

# AUTOMATA OVER INFINITE DATA DOMAINS: LEARNABILITY AND APPLICATIONS IN PROGRAM VERIFICATION AND REPAIR

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Hadar Frenkel

Advisors: Orna Grumberg & Sarai Sheinvald

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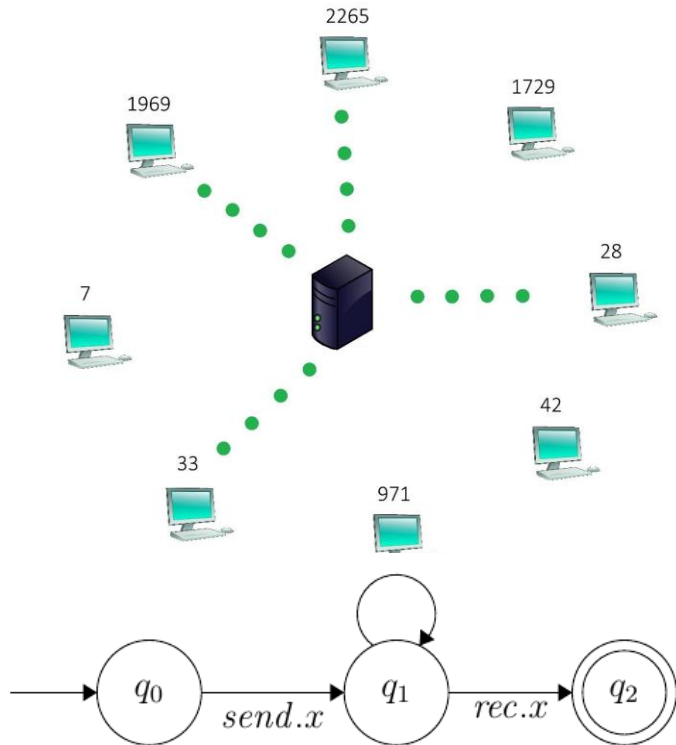
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# Automata over Infinite Data Domains

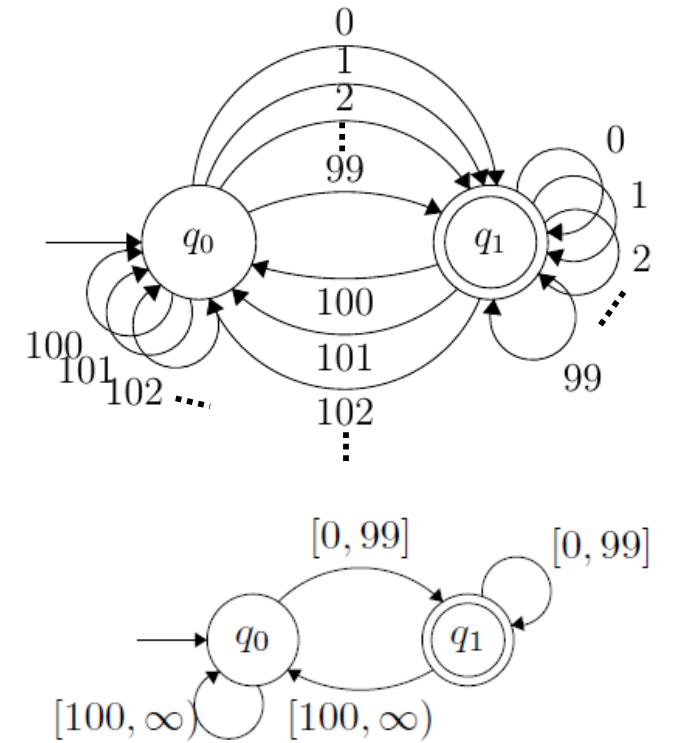
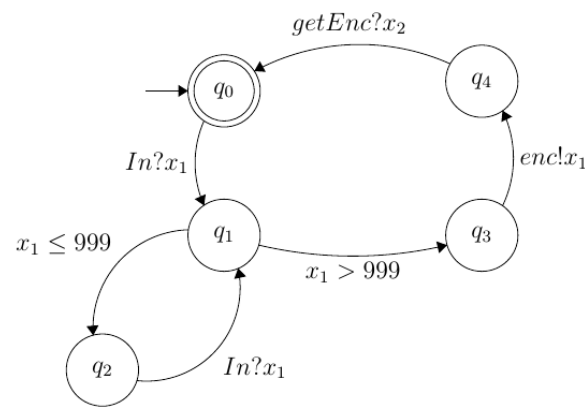
- Model infinite-state system using a finite model



```

1: while (true)
2:   pass = readInput;
3:   while (pass ≤ 999)
4:     pass = readInput;
5:     pass2 = encrypt(pass);

```



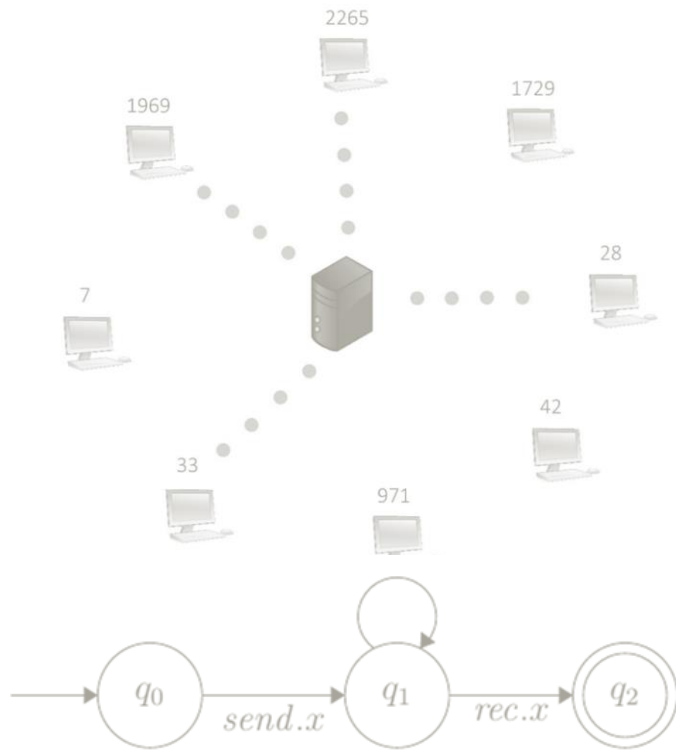
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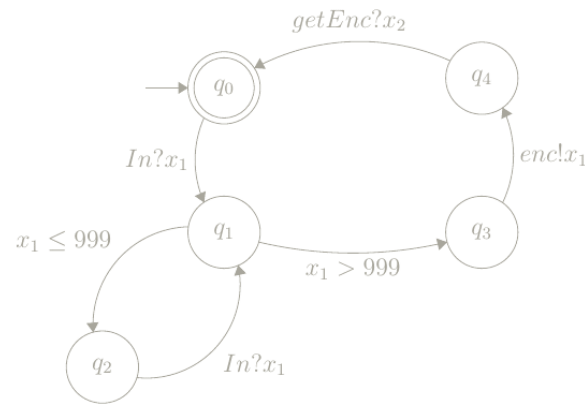
# Learnability



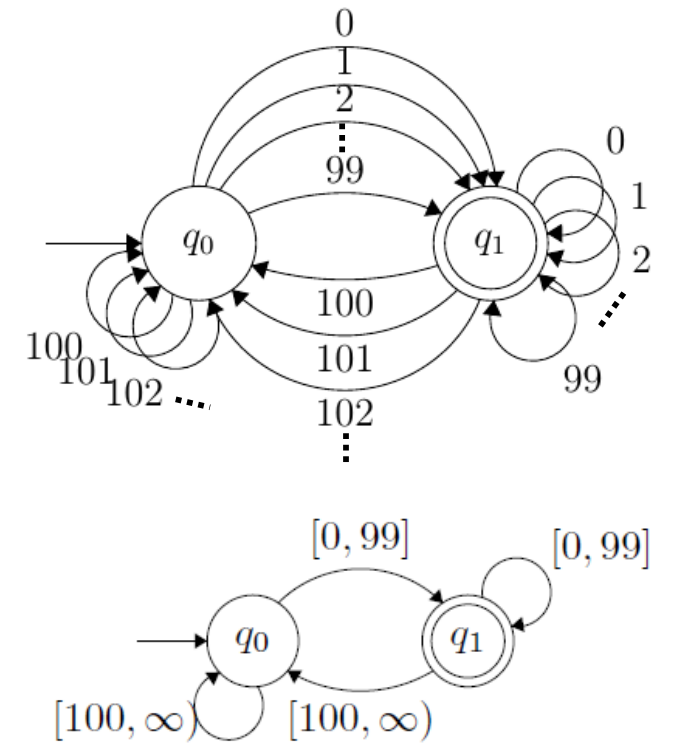
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Learning symbolic automata  
(conditions for learning: L\* and  
identification in the limit)



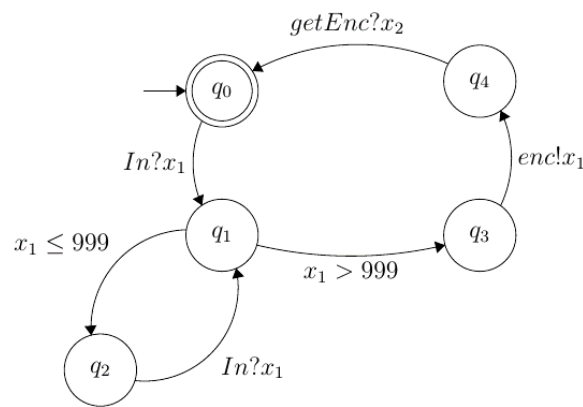
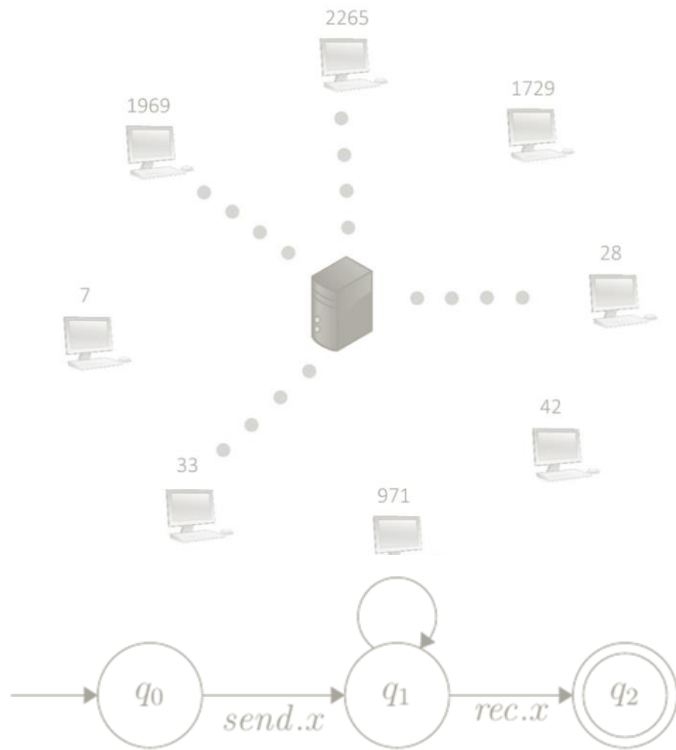
# Learnability

Adapting L\* algorithm for communicating programs

