

# Characters, Descents and Matrices

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$$\begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 0 & 1 \end{pmatrix}$$

# Abstract

A certain family of square matrices plays a major role in character formulas for the symmetric group and related algebras. These matrices are asymmetric variants of Walsh-Hadamard matrices, and have some fascinating properties which may be explained by use of Möbius inversion. They provide a tool for translation of statements about permutation statistics to results in representation theory, and vice versa.

# Outline

1. Character Formulas

2. Matrices

3. Back to Characters

# Character Formulas

## $\mu$ -unimodal permutations

- A sequence  $(a_1, \dots, a_n)$  of distinct positive integers is **unimodal** if there exists  $1 \leq m \leq n$  such that

$$a_1 > a_2 > \dots > a_m < a_{m+1} < \dots < a_n.$$

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- Let  $\mu = (\mu_1, \dots, \mu_t)$  be a **composition** of  $n$ . A sequence of  $n$  positive integers is  **$\mu$ -unimodal** if the first  $\mu_1$  integers form a unimodal sequence, the next  $\mu_2$  integers form a unimodal sequence, and so on.

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- A **permutation**  $\pi \in S_n$  is  **$\mu$ -unimodal** if the sequence  $(\pi(1), \dots, \pi(n))$  is  $\mu$ -unimodal.

## $\mu$ -unimodal permutations, descent set

- Let  $U_\mu$  be the set of all  $\mu$ -unimodal permutations in  $S_n$ .



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- **Example:**  $n = 10$ ,  $\mu = (3, 3, 4)$ .

$$\pi = (4, 2, 10, 9, 7, 6, 5, 3, 1, 8) \in U_\mu$$

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- Example:**  $I(\mu) = \{1, \dots, 10\} \setminus \{3, 6, 10\} = \{1, 2, 4, 5, 7, 8, 9\}$

$$\text{Des}(\pi) \cap I(\mu) = \{1, 4, 5, 7, 8\}$$

## Formula 1: irreducible characters

Let  $\lambda$  and  $\mu$  be partitions of  $n$ , let  $\chi^\lambda$  be the character of the irreducible  $S_n$ -representation corresponding to  $\lambda$ , and let  $\chi_\mu^\lambda$  be its value on a conjugacy class of cycle type  $\mu$ .

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Theorem (Roichman '97)

$$\chi_\mu^\lambda = \sum_{\pi \in \mathcal{C} \cap U_\mu} (-1)^{|\text{Des}(\pi) \cap I(\mu)|},$$

where  $\mathcal{C}$  is any Knuth class of shape  $\lambda$ .

## Formula 2: coinvariant algebra, homogeneous component

Let  $\chi^{(k)}$  be the  $S_n$ -character corresponding to the symmetric group action on the  $k$ -th homogeneous component of its coinvariant algebra, and let  $\chi_\mu^{(k)}$  be its value on a conjugacy class of cycle type  $\mu$ .



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Theorem (A-Postnikov-Roichman '00)

$$\chi_\mu^{(k)} = \sum_{\pi \in L(k) \cap U_\mu} (-1)^{|\text{Des}(\pi) \cap I(\mu)|},$$

where  $L(k)$  is the set of all permutations of length  $k$  in  $S_n$ .

## Formula 3: Gelfand model

A complex representation of a group or an algebra  $A$  is called a **Gelfand model** for  $A$  if it is equivalent to the multiplicity free direct sum of all irreducible  $A$ -representations. Let  $\chi^G$  be the corresponding character, and let  $\chi_\mu^G$  be its value on a conjugacy class of cycle type  $\mu$ .

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**Theorem (A-Postnikov-Roichman '08)**

*The character of the Gelfand model of  $S_n$  at a conjugacy class of cycle type  $\mu$  is equal to*

$$\chi_\mu^G = \sum_{\pi \in \text{Inv}_n \cap U_\mu} (-1)^{|\text{Des}(\pi) \cap I(\mu)|},$$

where  $\text{Inv}_n := \{\sigma \in S_n : \sigma^2 = \text{id}\}$  is the set of all **involutions** in  $S_n$ .

