists MADF  $\mathcal{M} \subset \omega$  satisfying  $|\beta\psi(\omega, \mathcal{M}) \setminus \psi(\omega, \mathcal{M})| = 1$*

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## Theorem (D-V)

*If  $\kappa \leq \mathfrak{c}$ , then there exists MADF  $\mathcal{M} \subset [\kappa]^\omega$  satisfying  $|\beta\psi(\kappa, \mathcal{M}) \setminus \psi(\kappa, \mathcal{M})| = 1$*



$\kappa > \mathfrak{c}$ ?

But “ $|\beta\psi \setminus \psi| = 1$ ” does not generalize for  $\kappa > \mathfrak{c}$ :

### Theorem (D-V)

*For every  $\kappa > \mathfrak{c}$ , and for every  $\mathcal{M} \subset [\kappa]^\omega$  MADF,  
 $|\beta\psi(\kappa, \mathcal{M}) \setminus \psi(\kappa, \mathcal{M})| \geq \sqrt[\omega]{\kappa} \geq \mathfrak{c}^+$ .*

## Extending Mrówka's Theorem for $\kappa > \mathfrak{c}$

Definition of Mrówka MADF for all  $\kappa \geq \omega$ :

(1) For  $\kappa \leq \mathfrak{c}$ ,  $\mathcal{M}$  is called a *Mrówka MADF* if  $|\beta\psi \setminus \psi| = 1$

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(2) For  $\kappa > \mathfrak{c}$ ,  $\mathcal{M}$  is called a *Mrówka MADF* if for every continuous  $f : \psi \rightarrow \mathbb{R}$  there exists  $r \in \mathbb{R}$  such that

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Indeed (1) implies (2): If  $\mathcal{M}$  satisfies (1), let  $p = \infty$  be the unique point in the remainder. Given continuous  $f : \psi \rightarrow \mathbb{R}$  let  $\bar{f} : \beta\psi \rightarrow \mathbb{R}$  be the continuous extension of  $f$ . Define  $r = \bar{f}(p)$ .

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$\psi \setminus \bar{f}^{-1}(r) = \bigcup_n \{\psi \setminus f^{-1}((r - \frac{1}{n}, r + \frac{1}{n}))\}$  is a countable union of sets of finite convergent sequences, hence

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But since (1) implies a stronger condition than (2), we state the definition of “Mrówka MADF” in two parts.



## $\kappa > \mathfrak{c}$ : Existence of a Mrówka MADF and a special $p$ at infinity

For  $\kappa > \mathfrak{c}$ ,  $|\beta\psi \setminus \psi| \neq 1$ , yet for Mrówka MADFs  $\mathcal{M} \subset [\kappa]^\omega$ , there is still a special point  $p \in \beta\psi \setminus \psi$ . Since  $\kappa > \mathfrak{c}$  implies  $|\beta\psi \setminus \psi| \neq 1$ ,  $p$  is not as special as  $\{p\} = \beta\psi \setminus \psi$ .

## Special point in the remainder

### Theorem (SCH for $\kappa > \mathfrak{c}$ )

*For every cardinal  $\kappa \geq \omega$ , and every Mrówka  $\mathcal{M} \subset [\kappa]^\omega$  MADF, there is a point  $p \in \beta\psi \setminus \psi$  having a clopen local base in  $\beta\psi$ .*

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*Moreover, if  $cf(\kappa) > \omega$  and  $\kappa$  is not the successor of a cardinal of countable cofinality, then  $p$  is a  $P(cf(\kappa))$ -point in  $\beta\psi$  (hence at least a  $P$ -point).*

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*If  $\kappa$  is a regular cardinal (i.e.,  $\kappa = cf(\kappa)$ ), then  $p$  is a simple  $P(\kappa)$ -point in  $\beta\psi$ .*

*Otherwise (i.e.,  $cf(\kappa) = \omega$  or  $\kappa = \lambda^+$  and  $cf(\lambda) = \omega$ ), then  $p$  is not even a weak  $P$ -point in  $\beta\psi$  or  $\beta\psi \setminus \psi$ .*

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Using a result of Jun Terasawa, such a space  $\beta\psi$  need not be zero dimensional.