```

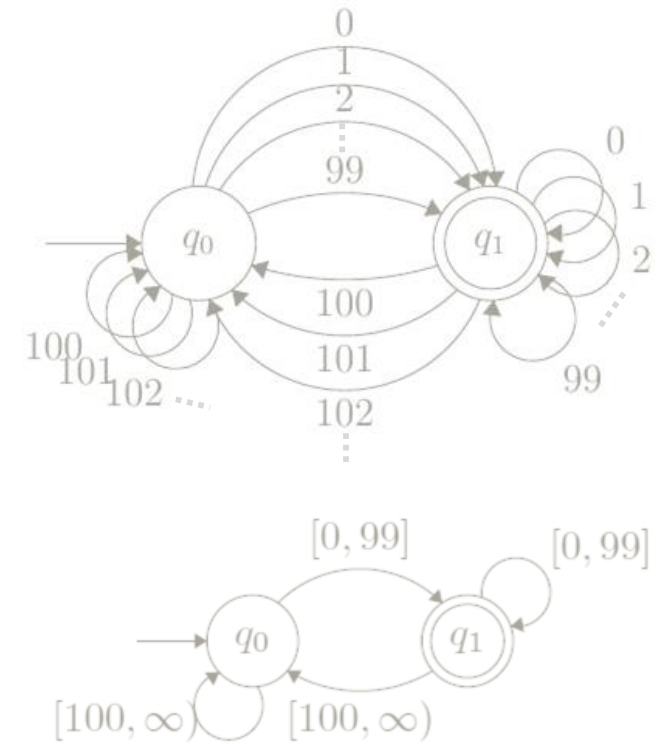
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[Frenkel, Grumberg, Pasareanu, Sheinvald 20]

Learning symbolic automata  
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[Fisman, Frenkel, Zilles]

# AUTOMATA OVER INFINITE DATA DOMAINS: LEARNABILITY AND APPLICATIONS IN PROGRAM VERIFICATION AND REPAIR

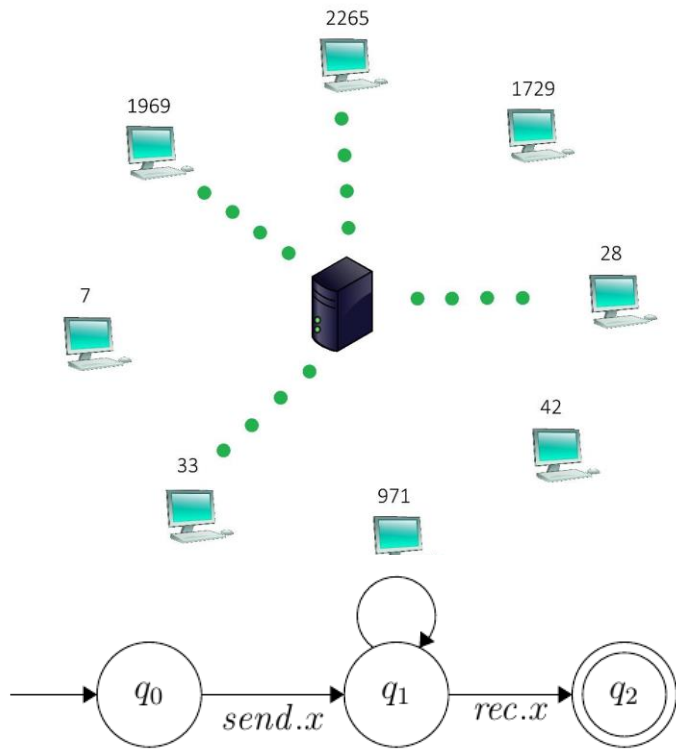
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Hadar Frenkel

Advisors: Orna Grumberg & Sarai Sheinvald

# Applications in Program Verification and Repair

Bounded model-checking algorithm

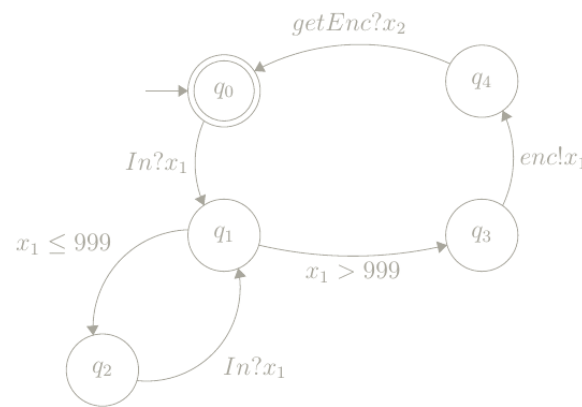


[Frenkel, Grumberg, Sheinvald 17, 19]

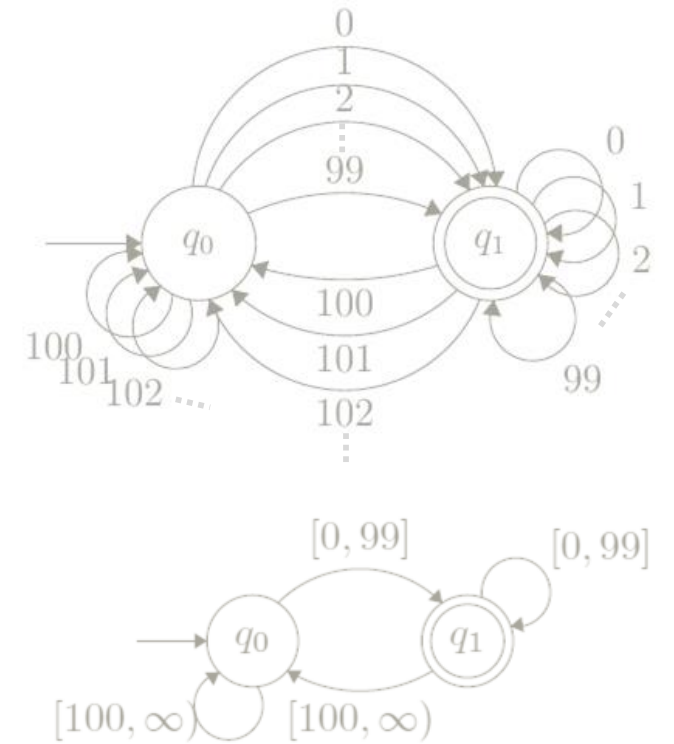
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[Frenkel, Grumberg, Pasareanu, Sheinvald 20]

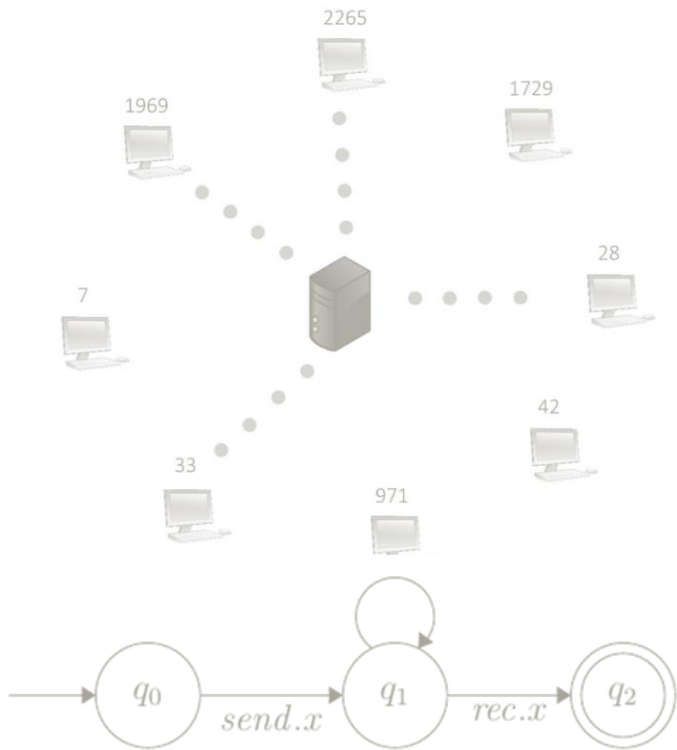


[Fisman, Frenkel, Zilles]



# Applications in Program Verification and Repair

Bounded model-checking algorithm



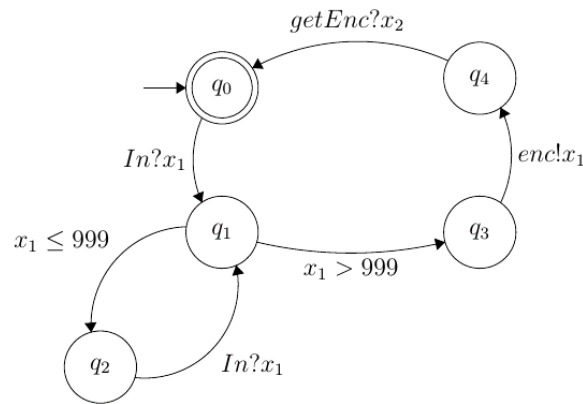
[Frenkel, Grumberg, Sheinvald 17, 19]

Compositional verification and repair algorithm

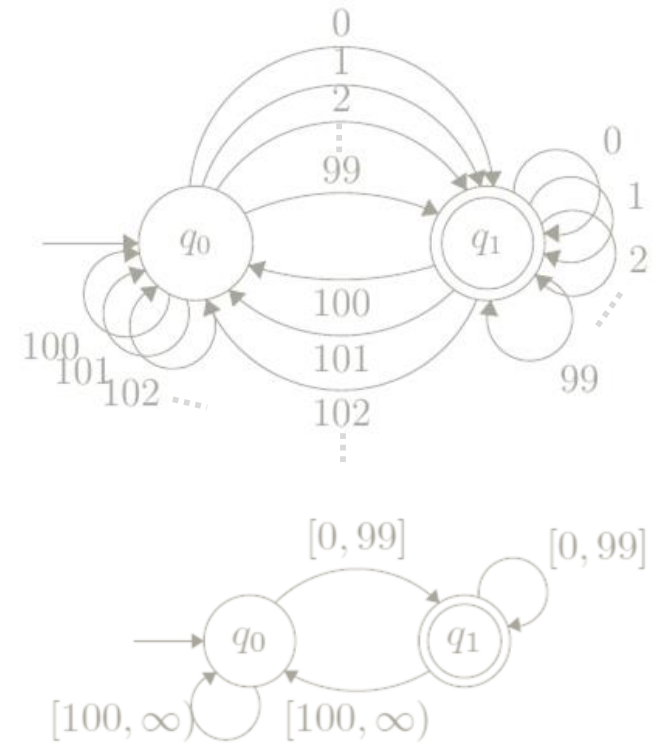