## Iwahori-Hecke algebra

Let  $\mathcal{H}_n(q)$  be the algebra over  $\mathbb{Q}$  generated by  $T_1, \dots, T_{n-1}$  subject to the relations

$$(T_i + q)(T_i - 1) = 0 \quad (\forall i)$$

$$T_i T_j T_j T_i \quad (|j - i| > 1)$$

and

$$T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1} \quad (1 \leq i < n - 1).$$

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**Theorem** In order to determine an Hecke algebra ordinary character it suffices to evaluate it on the elements  $T_\mu := \prod_{i \in I(\mu)} T_i$  over all partitions  $\mu$  of  $n$ .

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**Remark.** All above formulas extend to  $\mathcal{H}_n(q)$  when replacing  $(-1)$  by  $(-q)$ .

**Example.** The character of the Gelfand model of  $\mathcal{H}_n(q)$  at the element  $T_\mu$  is equal to

$$\sum_{\pi \in \text{Inv}_n \cap U_\mu} (-q)^{|\text{Des}(\pi) \cap I(\mu)|},$$



## Inverse formulas?

### Question

Are these formulas **invertible**?

In other words: to what extent do the character values  $\chi_{\mu}^*$  ( $\forall \mu$ ) determine the distribution of descent sets?

# Matrices

## Walsh-Hadamard matrices

### Recursive definition

$$H_n = \begin{pmatrix} H_{n-1} & H_{n-1} \\ H_{n-1} & -H_{n-1} \end{pmatrix} \quad (n \geq 1)$$

with  $H_0 = (1)$ .

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$$H_2 = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & 1 \end{pmatrix} = H_1^{\otimes 2}$$

## Subsets as indices

### Definition

Let  $P_n$  be the power set (set of all subsets) of  $\{1, \dots, n\}$ , with the **anti-lexicographic linear order**: for  $I, J \in P_n$ ,  $I \neq J$ , let  $m$  be the largest element in the symmetric difference

$I \Delta J := (I \cup J) \setminus (I \cap J)$ , and define:  $I < J \iff m \in J$ .

### Example

The linear order on  $P_3$  is

$$\emptyset < \{1\} < \{2\} < \{1, 2\} < \{3\} < \{1, 3\} < \{2, 3\} < \{1, 2, 3\}.$$

Fact (explicit description of  $H_n$ )

The *Walsh-Hadamard matrix*  $H_n$  of order  $2^n$  has entries

$$h_{I,J} := (-1)^{|I \cap J|} \quad (\forall I, J \in P_n).$$

where rows and columns of  $H_n$  are indexed by  $P_n$  ordered as above.

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Note that

$$H_n^t = H_n$$

and

$$H_n H_n^t = 2^n I_{2^n}$$



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## Prefixes and runs

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The **prefix** of length  $p$  of an interval  $\{m + 1, \dots, m + \ell\}$  is the interval  $\{m + 1, \dots, m + p\}$  ( $0 \leq p \leq \ell$ ).



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### Example

For  $I = \{1, 2, 4, 5, 6, 8, 10\} \in P_{10}$ :

$I_1 = \{1, 2\}$ ,  $I_2 = \{4, 5, 6\}$ ,  $I_3 = \{8\}$ ,  $I_4 = \{10\}$ .

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Lemma (explicit description of  $A_n$  and  $B_n$ )

For  $I \in P_n$  let  $I_1, \dots, I_t$  be the runs in  $I$ . Define for any  $J \in P_n$ :

$$a_{I,J} := \begin{cases} (-1)^{|I \cap J|}, & \text{if } I_k \cap J \text{ is a \textit{prefix} of } I_k \text{ for each } k; \\ 0, & \text{otherwise.} \end{cases}$$

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$$b_{I,J} := \begin{cases} (-1)^{|I \cap J|}, & \text{if } I_k \cap J \text{ is a \textit{prefix} of } I_k \text{ for each } k, \\ & \text{and } n \notin I \setminus J; \\ 0, & \text{otherwise.} \end{cases}$$

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Then

$$A_n = (a_{I,J})_{I,J \in P_n} \quad \text{and} \quad B_n = (b_{I,J})_{I,J \in P_n}$$

with  $P_n$  ordered as above.