# Mrówka's Theorem (attributed)

Theorem (attributed to S. Mrowka by J. Terasawa)

*There exists a  $\mathcal{M} \subset [\omega]^\omega$  MADF such that  $\beta\psi \setminus \psi \cong (\omega_1 + 1)$  with the order topology.*



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*There exists a  $\mathcal{M} \subset [\omega]^\omega$  MADF such that  $\beta\psi \setminus \psi \cong (\omega_1 + 1)$  with the order topology.*

Question: What ordinals (other than 1 and  $\omega_1 + 1$ ) can be reminders of a  $\psi$ -space?

## Ordinal remainders of $\psi$ -spaces

Which ordinals, with the order topology, can be Stone-Čech remainders of  $\psi$ -spaces? Our results:

### Theorem (D-V)

*If  $\kappa \leq \mathfrak{c}$  then for every ordinal  $\delta < \kappa^+$  there exists  $\mathcal{M}_\delta \subset [\kappa]^\omega$ , a MADF, such that  $\beta\psi(\kappa, \mathcal{M}_\delta) \setminus \psi(\kappa, \mathcal{M}_\delta)$  is homeomorphic to  $\delta + 1$ .*

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*For  $\kappa = \mathfrak{c}^+$ ,  $\beta\psi(\kappa, \mathcal{M}_\delta) \setminus \psi(\kappa, \mathcal{M}_\delta)$  is homeomorphic to  $\delta + 1$  if and only if  $\mathfrak{c}^+ \leq \delta < \mathfrak{c}^+ \cdot \omega$ .*

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### Theorem (D-V)

*If  $\kappa > \mathfrak{c}^+$ , then no  $\psi(\kappa, \mathcal{M})$  has any ordinal as a Stone-Čech remainder.*

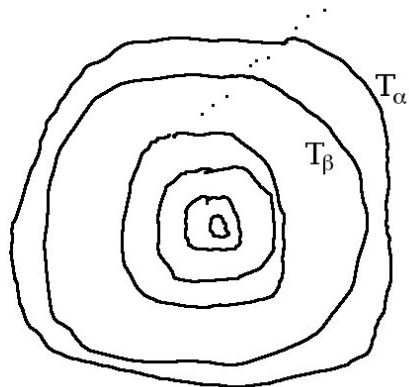
# Ordinal remainders of $\psi$ -spaces

Summary:

For  $\kappa$  above  $\mathfrak{c}$ , no more one-point Stone-Čech remainders of  $\psi$ -spaces. Above  $\mathfrak{c}^+$ , no more ordinal remainders.

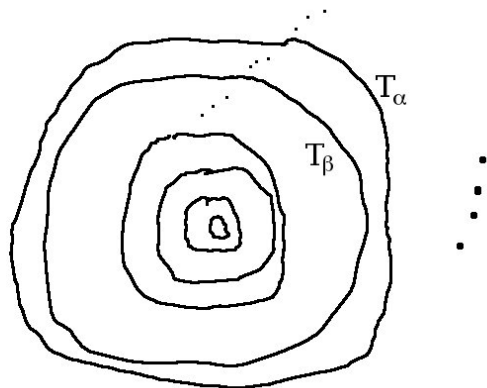
Now let us ask about ordinal remainders in the classical case  $\kappa = \omega$ : Mrówka's original class of  $\psi$ -space. We need to define the tower number.