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[Frenkel, Grumberg, Pasareanu, Sheinvald 20]



[Fisman, Frenkel, Zilles]

# MODEL CHECKING SYSTEMS OVER INFINITE DATA

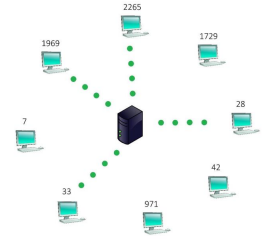
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Joint work with Orna Grumberg and Sarai Sheinvald

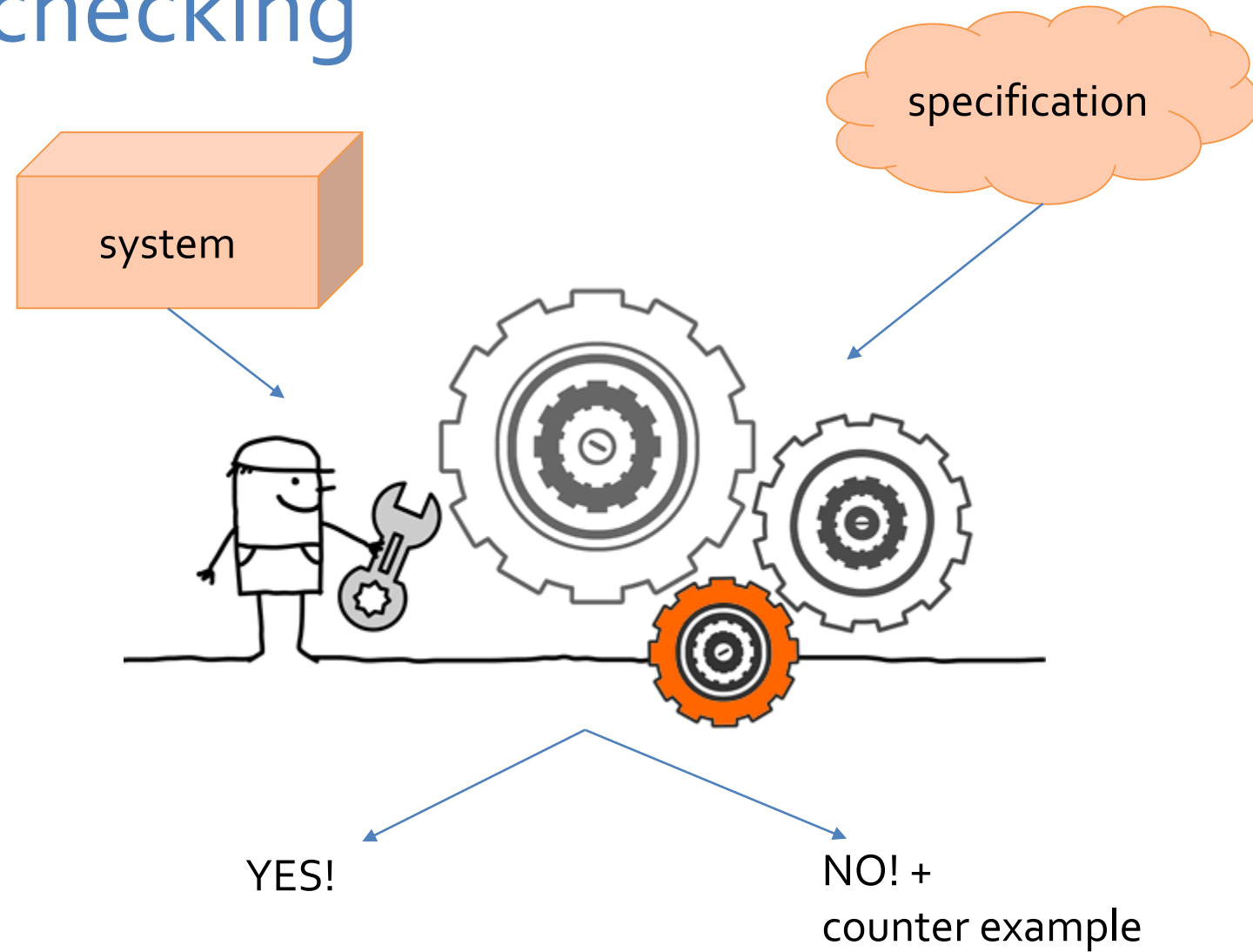
@NFM 2017, @Journal of automated reasoning 2019

# Goal

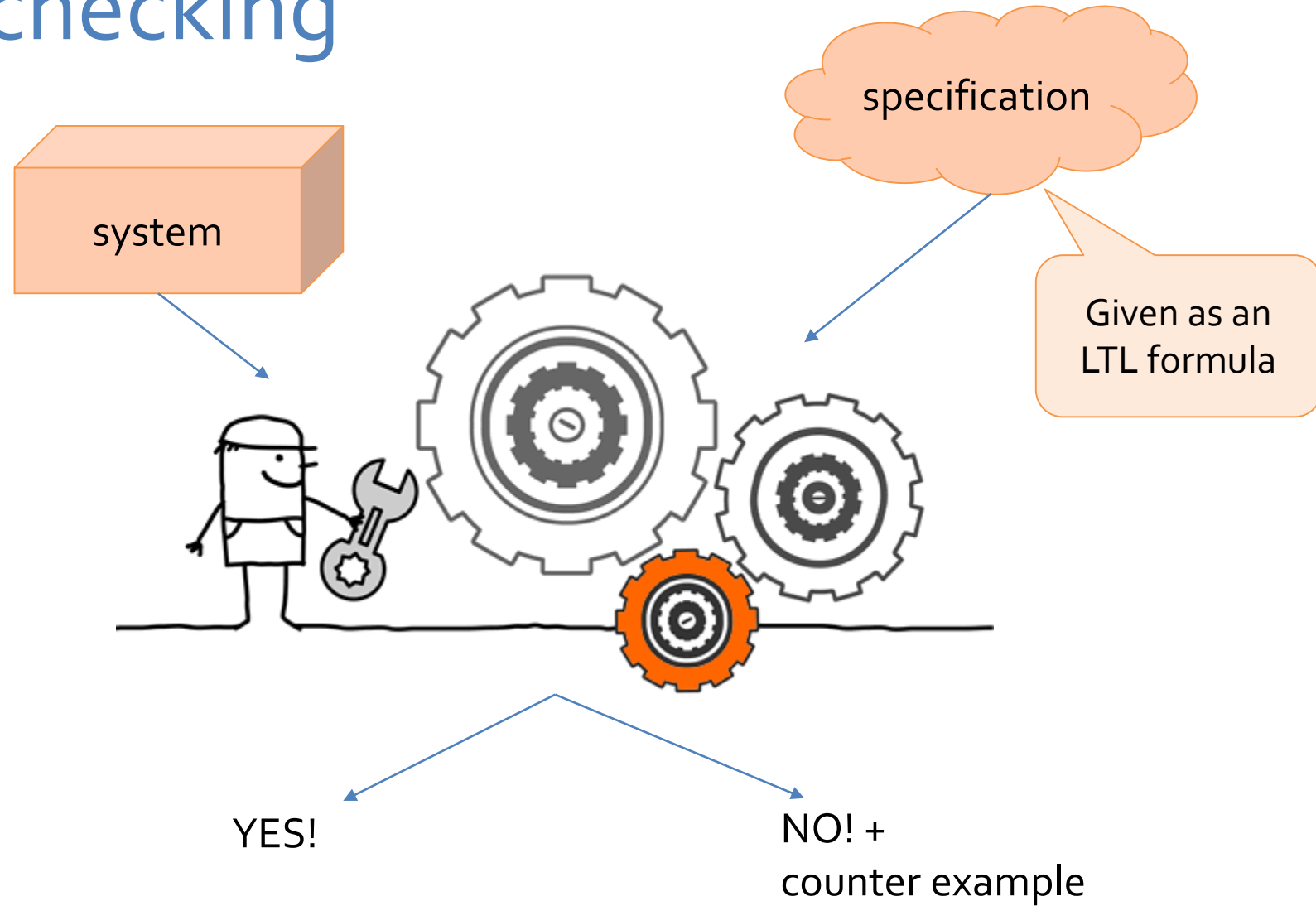
- Develop a Model checking process for systems over infinite data domains
- Using the automata-theoretic approach



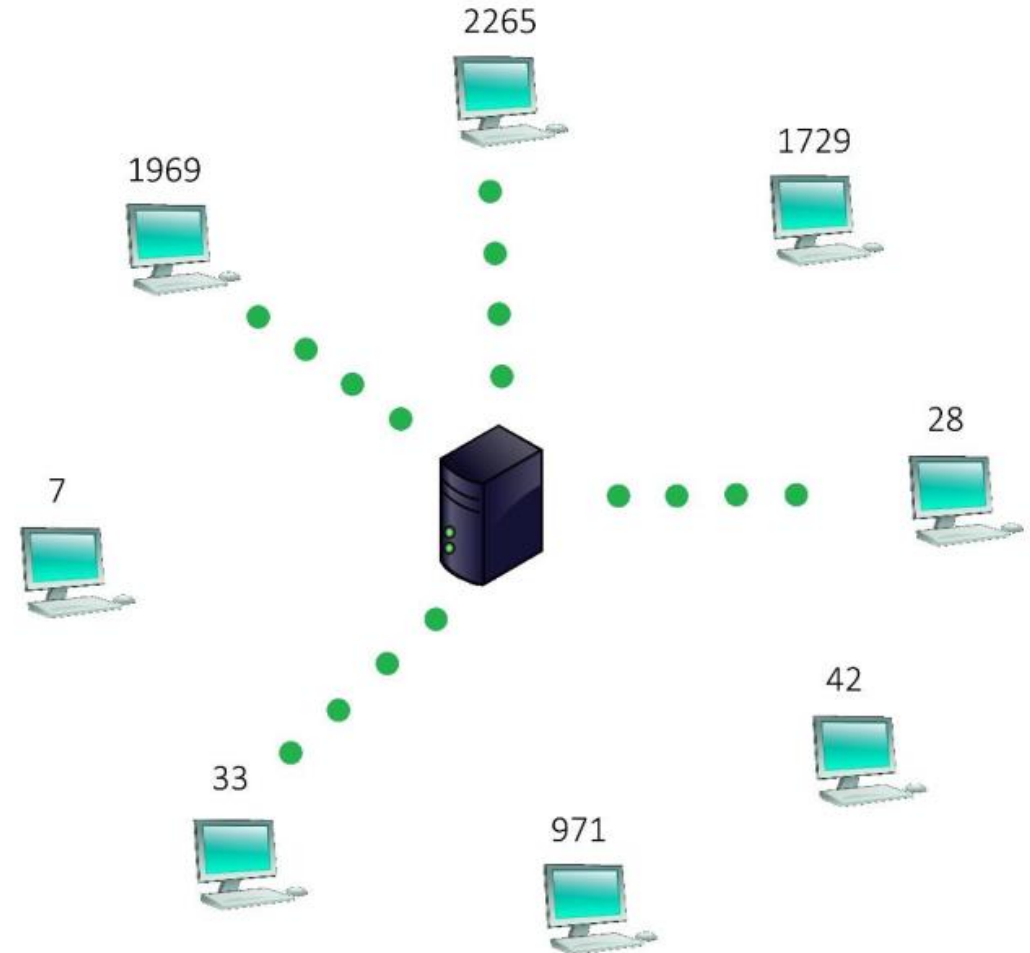
# Model checking



# Model checking

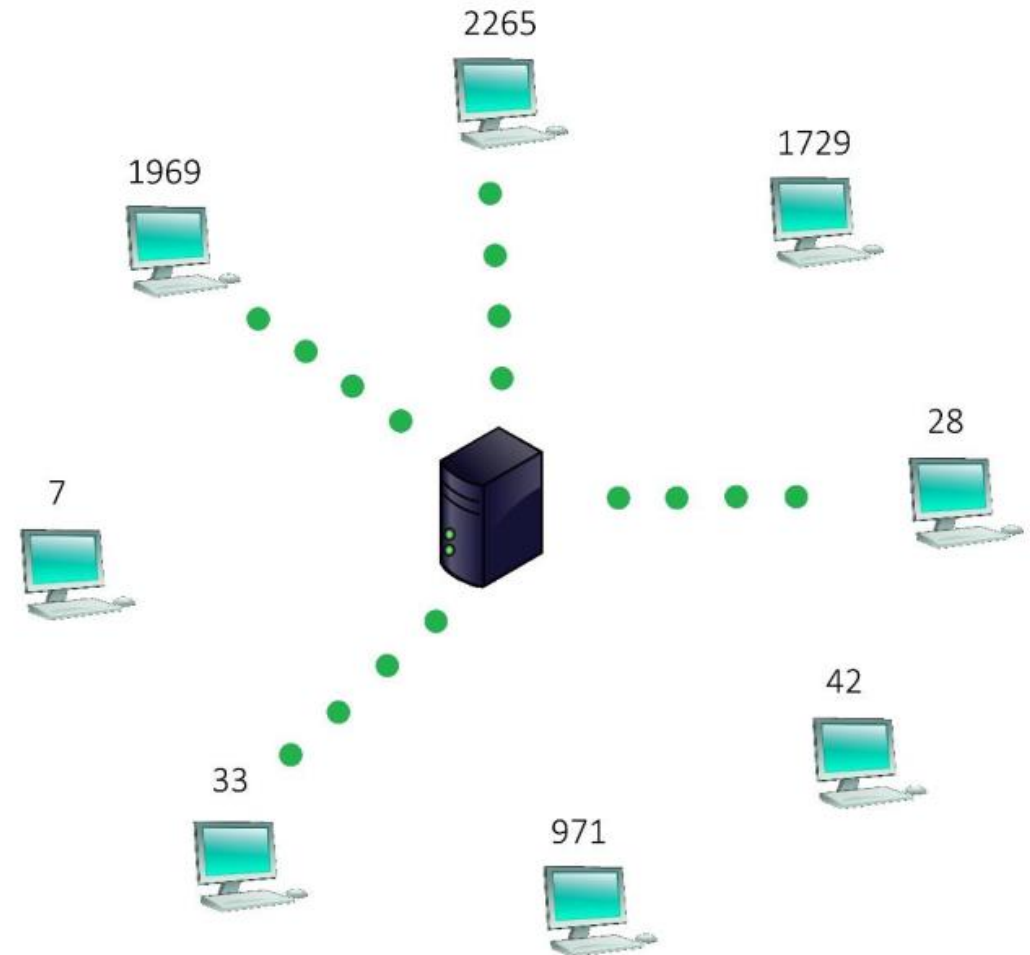


# Verification of Systems over Infinite Data Domains



# Verification of Systems over Infinite Data Domains

- LTL cannot express the property  
*"every client is eventually active"*

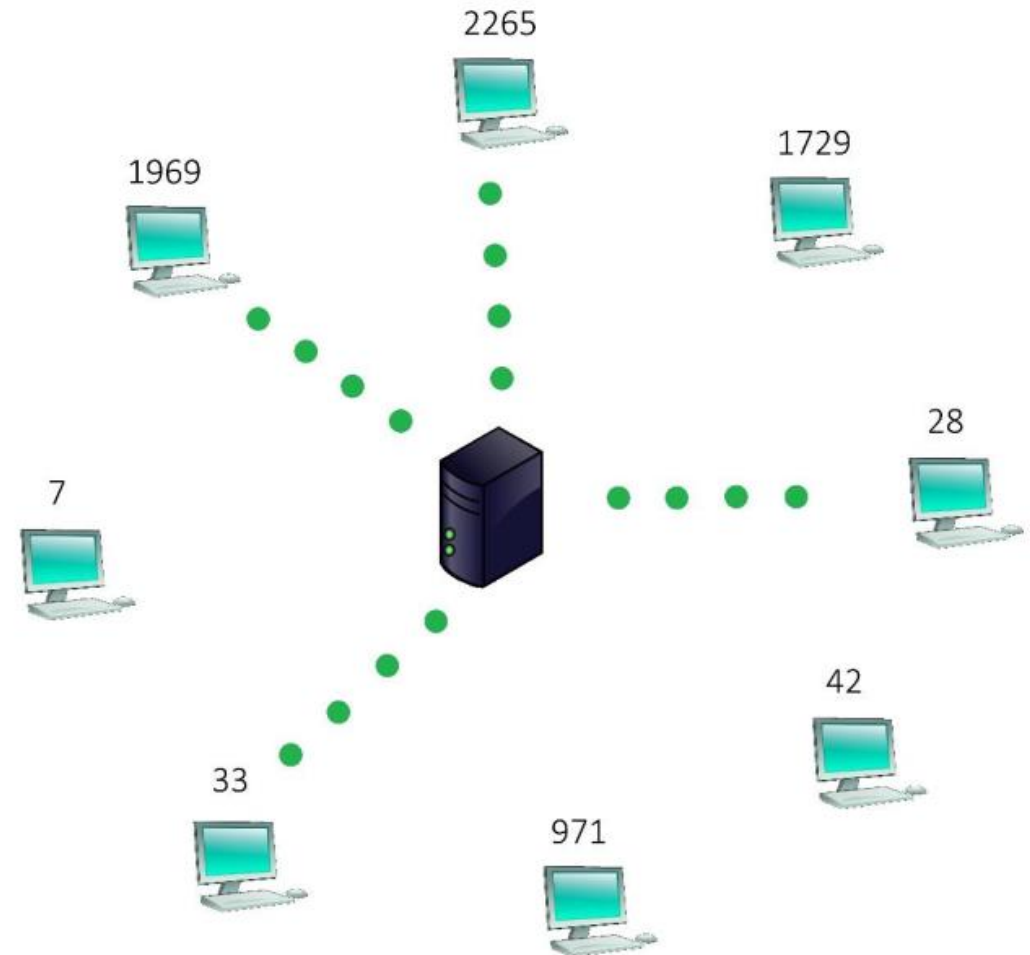


# Verification of Systems over Infinite Data Domains

- LTL cannot express the property "*every client is eventually active*"

## Variable LTL (VLTL) [GKS12]

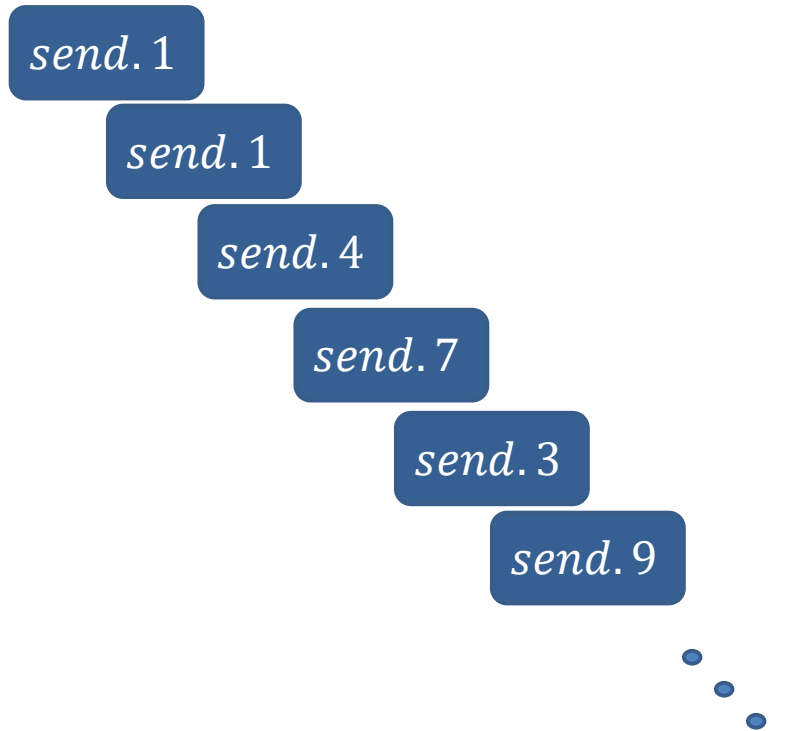
- $\forall x: F \text{ active}.x$