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$$A_n^t \neq A_n \quad (n \geq 2)$$

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$$\det(A_n) = (n+1) \cdot \prod_{k=1}^n k^{2^{n-1-k}(n+4-k)} \quad (n \geq 2)$$

while  $\det(A_0) = 1$  and  $\det(A_1) = -2$ .

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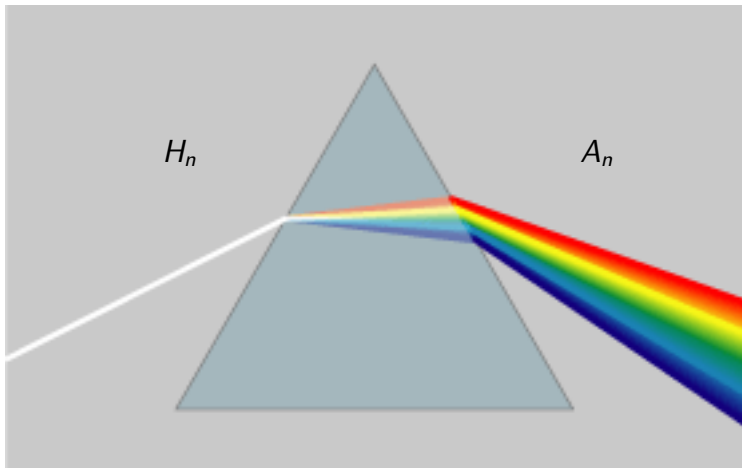
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For comparison,

$$\det(H_n) = 2^{2^{n-1}n} \quad (n \geq 2)$$

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# From white light to rainbow colors



## Möbius inversion

Let  $Z_n$  be the **zeta matrix** of the poset  $P_n$  with respect to set inclusion:

$$z_{I,J} := \begin{cases} 1, & \text{if } I \subseteq J; \\ 0, & \text{otherwise.} \end{cases}$$

Then

$$Z_n = \begin{pmatrix} Z_{n-1} & Z_{n-1} \\ 0 & Z_{n-1} \end{pmatrix} \quad (n \geq 1)$$

with  $Z_0 = (1)$ . Its inverse is the **Möbius matrix**  $M_n = Z_n^{-1}$ , with entries  $m_{I,J}$  defined by

$$m_{I,J} := \begin{cases} (-1)^{|J \setminus I|}, & \text{if } I \subseteq J; \\ 0, & \text{otherwise.} \end{cases}$$

It satisfies

$$M_n = \begin{pmatrix} M_{n-1} & -M_{n-1} \\ 0 & M_{n-1} \end{pmatrix} \quad (n \geq 1)$$

with  $M_0 = (1)$ .



## $AM$ and $BM$

Denote now  $AM_n := A_n M_n$ ,  $BM_n := B_n M_n$  and  $HM_n := H_n M_n$ . It follows that

$$AM_n = \begin{pmatrix} AM_{n-1} & 0 \\ AM_{n-1} & -(AM_{n-1} + BM_{n-1}) \end{pmatrix} \quad (n \geq 1)$$

with  $AM_0 = (1)$  and

$$BM_n = \begin{pmatrix} AM_{n-1} & 0 \\ 0 & -BM_{n-1} \end{pmatrix} \quad (n \geq 1)$$

with  $BM_0 = (1)$ , as well as

$$HM_n = \begin{pmatrix} HM_{n-1} & 0 \\ HM_{n-1} & -2HM_{n-1} \end{pmatrix} \quad (n \geq 1)$$

with  $HM_0 = (1)$ .