## Mod-finite increasing chain



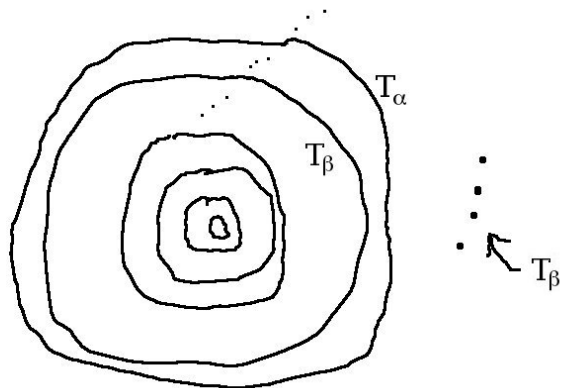
Mod-finite increasing chain:  $\beta < \alpha$  implies  $T_\beta <^* T_\alpha$

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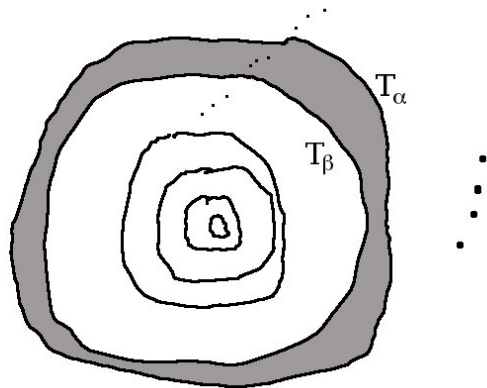




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$$T_\beta <^* T_\alpha$$

A mod-finite increasing chain in a set  $X$  is a *tower* in  $X$  if it is maximal in the sense that for all  $H <^* X$  it is not the case that  $T_\alpha \subset^* H$  for all  $\alpha$ .

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### Definition

The *tower number*, denoted  $\mathfrak{t}$ , is defined to be the smallest cardinality of a tower on  $\omega$ .

$\mathfrak{t}$  is a “small uncountable cardinal,”  $\omega_1 \leq \mathfrak{t} \leq \mathfrak{c}$

# Theorem

## Theorem (D-V)

*For every  $\lambda < \mathfrak{t}^+$ , there exists  $\mathcal{M} \subset [\omega]^\omega$  a MADF such that  $\beta\psi \setminus \psi$  is homeomorphic to  $\lambda + 1$ .*

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This implies the theorem attributed to Mówka by Terasawa because  $\omega_1 \leq \mathfrak{t}$  hence  $\omega_1 < \mathfrak{t}^+$ .

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Moreover, the theorem is best possible in ZFC in the sense that (it is consistent that) it is not true that the ordinal  $\mathfrak{t}^+ + 1$  can be a remainder of a  $\psi$  space over  $\omega$ .

## Another result regarding the classical case $\kappa = \omega$

Recall, the cardinal  $\mathfrak{a}$  is the smallest cardinality of a MADF on  $\omega$ .

$$\omega_1 \leq \mathfrak{a} \leq \mathfrak{c}$$

$\mathfrak{a}$  is one of many “small uncountable cardinals.”



## Cardinality of $f^{-1}(r)$

Let  $f : \psi \rightarrow \mathbb{R}$ . A *fiber* of  $f$  is any set of the form  $f^{-1}(r)$ .

The cardinality of fibers is important in the definition of Mrówka MADF, where for every  $f$  there is  $r \in \mathbb{R}$  such that

$|\psi \setminus f^{-1}(r)| < |\mathcal{M}|$ , which is stronger than saying  $|f^{-1}(r)| = |\mathcal{M}|$ .

A fiber  $f^{-1}(r)$  is *full* means that  $|f^{-1}(r)| = |\mathcal{M}| = |\psi|$ .

Question: Does every continuous real valued function on a  $\psi$ -space (on  $\omega$ ) always have at least one “full” fiber.

# Cardinality of $f^{-1}(r)$

## Theorem (D-V)

*The following are equivalent*

(i) *There exists a MADF  $\mathcal{M} \subset [\omega]^\omega$ ,  $|\mathcal{M}| = \mathfrak{c}$ , and there exists continuous  $f : \psi \rightarrow [0, 1]$  such that for every  $r \in [0, 1]$ ,  $|f^{-1}(r)| < \mathfrak{c} = |\mathcal{M}|$  (i.e.,  $f$  does not have a full fiber).*

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## Theorem (D-V)