- $AP$  - finite set of (parameterized) propositions
- $V$  - finite set of quantified variables



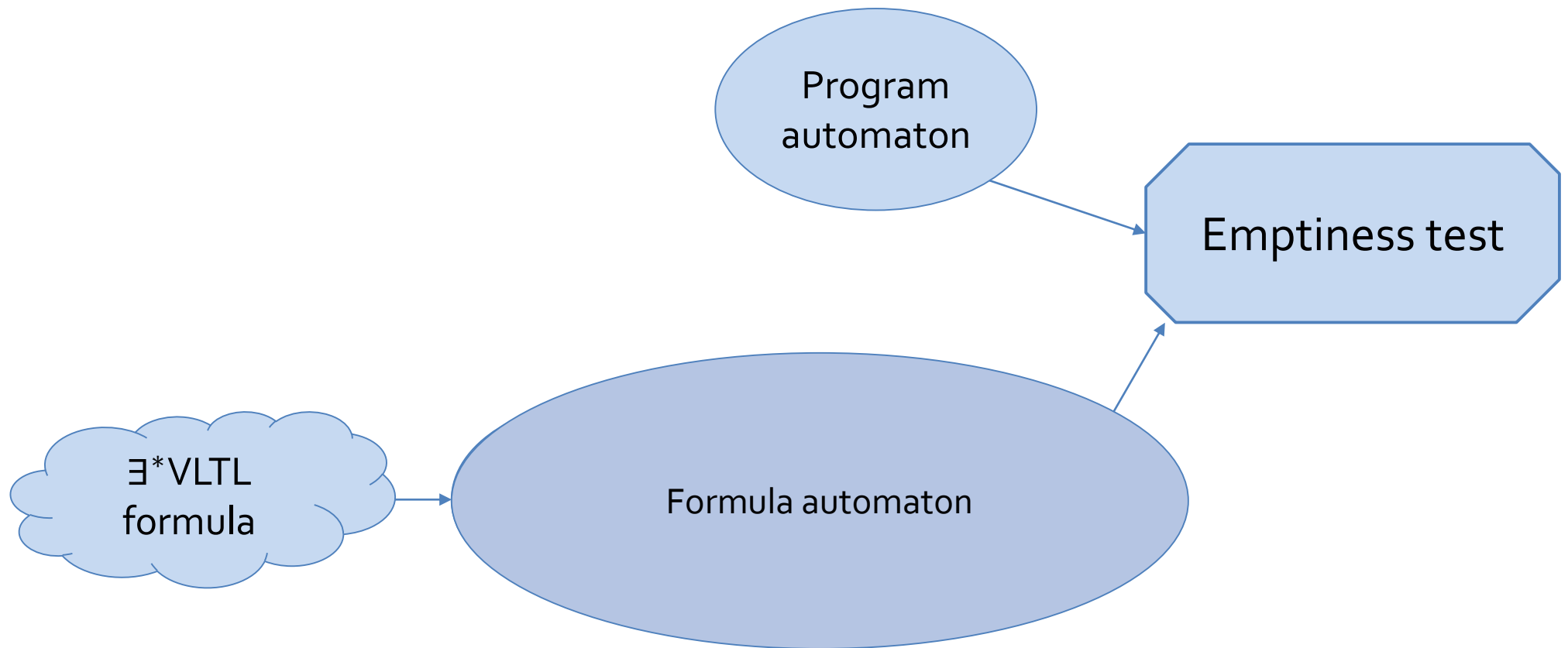


# $\exists^* \text{VTL}$ [GKS12]

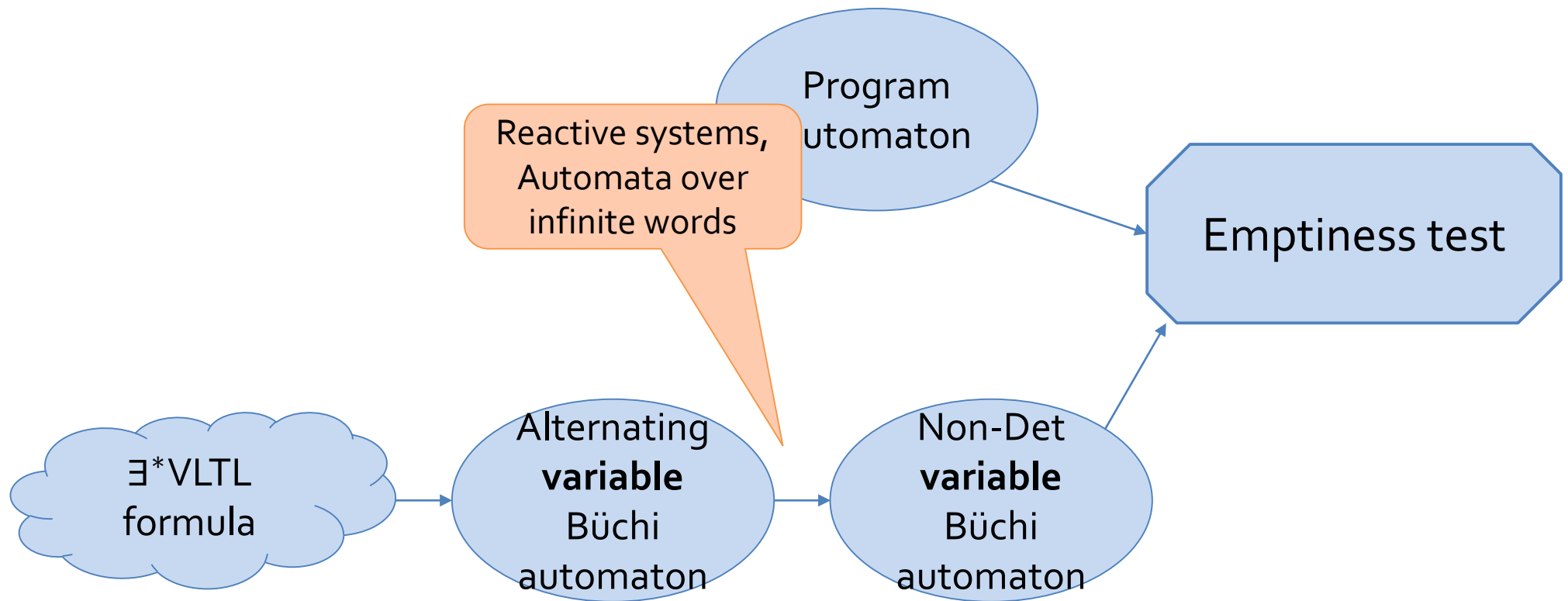
- VTL with only existential quantifiers
- $G \exists x: \text{send}.x$
- A possible satisfying computation
- We are interested in verifying universal properties, the negation that describes a bad behavior is existential



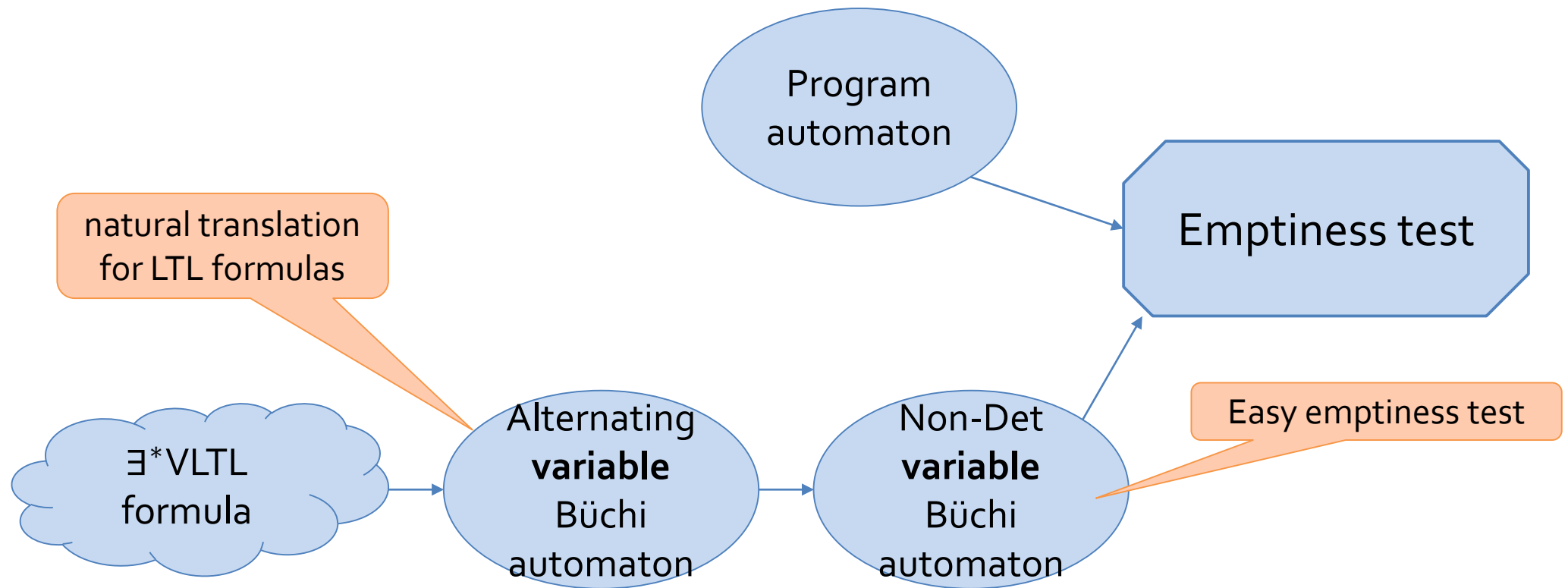
# Model Checking - Infinite Data Domains



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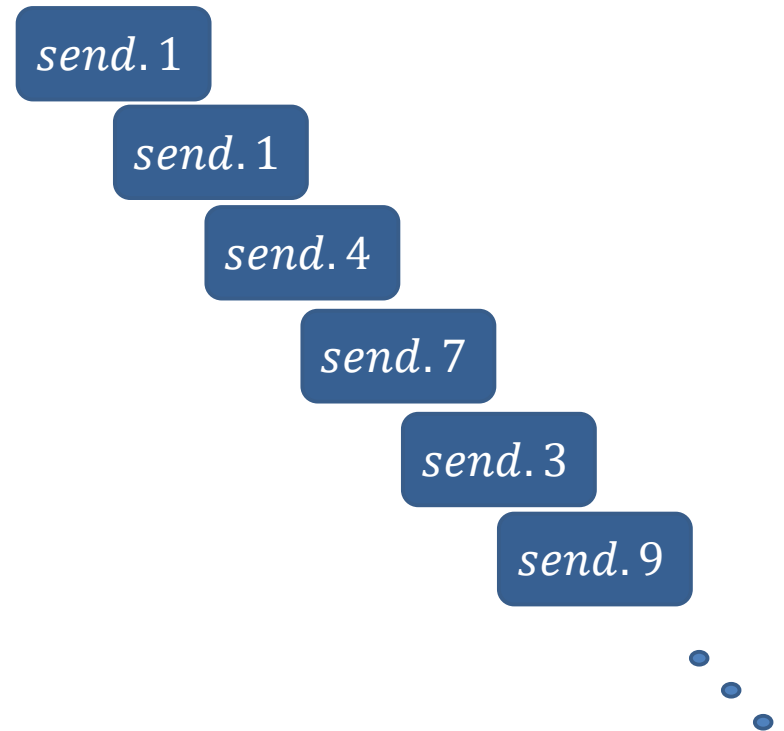
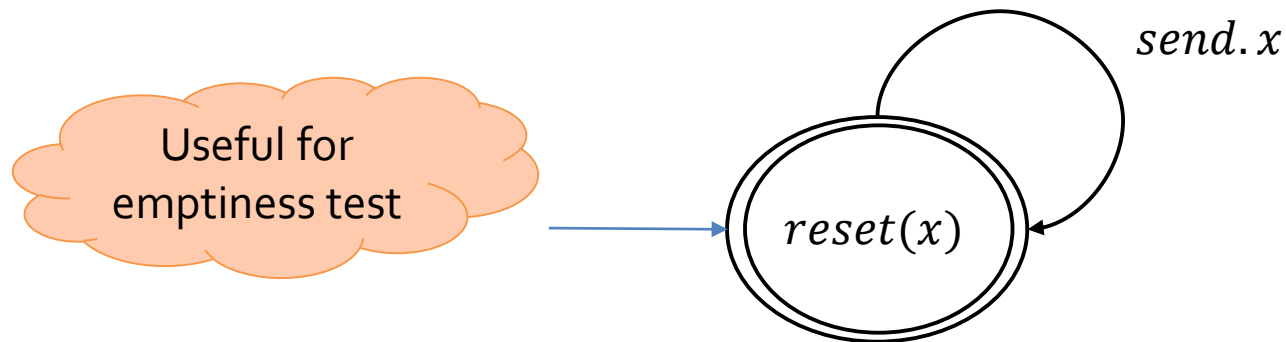


# Model Checking - Infinite Data Domains



# Non-Deterministic Variable Büchi Automata (NVBW) [GKS13]

- $G \exists x: send.x$
- Alphabet is parameterized propositions
- Ability to reset a variable and to assign it a new value
- As long as there is no reset - the value cannot be changed



# NVBW Cannot Express all $\exists^*$ VLT

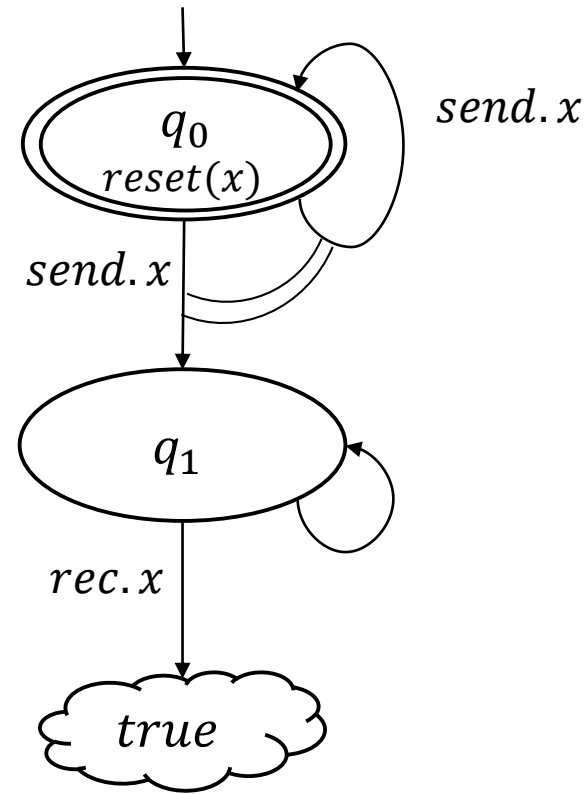
- $G (\exists x: send.x \wedge XF receive.x)$



- Increasing gaps between  $send.x, receive.x$ .