## *HM* entries

$$HM_3 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & -2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & -2 & 0 & 0 & 0 & 0 & 0 \\ 1 & -2 & -2 & 4 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & -2 & 0 & 0 & 0 \\ 1 & -2 & 0 & 0 & -2 & 4 & 0 & 0 \\ 1 & 0 & -2 & 0 & -2 & 0 & 4 & 0 \\ 1 & -2 & -2 & 4 & -2 & 4 & 4 & -8 \end{pmatrix}$$

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- **Absolute values:**

$$(AM_n)_{I,J} \neq 0 \implies |(AM_n)_{I,J}| = \prod_{k=1}^t (|J_k| + 1)^{\delta_k(I)}$$

where  $J_1, \dots, J_t$  are the runs in  $J$  and, for  $J_k = \{m_k + 1, \dots, m_k + \ell_k\}$  ( $1 \leq k \leq t$ ):

$$\delta_k(I) := \begin{cases} 0, & \text{if } m_k \in I; \\ 1, & \text{otherwise.} \end{cases}$$

## Diagonal and last row

### Corollary

- All entries in the diagonal and last row of  $AM_n$  are non-zero.
- *Diagonal:*

$$|(AM_n)_{J,J}| = \prod_{k=1}^t (|J_k| + 1)$$

- *Last row:*

$$|(AM_n)_{[n],J}| = \begin{cases} |J_1| + 1, & \text{if } 1 \in J; \\ 1, & \text{otherwise.} \end{cases}$$

- Each nonzero entry  $(AM_n)_{I,J}$  *divides* the corresponding diagonal entry  $(AM_n)_{J,J}$  and *is divisible by* the corresponding last row entry  $(AM_n)_{[n],J}$ .

## Diagonal and last row (example)

$$AM_3 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & -2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & -2 & 0 & 0 & 0 & 0 & 0 \\ 1 & -2 & -1 & 3 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & -2 & 0 & 0 & 0 \\ 1 & -2 & 0 & 0 & -2 & 4 & 0 & 0 \\ 1 & 0 & -2 & 0 & -1 & 0 & 3 & 0 \\ 1 & -2 & -1 & 3 & -1 & 2 & 1 & -4 \end{pmatrix} \quad \begin{array}{l} I = \{1, 2\} \\ I = \{1, 2, 3\} \end{array}$$

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# Eigenvalues

$$A_2 = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 0 & 1 \end{pmatrix}$$

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$$A_2^2 = \begin{pmatrix} 4 & 0 & 1 & 0 \\ 0 & 4 & -1 & 0 \\ 0 & 0 & 3 & 0 \\ 1 & 1 & 0 & 3 \end{pmatrix}$$

# Eigenvalues

$$A_3^2 = \begin{pmatrix} 8 & 0 & 2 & 0 & 2 & 0 & 0 & 0 \\ 0 & 8 & -2 & 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 6 & 0 & -2 & 0 & 0 & 0 \\ 2 & 2 & 0 & 6 & 1 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 6 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 6 & -2 & 0 \\ 2 & 0 & 2 & 0 & 0 & 0 & 4 & 0 \\ 0 & 2 & 0 & 2 & 1 & 1 & 0 & 4 \end{pmatrix}$$

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$$\text{char. poly.}(A_3) = (x^2 - 8)(x^2 - 6)^2(x^2 - 4)$$

# Eigenvalues

## Conjecture

The **eigenvalues** of  $A_n^2$  (counted by algebraic multiplicity) are in 1 : 1 correspondence with the **diagonal entries** of  $A_n^2$  (which are explicitly known).

# Eigenvalues

## Theorem (G. Alon '13)

The **eigenvalues** of  $A_n^2$  (counted by algebraic multiplicity) are in 1 : 1 correspondence with the **diagonal entries** of  $A_n^2$ , and thus in 2:1 correspondence with the **compositions**  $\mu = (\mu_1, \dots, \mu_t)$  of  $n$ :

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Similarly, The eigenvalues of  $B_n^2$  are in 1 : 1 correspondence with the diagonal entries of  $B_n^2$ , and thus in 2:1 correspondence with the compositions of  $n$ :

$$\pi'_\mu = \prod_{i=1}^{t-1} (\mu_i + 1).$$

# Eigenvalues

$$A_3 \sim \begin{pmatrix} 0 & 4 & 0 & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 \\ 1 & 0 & 3 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 & 2 & 0 & 4 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$



# Back to Characters

# Fine sets

## Definition

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Let  $\text{Des} : \mathcal{B} \rightarrow P_{n-1}$  be a map which associates a “descent set”