*The following are equivalent*

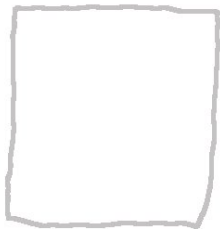
- (i) There exists a MADF  $\mathcal{M} \subset [\omega]^\omega$ ,  $|\mathcal{M}| = \mathfrak{c}$ , and there exists continuous  $f : \psi \rightarrow [0, 1]$  such that for every  $r \in [0, 1]$ ,  $|f^{-1}(r)| < \mathfrak{c} = |\mathcal{M}|$  (i.e.,  $f$  does not have a full fiber).*
- (ii)  $\mathfrak{a} < \mathfrak{c}$ .*

## (ii) implies (i)

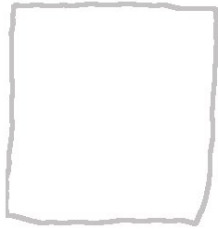
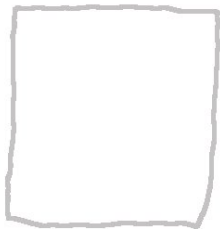
Assume  $\mathfrak{a} < \mathfrak{c}$  and construct  $\mathcal{M}$ , and  $f$ .

Step 1: Show that every countable pairwise disjoint family  $\mathcal{P} \subset [\omega]^\omega$  is a subset of some MADF  $\mathcal{M}$  with  $|\mathcal{M}| = \mathfrak{a}$ .

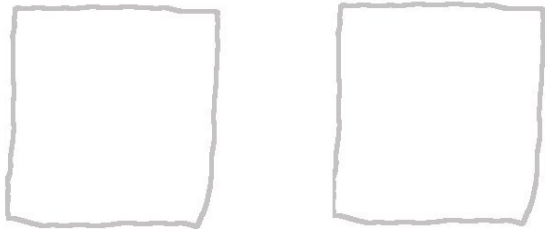
(ii) implies (i)



(ii) implies (i)

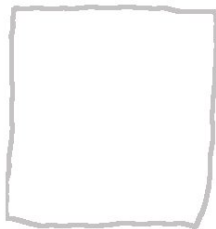
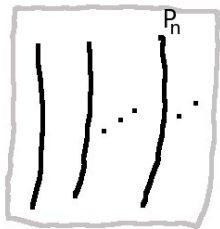


(ii) implies (i)



Two copies of  $\omega$

(ii) implies (i)





(ii) implies (i)

We now use the MADF  $\mathcal{A}$  which has cardinality  $\alpha$

$$|\mathcal{A}| = \alpha$$

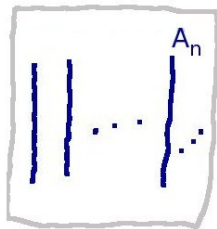
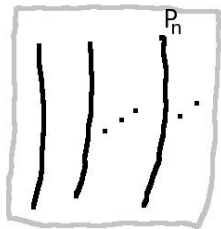
## (ii) implies (i)

We now use the MADF  $\mathcal{A}$  which has cardinality  $\alpha$

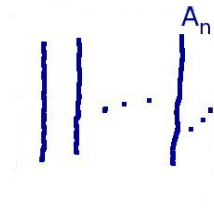
$$|\mathcal{A}| = \alpha$$

Pick distinct  $A_n \in \mathcal{A}$  for all  $n \in \omega$ . By removing a finite set  $F_n$  from each  $A_n$ , we get that the sets  $A_n \setminus F_n$  are pairwise disjoint. Then  $\mathcal{A} \setminus \{A_n : n \in \omega\} \cup \{A_n \setminus F_n : n \in \omega\}$  is also a MADF with  $|\mathcal{A}| = \alpha$ . Denote this slightly revised version of  $\mathcal{A}$  by the same name  $\mathcal{A}$ .