- Not enough variables and states to remember all values

# Alternating Variable Büchi Automata (AVBW)

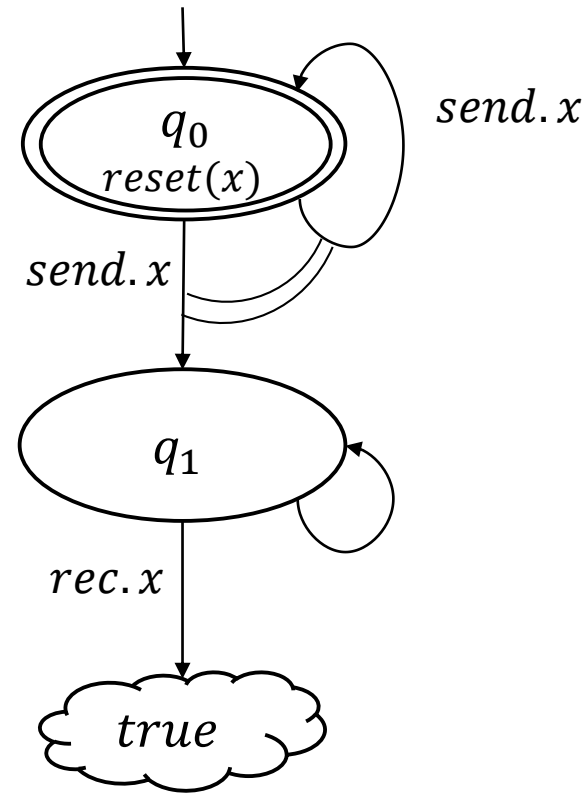
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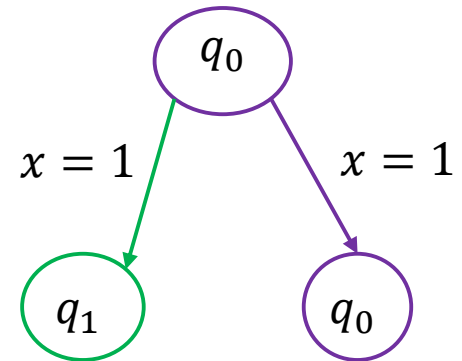
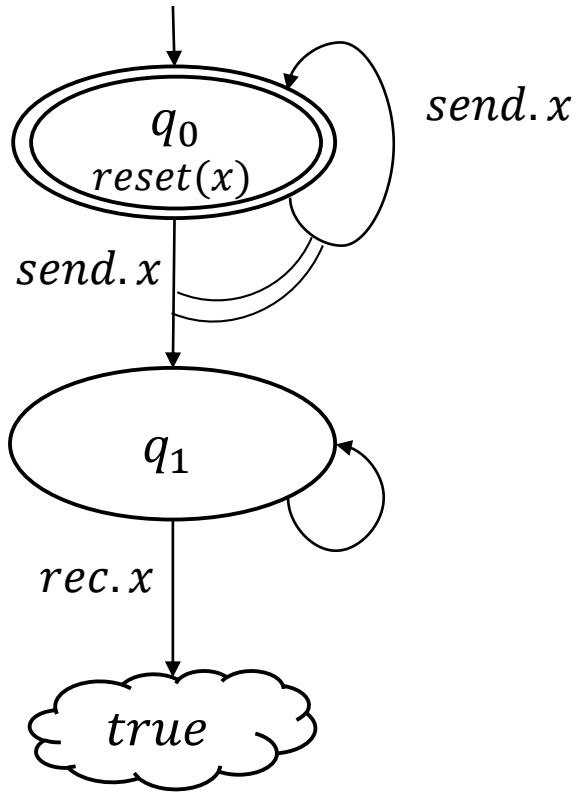
Easy construction  
from  $\exists^*$  VLTL





# Alternating Variable Büchi Automata (AVBW)

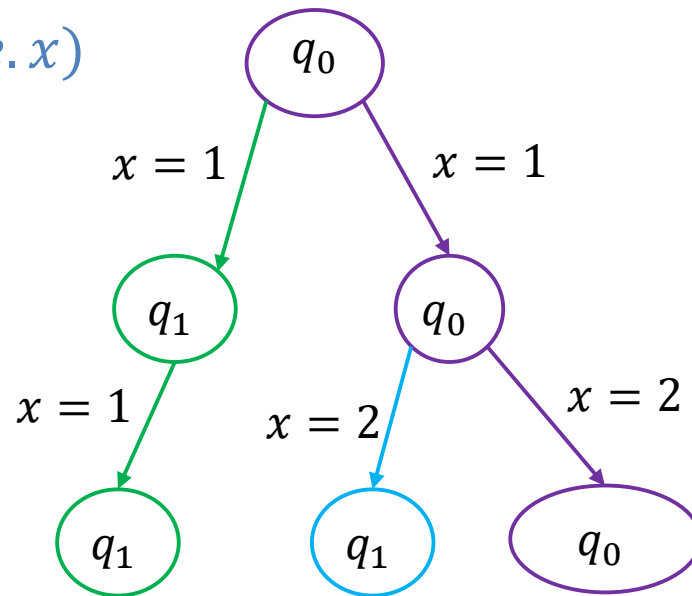
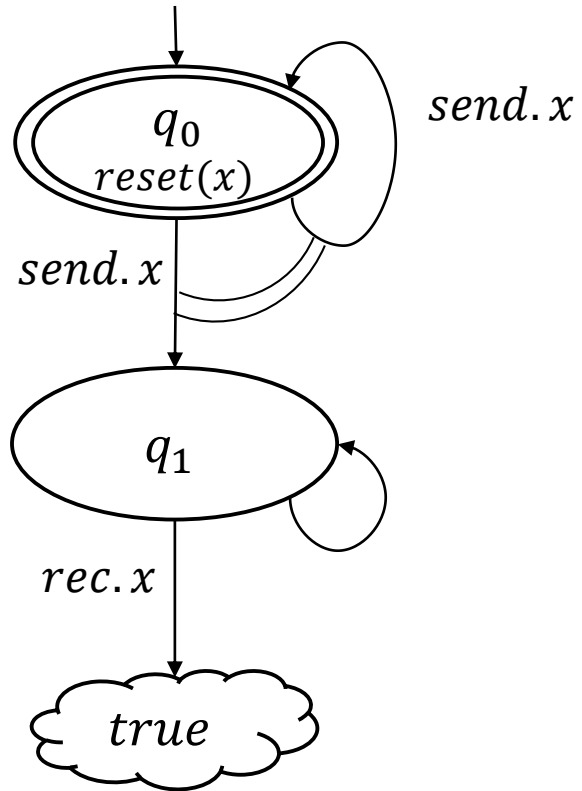
- $G (\exists x: send.x \wedge XF receive.x)$



*send.1*

# Alternating Variable Büchi Automata (AVBW)

- $G (\exists x: send.x \wedge XF receive.x)$

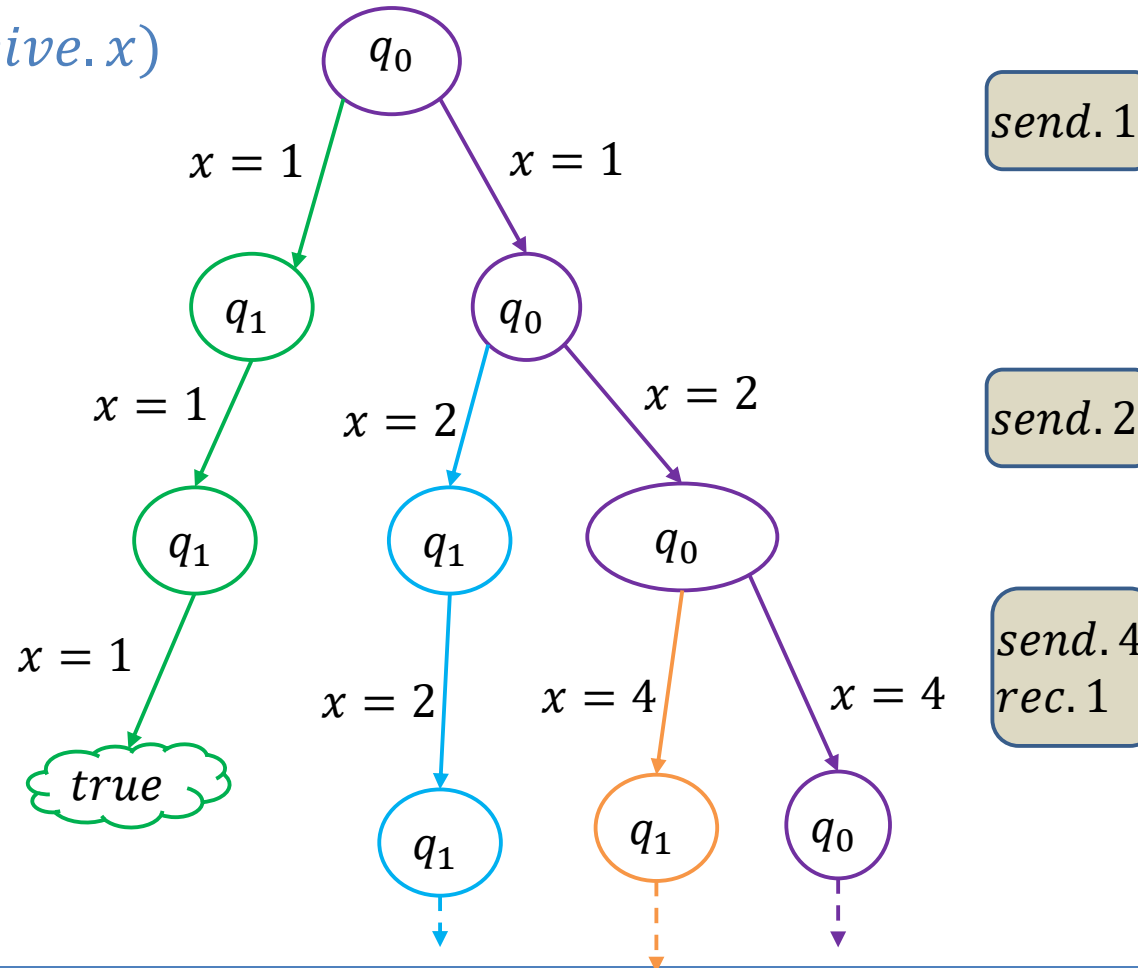
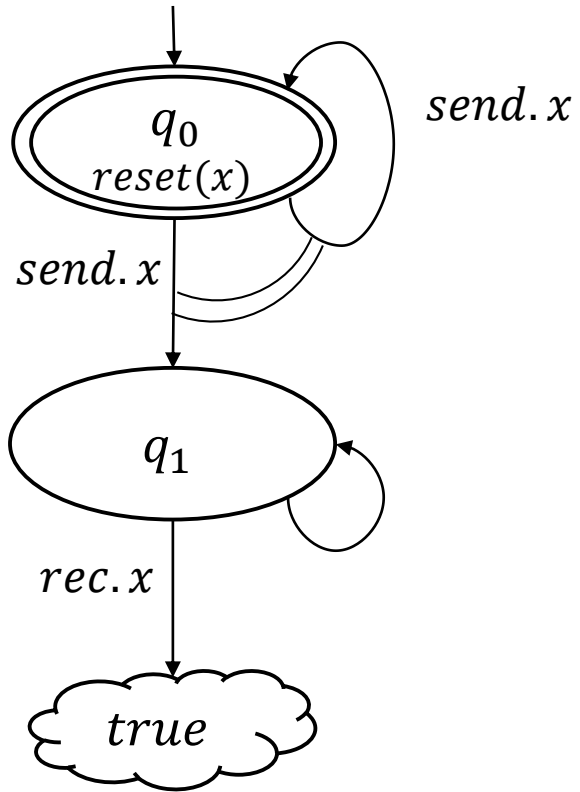


send.1

send.2

# Alternating Variable Büchi Automata (AVBW)

- $G (\exists x: send.x \wedge XF receive.x)$

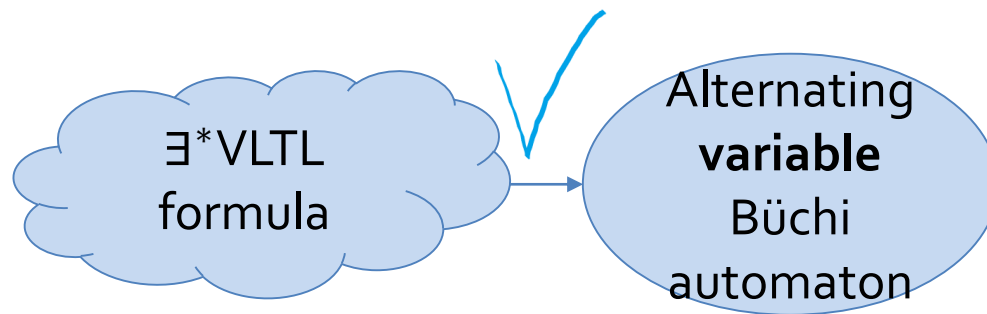


# VLTL to AVBWs

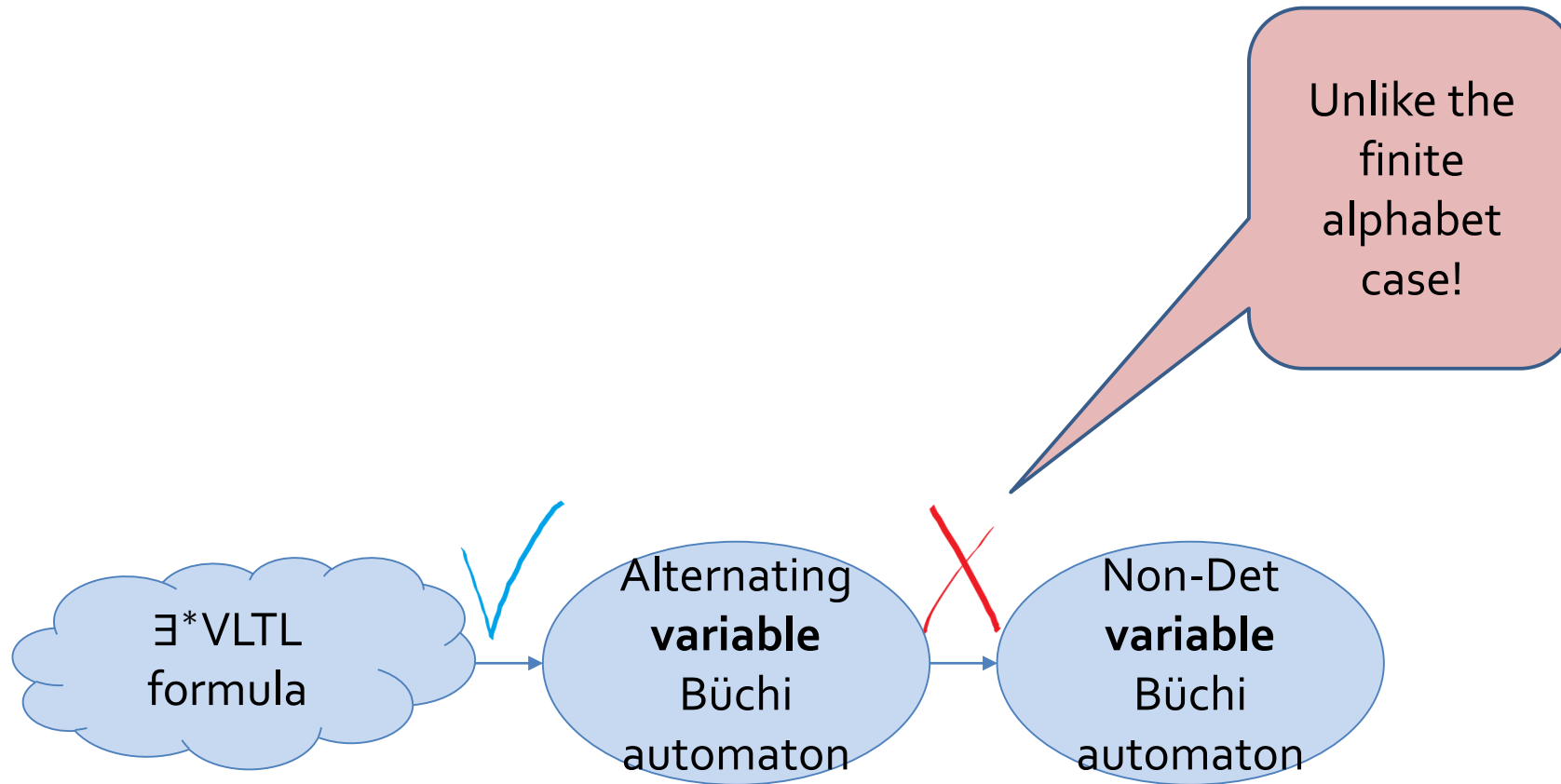
- Similar to [V95]
- Special care of resets
- $X = vars(\varphi) \cup \{x_p \mid p \in AP\}$
- $Q = sub(\varphi)$
- Reset
  - $x_p$  variables
  - variables under  $\exists$
- $x \neq y$  for  $\neg a.x \in sub(\varphi)$

- $\delta(a.x, A) = true$  if  $a.x \in A$  and  $\delta(a.x, A) = false$ , otherwise.