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Then  $\mathcal{B}$  is called a **fine set** for a complex  $S_n$ -representation  $\rho$  if, for each composition  $\mu$  of  $n$ , the character value of  $\rho$  on a conjugacy class of cycle type  $\mu$  satisfies

$$\chi_\mu^\rho = \sum_{b \in \mathcal{B}^\mu} (-1)^{|\text{Des}(b) \setminus S(\mu)|}.$$

## Character values and descent sets

### Theorem (Fine Set Theorem)

*If  $\mathcal{B}$  is a fine set for an  $S_n$ -representation  $\rho$ , then the character values of  $\rho$  uniquely determine the overall distribution of descent sets over  $\mathcal{B}$ .*

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### Idea of proof

For a subset  $J = \{j_1, \dots, j_k\} \subseteq [n-1]$  let  $s_J := s_{j_1} s_{j_2} \cdots s_{j_k} \in S_n$ .



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The result follows since  $A_{n-1}$  is an invertible matrix.

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and

$$A_2 v^{S_2} = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 0 & 1 \end{pmatrix} v^{S_2} = \begin{pmatrix} 6 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

## Explicit inversion formula

### Theorem

Let  $B$  be a fine set for an  $S_n$ -representation  $\rho$ . For every  $I \subseteq [n-1]$ , the number of elements in  $B$  with descent set  $D$  satisfies

$$|\{b \in B : \text{Des}(b) = D\}| = \sum_J \chi^\rho(c_J) \sum_{I: D \cup J \subseteq I} (-1)^{|I \setminus D|} (AM_{n-1}^{-1})_{I,J}$$



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where

$$(AM_{n-1}^{-1})_{I,J} = \frac{(-1)^{|J|}}{|\langle I \rangle|} \prod_{k=1}^t \prod_{i \in I_k \cap J} (\max(I_k) - i + 1),$$

$I_1, \dots, I_t$  are the runs in  $I$  and  $c_J := \prod_{j \in J} s_j$  is a Coxeter element in the parabolic subgroup  $\langle J \rangle$ .

# Equivalence of classical theorems

## Corollary

*Given two symmetric group modules with fine sets, the isomorphism of these modules is equivalent to equi-distribution of the descent set on their fine sets.*

## Equivalence of classical theorems

The major index of a permutation  $\pi$  is  $\text{maj}(\pi) := \sum_{i \in \text{Des}(\pi)} i$ ,

and its length  $\ell(\pi)$  is the number of inversions in  $\pi$ .

For a subset  $I \subseteq [n-1]$  denote  $\mathbf{x}^I := \prod_{i \in I} x_i$ .

Theorem (Foata-Schützenberger; Garsia-Gessel)

$$\sum_{\pi \in S_n} \mathbf{x}^{\text{Des}(\pi)} q^{\ell(\pi)} = \sum_{\pi \in S_n} \mathbf{x}^{\text{Des}(\pi)} q^{\text{maj}(\pi^{-1})}.$$

## Equivalence of classical theorems

For  $0 \leq k \leq \binom{n}{2}$  let  $R_k$  be the  $k$ -th homogeneous component of the coinvariant algebra of the symmetric group  $S_n$ .

For a partition  $\lambda$ , let  $m_{k,\lambda}$  be the number of standard Young tableaux of shape  $\lambda$  with major index  $k$ .

### Theorem (Lusztig-Stanley)

$$R_k \cong \bigoplus_{\lambda \vdash n} m_{k,\lambda} S^\lambda,$$

where the sum runs over all partitions of  $n$  and  $S^\lambda$  denotes the irreducible  $S_n$ -module indexed by  $\lambda$ .

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$B_k = \{\pi \in S_n : \text{maj}(\pi^{-1}) = k\}$  is a fine set for the representation  $\rho_k := \bigoplus_{\lambda \vdash n} m_{k,\lambda} S^\lambda$ .

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Thus  $\rho_k \cong R_k$  if and only if the distributions of the descent set over  $B_k$  and  $L_k$  are equal.

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- ... serve as a bridge between characters and combinatorial permutation statistics
- ... have fascinating properties, with a strong combinatorial flavor
- ... and offer many more riddles, awaiting (your) solution!

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THANK YOU !