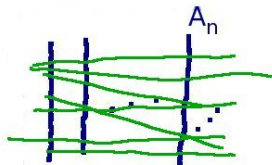
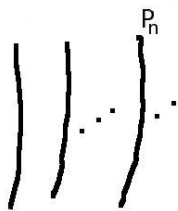
(ii) implies (i)



(ii) implies (i)

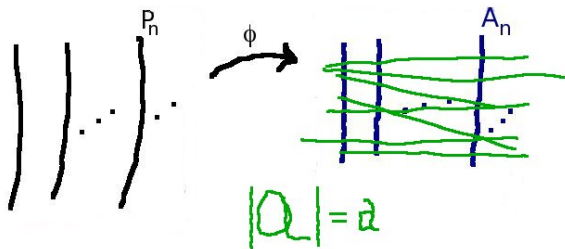


(ii) implies (i)

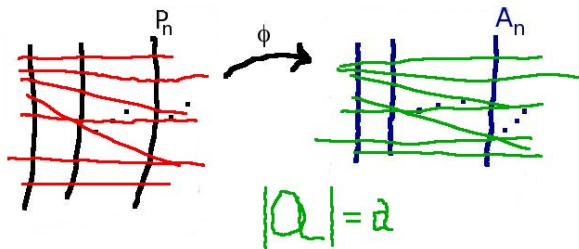


$$|Q| = a$$

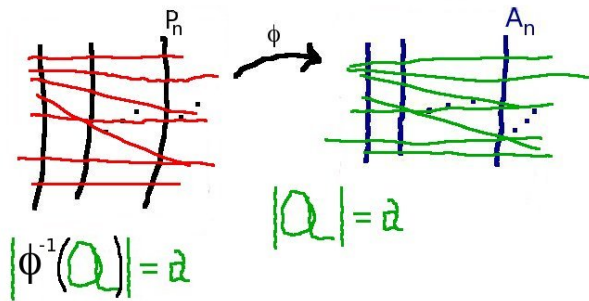
(ii) implies (i)



(ii) implies (i)



(ii) implies (i)



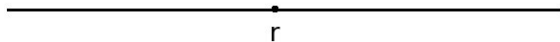


## (ii) implies (i)

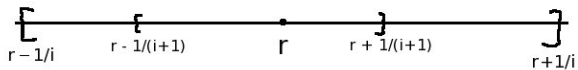
Step 2: Think of the countable set  $\omega$  as being  $\mathbb{Q} \cap [0, 1]$ , the set of rational numbers in  $[0, 1]$ . Then for each  $r \in [0, 1]$  there is an ADF  $\mathcal{M}_r \subset [\mathbb{Q} \cap [0, 1]]^\omega$  such that  $|\mathcal{M}_r| = \mathfrak{a}$ , every  $M \in \mathcal{M}_r$  converges to  $r$  (in the usual sense in  $[0, 1]$ ) and  $\mathcal{M}_r$  satisfies the following maximal condition:

(\*) For every  $C \in [\mathbb{Q} \cap [0, 1]]^\omega$ , if  $C$  converges to  $r$ , then there exists  $M \in \mathcal{M}_r$  such that  $M \cap C$  is infinite.

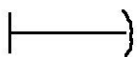
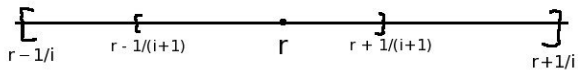
(ii) implies (i)



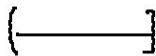
(ii) implies (i)



(ii) implies (i)



$P_i$



## (ii) implies (i)

Let  $\mathcal{P}$  denote the set of all infinite members of  $\{P_i : i \geq 1\}$ . By Step 1, there is an MADF  $\mathcal{M} \subset \mathbb{Q} \cap [0, 1]$  such that  $\mathcal{M} \supset \mathcal{P}$ , and  $|\mathcal{M}| = \mathfrak{a}$ . Put

$$\mathcal{M}_r = \mathcal{M} \setminus \mathcal{P}$$

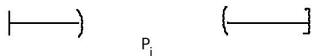
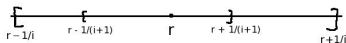
Check that  $\mathcal{M}_r$  has the required properties: First,  $M \in \mathcal{M}_r$  converges to  $r$  because  $M \cap P_i$  is finite for all  $i \in \omega$ . Second, to see that  $\mathcal{M}_r$  has the required maximal property, let  $C$  be a sequence of rationals converging to  $r$ . There exists  $M \in \mathcal{M}$  such that  $M \cap C$  is infinite because  $\mathcal{M}$  is a MADF. But why is  $M \in \mathcal{M} \setminus \mathcal{P}$ ?