- $\delta(\neg a.x, A) = \neg\delta(a.x, A)$ .<sup>4</sup>
- $\delta(\eta \wedge \psi, A) = \delta(\eta, A) \wedge \delta(\psi, A)$ .
- $\delta(\eta \vee \psi, A) = \delta(\eta, A) \vee \delta(\psi, A)$
- $\delta(\mathbf{X}\eta, A) = \eta$
- $\delta(\eta \mathbf{U} \psi, A) = \delta(\psi, A) \vee (\delta(\eta, A) \wedge \eta \mathbf{U} \psi)$
- $\delta(\eta \mathbf{V} \psi, A) = \delta(\eta \wedge \psi, A) \vee (\delta(\psi, A) \wedge \eta \mathbf{V} \psi)$
- $\delta(\exists x\eta, A) = \delta(\eta, A)$

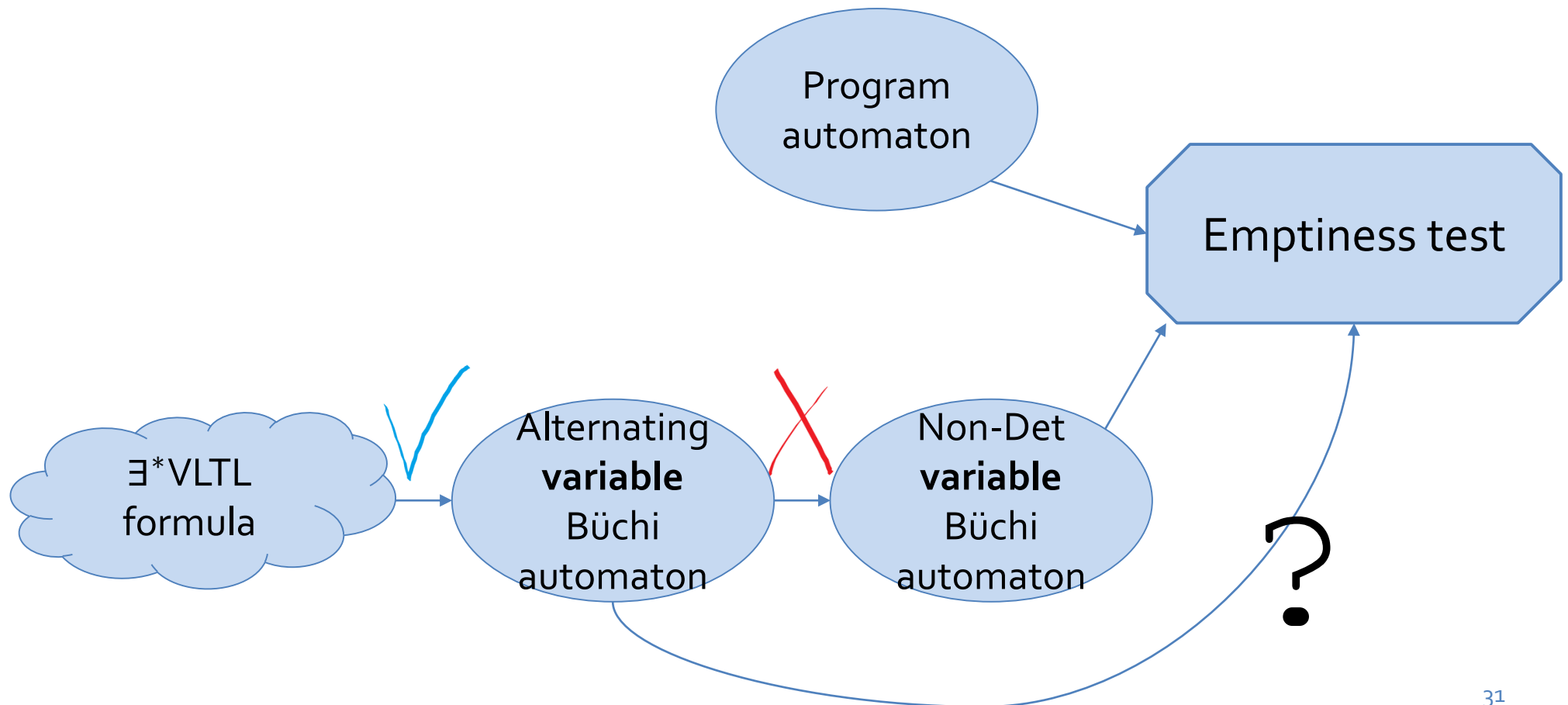
# Model Checking - Infinite Data Domains



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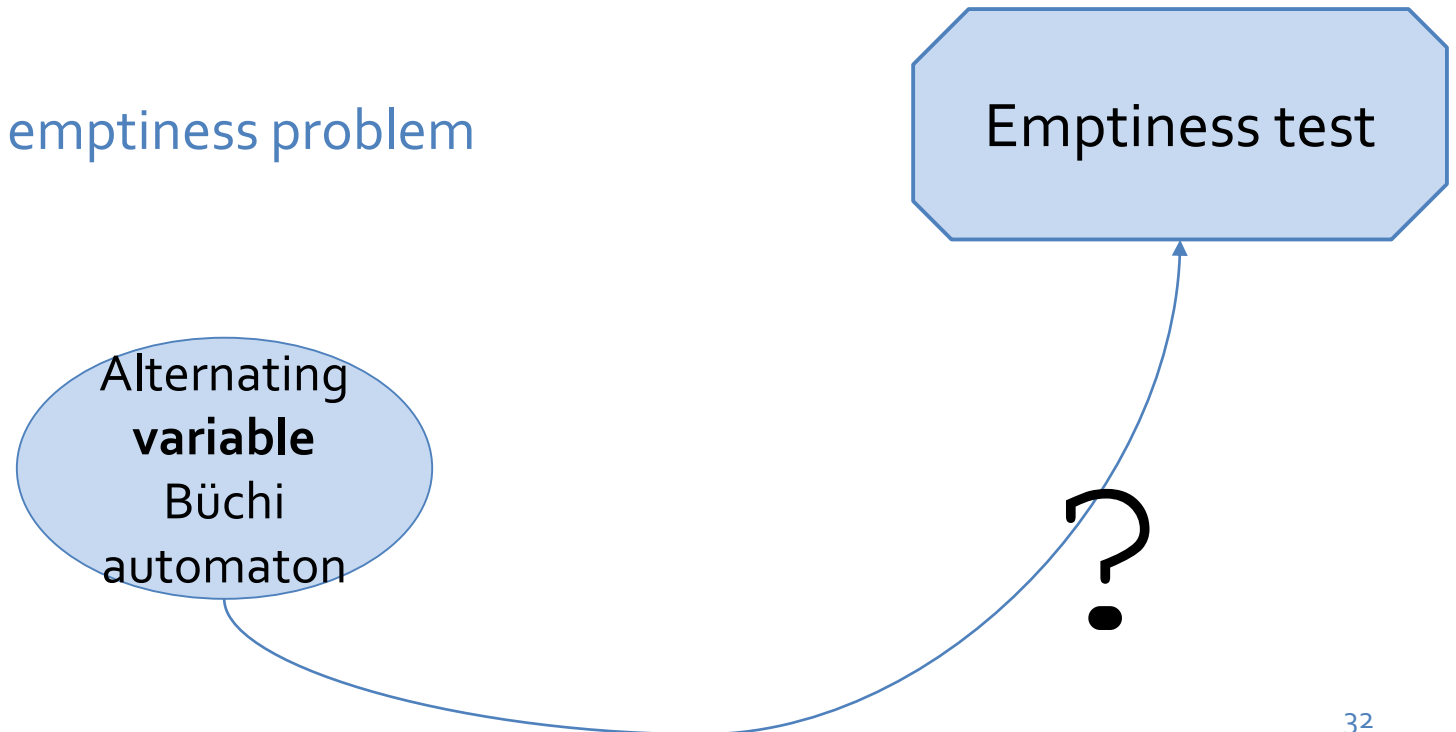


# Model Checking - Infinite Data Domains



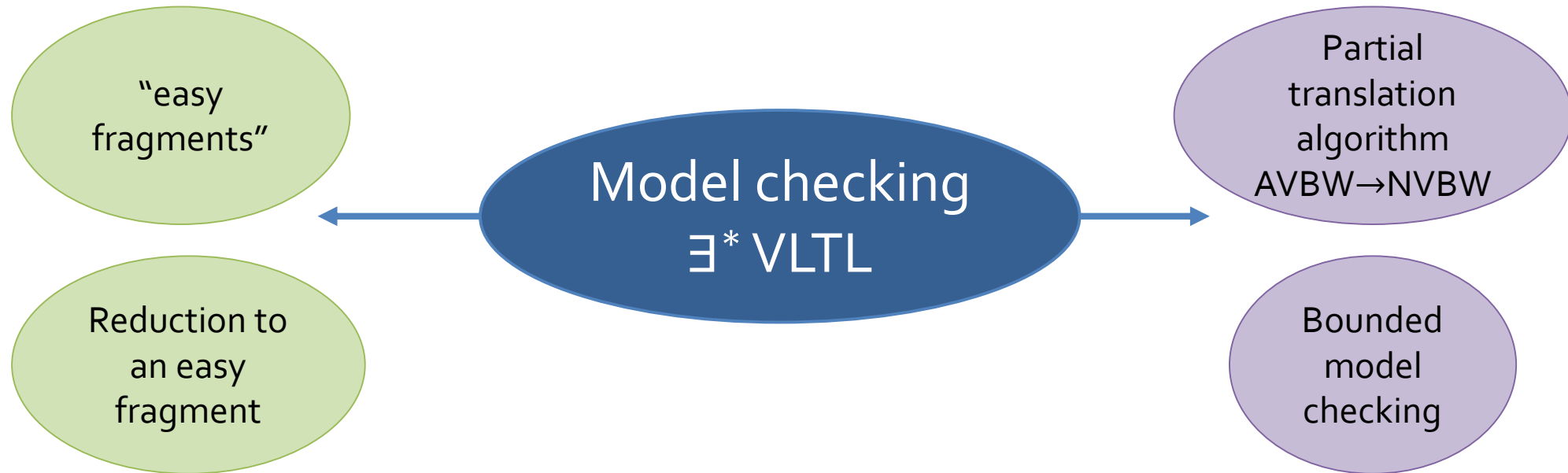
# Model Checking - Infinite Data Domains

- Emptiness of AVBW is undecidable
- Satisfiability problem of  $\exists^*$ VLTL formulas is undecidable [SW14]
- $\exists^*$ VLTL  $\equiv$  AVBW, thus
  - Satisfiability problem  $\equiv$  emptiness problem

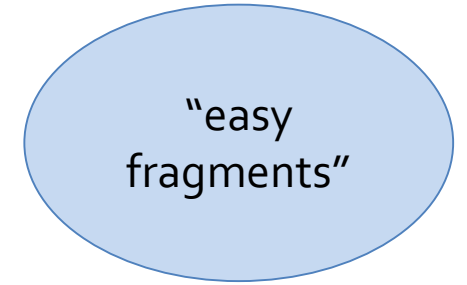




# Solutions



# $\exists^*$ VLTTL Formulas with a Direct Construction to NVBW

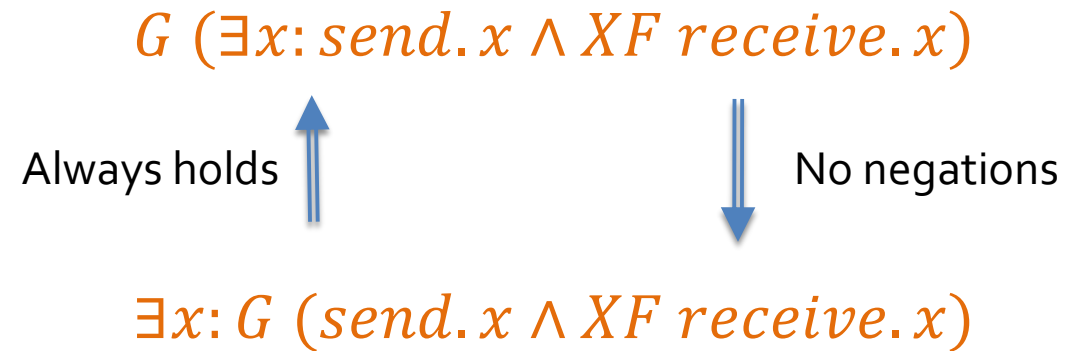


- PNF formulas  $\exists x: G \text{ send}.x \quad (\text{send}.7)^\omega$
- $X, F$  formulas
- Quantifiers are at the beginning \ next to atomic propositions  $\exists x_1: G \text{ send}.x_1 \wedge G \exists x_2: \text{rec}.x_2$