## (ii) implies (i)

Let  $\mathcal{P}$  denote the set of all infinite members of  $\{P_i : i \geq 1\}$ . By Step 1, there is an MADF  $\mathcal{M} \subset \mathbb{Q} \cap [0, 1]$  such that  $\mathcal{M} \supset \mathcal{P}$ , and  $|\mathcal{M}| = \aleph$ . Put

$$\mathcal{M}_r = \mathcal{M} \setminus \mathcal{P}$$

Check that  $\mathcal{M}_r$  has the required properties: First,  $M \in \mathcal{M}_r$  converges to  $r$  because  $M \cap P_i$  is finite for all  $i \in \omega$ . Second, to see that  $\mathcal{M}_r$  has the required maximal property, let  $C$  be a sequence of rationals converging to  $r$ . There exists  $M \in \mathcal{M}$  such that  $M \cap C$  is infinite because  $\mathcal{M}$  is a MADF. But why is  $M \in \mathcal{M} \setminus \mathcal{P}$ ? Since  $C$  converges to  $r$ ,  $C \cap P_i$  is finite for all  $P_i$ ; so



$$M \in \mathcal{M} \setminus \mathcal{P} = \mathcal{M}_r.$$

## (ii) implies (i)

Step 3: Then  $\mathcal{M} = \bigcup_{r \in [0,1]} \mathcal{M}_r$  has cardinality  $\mathfrak{c}$ . Next we construct the desired function  $f$ . Define

$$f : \psi(\mathbb{Q} \cap [0, 1], \mathcal{M}) \rightarrow [0, 1]$$

by taking  $f$  to be the identity on  $\mathbb{Q} \cap [0, 1]$ , and for  $M \in \mathcal{M}$ , define  $f(M) = r$  where  $M \in \mathcal{M}_r$ .

## (ii) implies (i)

Step 3: Then  $\mathcal{M} = \bigcup_{r \in [0,1]} \mathcal{M}_r$  has cardinality  $\mathfrak{c}$ . Next we construct the desired function  $f$ . Define

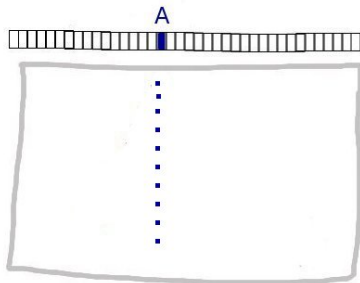
$$f : \psi(\mathbb{Q} \cap [0, 1], \mathcal{M}) \rightarrow [0, 1]$$

by taking  $f$  to be the identity on  $\mathbb{Q} \cap [0, 1]$ , and for  $M \in \mathcal{M}$ , define  $f(M) = r$  where  $M \in \mathcal{M}_r$ .

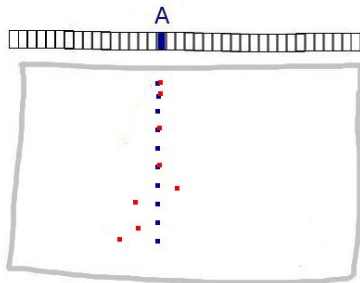
To check continuity of  $f$  it suffices to check that whenever a sequence in  $\mathbb{Q} \cap [0, 1]$  converges to a non-isolated point  $A \in \mathcal{M}$ , then the image of the sequence converges to the image of the point  $A$  in  $[0, 1]$  (sequentially continuous).