# Flattening

Reduction to  
an easy  
fragment

- A formula with no negations has an equisatisfiable formula in PNF



# Translation Algorithm

Partial  
translation  
algorithm  
AVBW→NVBW

- A partial algorithm for translation
- Based on the Miyano-Hayashi construction [MH84]

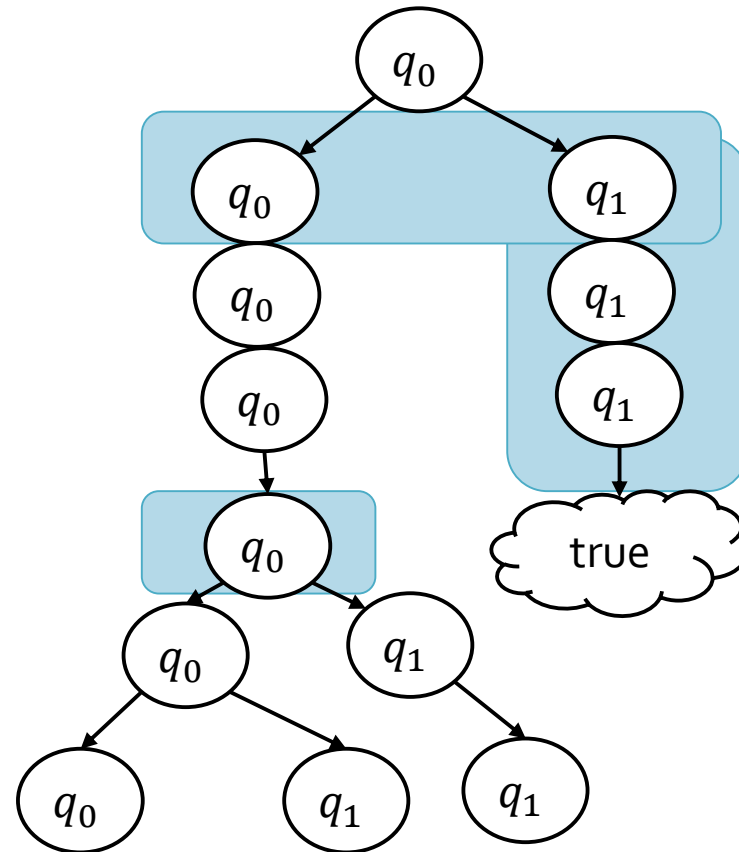
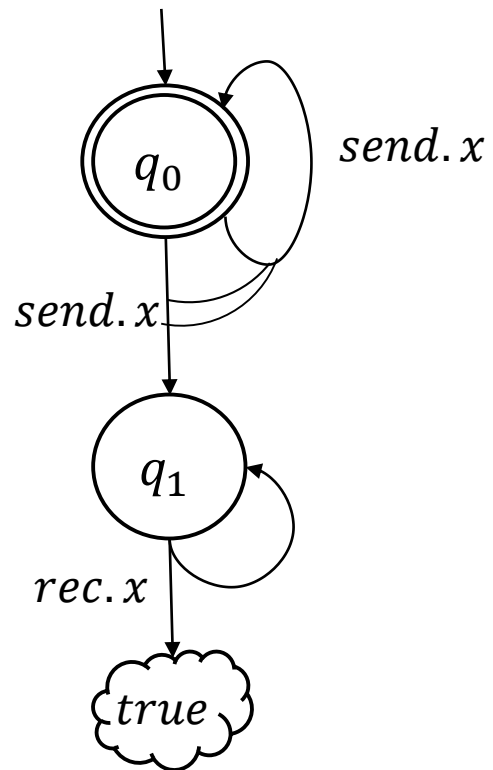
AND

- Take care of variables, resets
- Map variables of alternating automaton to variables of non-deterministic automaton

$$\left( \left( \begin{array}{l} (q_0, \emptyset) \\ (q_1, x \rightarrow z_1) \\ (q_1, x \rightarrow z_3) \end{array} \right), \{(q_1, x \rightarrow z_1)\} \right) \\ \text{reset}(z_2)$$

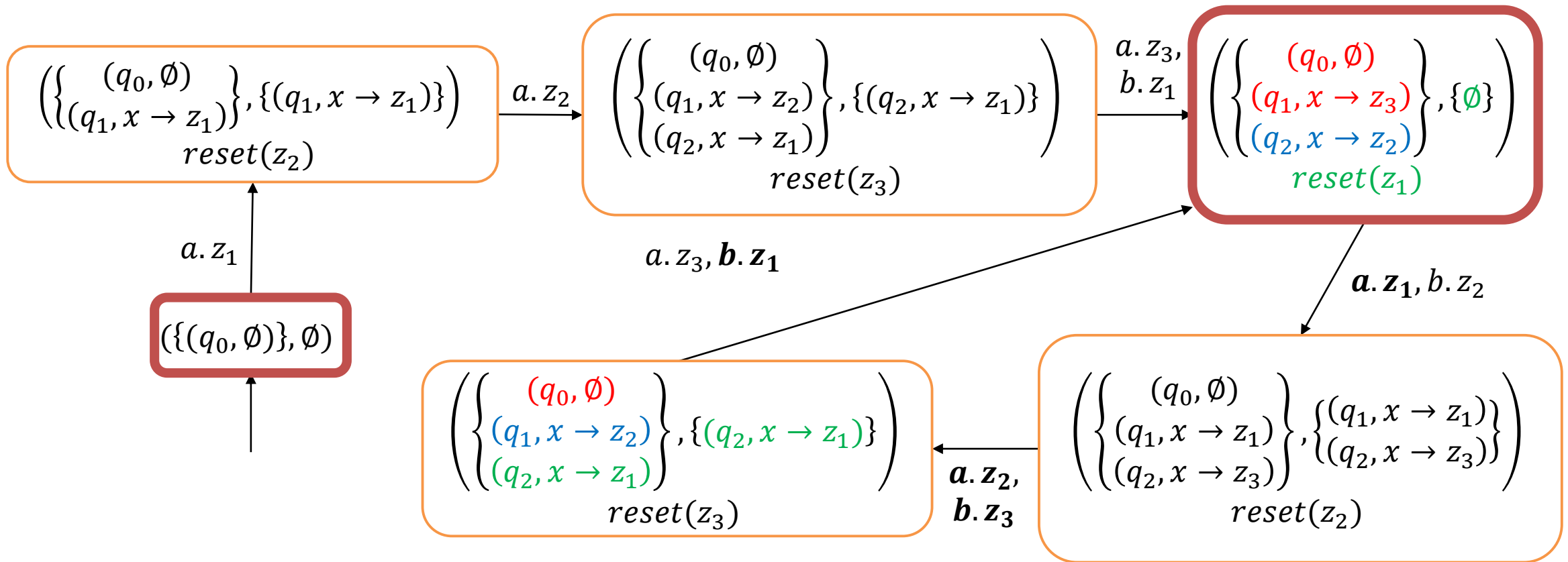
# Alternating to Non-Deterministic [MH84]

- $G$  ( $send \rightarrow XF$  receive)



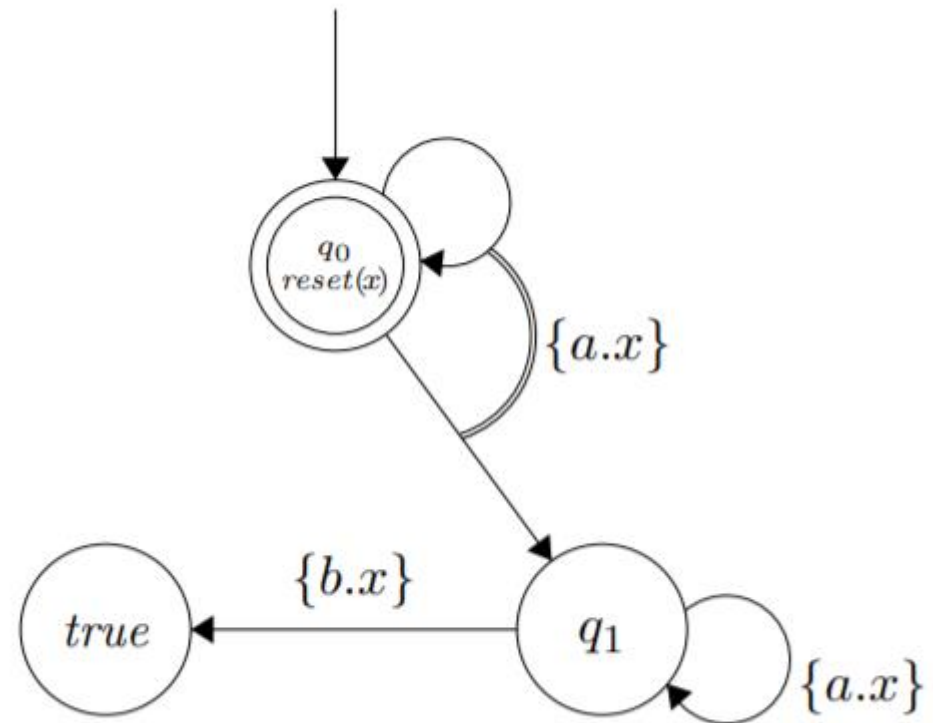
# AVBW to NVBW

- $G\exists x: a.x \wedge XX b.x$



# Incompleteness

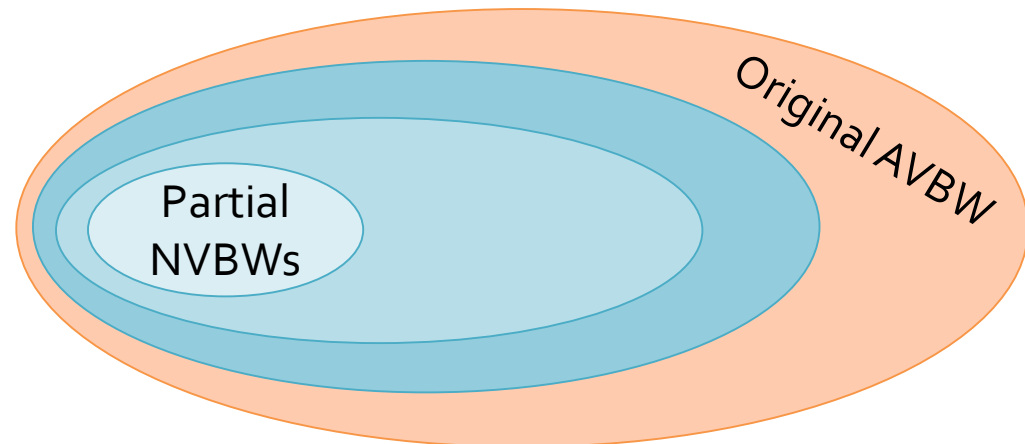
- The empty language
- Our algorithm does not halt



# BMC Algorithm

Bounded  
model  
checking

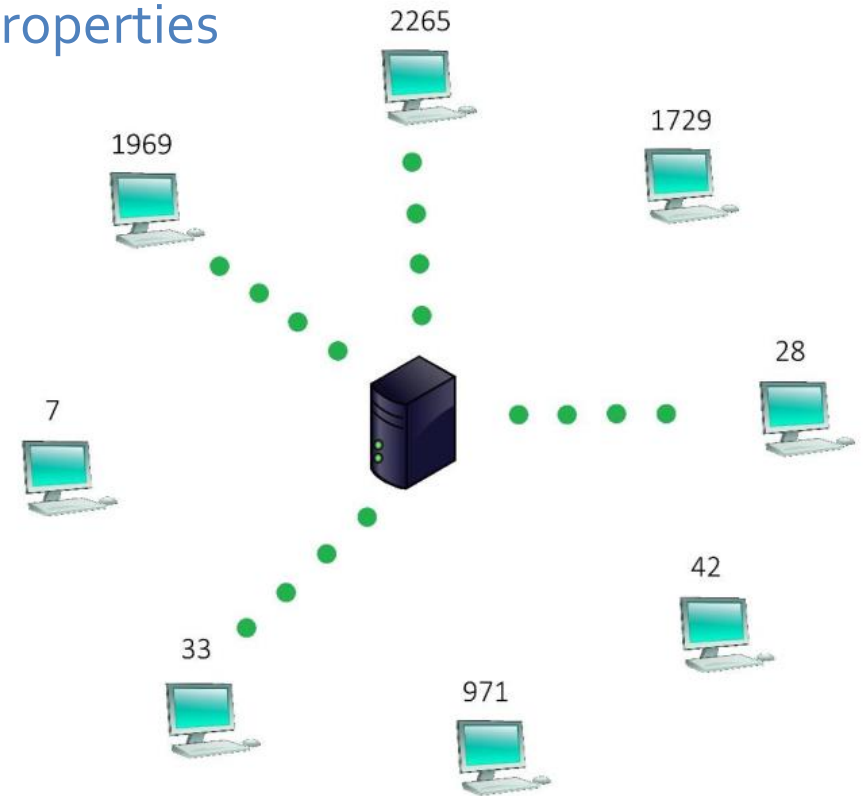
- Based on the translation algorithm
- We are looking for a *witness* to non-emptiness
- Test emptiness with a partial NVBW
- Might find “more interesting” witnesses as the algorithm continues





# VLTL Summary

- Using alternating variable automata to model VLTL properties
- Translation algorithm from AVBWs to NVBWs