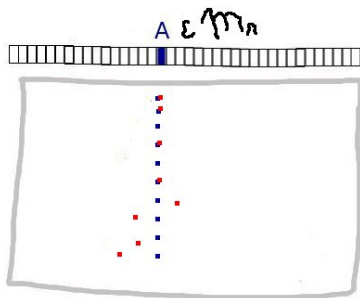
(ii) implies (i)



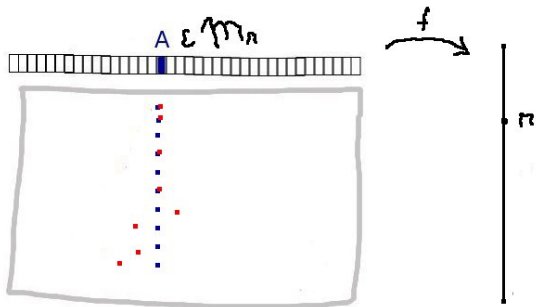
(ii) implies (i)



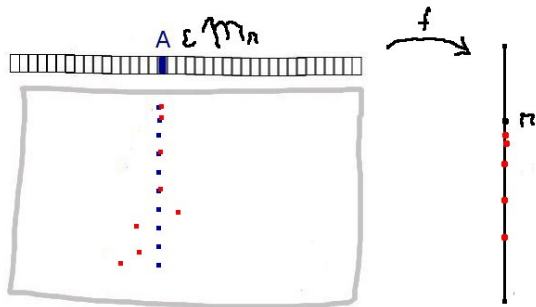
(ii) implies (i)



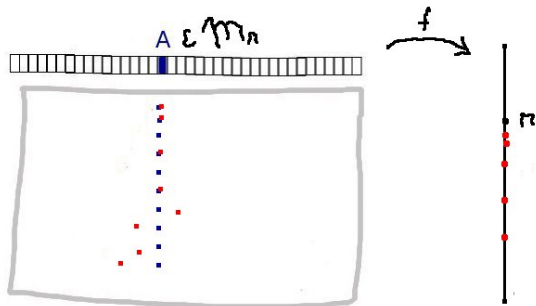
(ii) implies (i)



(ii) implies (i)



(ii) implies (i)



Thus  $f$  is sequentially continuous, hence continuous.

(ii) implies (i)

Finally we show that every  $r \in [0, 1]$ ,  $|f^{-1}(r)| < \mathfrak{c} = |\mathcal{M}|$ .

## (ii) implies (i)




Finally we show that every  $r \in [0, 1]$ ,  $|f^{-1}(r)| < \mathfrak{c} = |\mathcal{M}|$ .

Because  $f$  is one-to-one on  $\mathbb{Q} \cap [0, 1]$ , the set of isolated points in  $\psi$ , and for  $r$  irrational,  $f^{-1}(r) = \mathcal{M}_r$  and  $|\mathcal{M}_r| = \mathfrak{a} < \mathfrak{c}$  (the inequality is the hypothesis of (ii)). Thus  $|f^{-1}(r)| \leq \mathfrak{a} < \mathfrak{c}$  for all  $r \in [0, 1]$ .  $\square$







## Mrówka's papers on $\psi(\omega, \mathcal{M})$ (i.e., $N \cup \mathcal{R}$ )

The theorem we call Mrówka's Theorem is contained in his 1977 paper which refers to two of his earlier papers:

-  S. Mrówka, On completely regular spaces, *Fund. Math.* 41 (1954) 105-106.
-  S. Mrówka, Some comments on the author's example of a non- $\mathbb{R}$ -compact space, *Bull. Acad. Polon. Sci. Ser. Sci. Math. Astronom. Phys.* 18 (1970) 443-448.
-  S. Mrówka, Some set-theoretic constructions in topology, *Fund. Math.* 94 (1977) 83-92.

## D-V papers on $\psi$ plus Terasawa

-  Alan Dow and Jerry E. Vaughan, Mrówka maximal almost disjoint families for uncountable cardinals, *Topology and Appl.* 157 (2010), 1379-1394.
-  Alan Dow and Jerry E. Vaughan, Ordinal Remainders of  $\psi$ -spaces, *Topology and Appl.* 158, Issue 14 (1 September 2011) 1852-1857.
-  Alan Dow and Jerry E. Vaughan, Ordinal remainders of classical  $\psi$ -spaces, *Fund. Math.* (to appear).
-  Jun Terasawa, Spaces  $\mathbf{N} \cup \mathcal{R}$  and their dimensions, *Topology and its Applications* 11 (1980) 93-102.

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