- Bounded model-checking procedure for  $\exists^*$ VLTL
- Easy fragments for model-checking



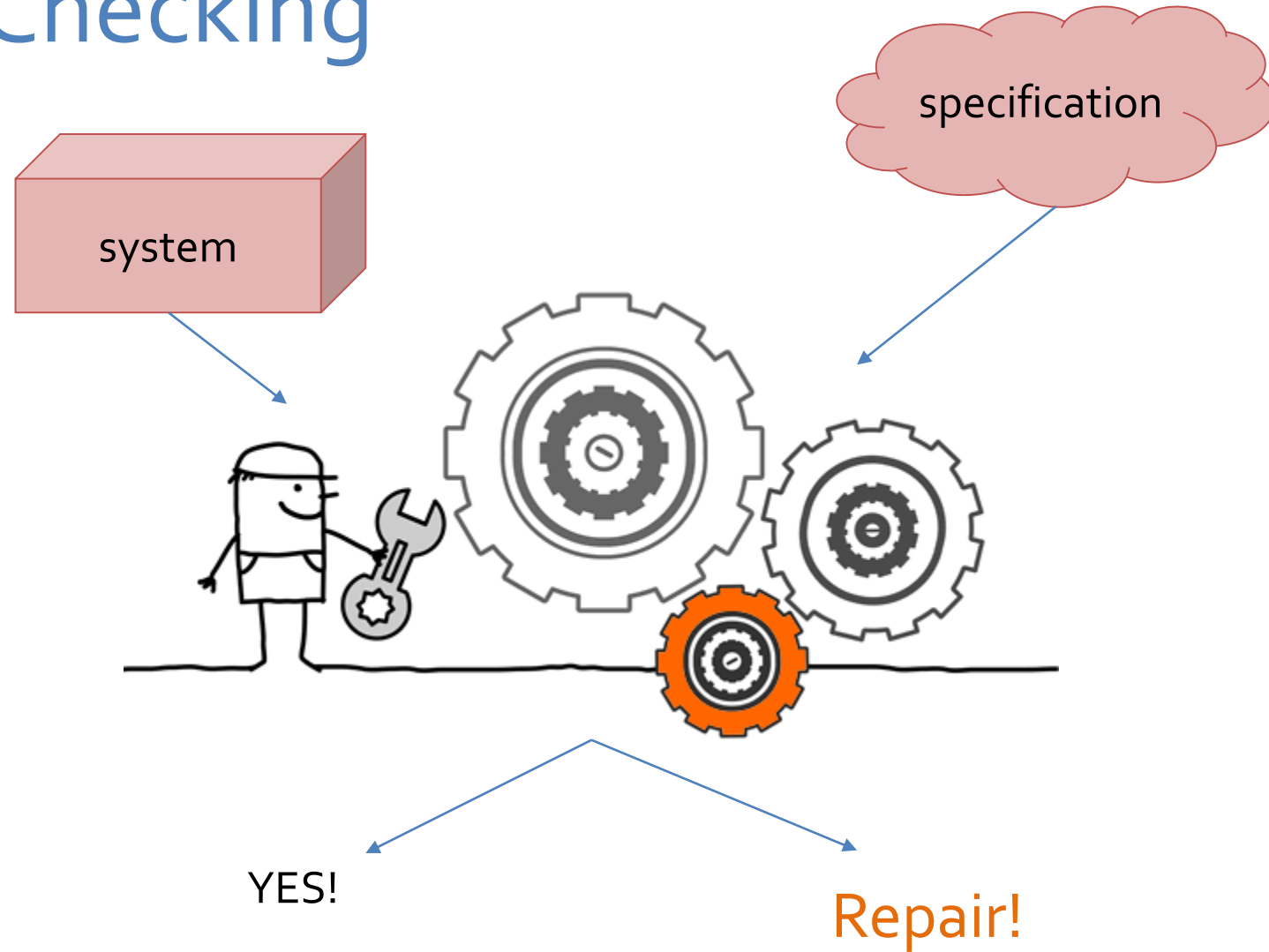
# COMPOSITIONAL VERIFICATION AND REPAIR

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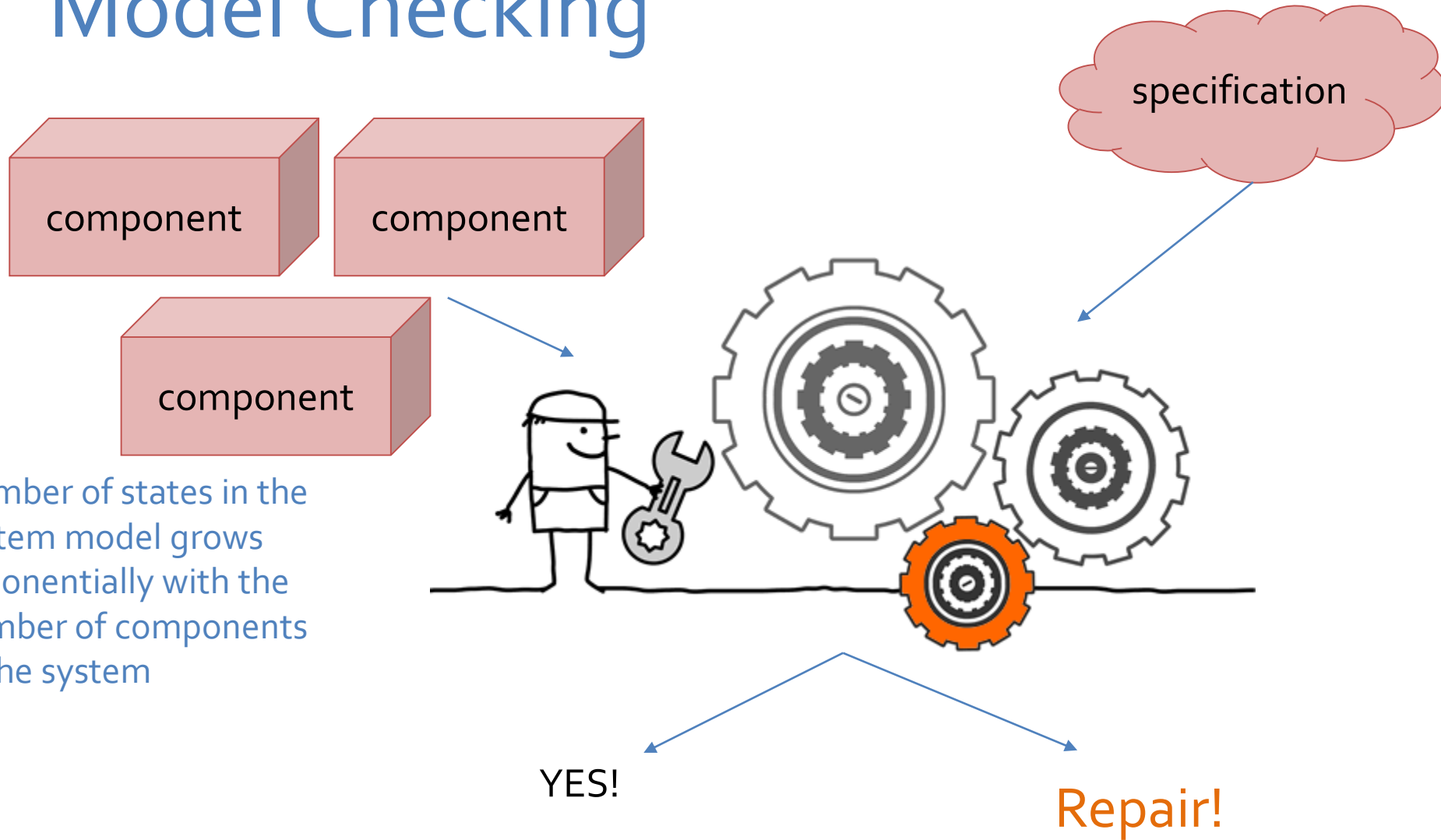
Joint work with Orna Grumberg, Corina Pasareanu, and Sarai Sheinvald

@TACAS 2020

# Model Checking



# Model Checking



# Model Checking

## State Explosion Problem

Number of states in the system model grows exponentially with the number of components in the system

Specification

Component

Component

Component

YES!

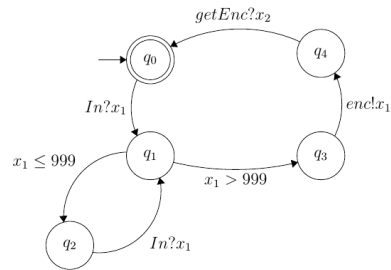
Repair!

# COMPOSITIONAL VERIFICATION AND REPAIR OF C-LIKE PROGRAMS

- *Model checking and repair algorithm for communicating systems*
- Exploit the partition of the system into components



## Setting – Communicating Systems



## Assume-Guarantee (AG)

$$M_1 \parallel A \models P$$

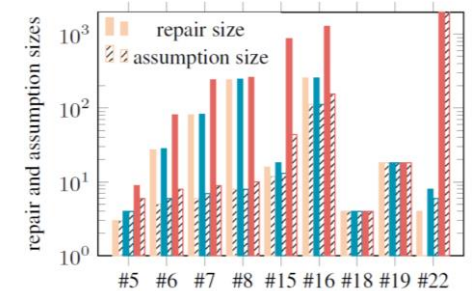
$$M_2 \models A$$

$$M_1 \parallel M_2 \models P$$

## AG rule & Automata Learning



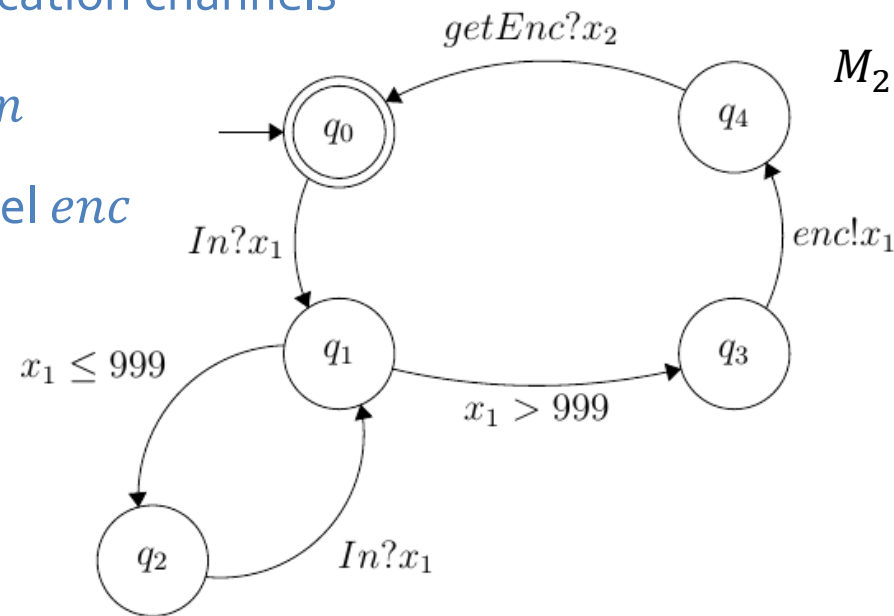
## Repair & Results



# Communicating Systems

- C-like programs
- Each component is described as a control-flow graph (automaton)
  - Alphabet: program statements & communication channels
- $In?x_1$  – reads a value to  $x_1$  through channel  $In$
- $enc!x_1$  – sends the value of  $x_1$  through channel  $enc$

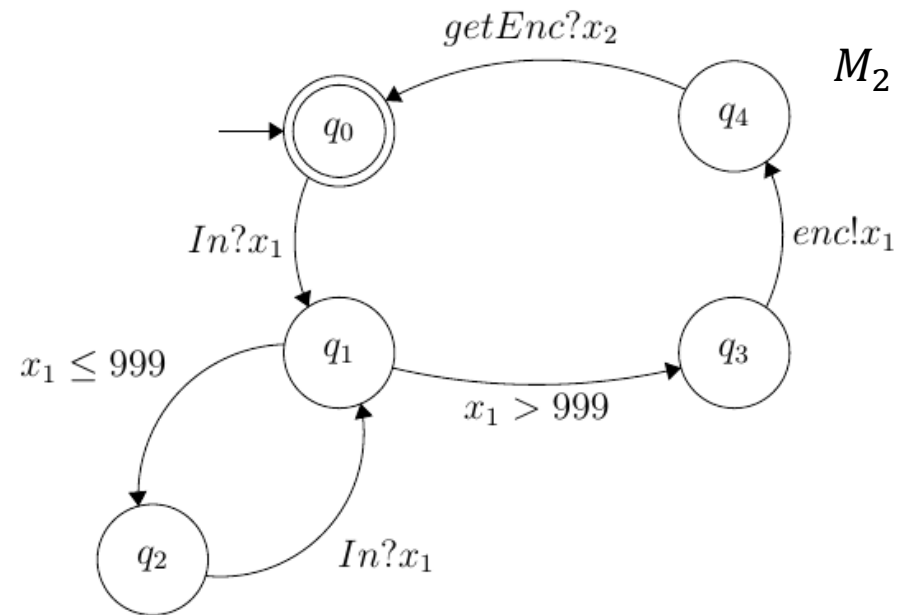
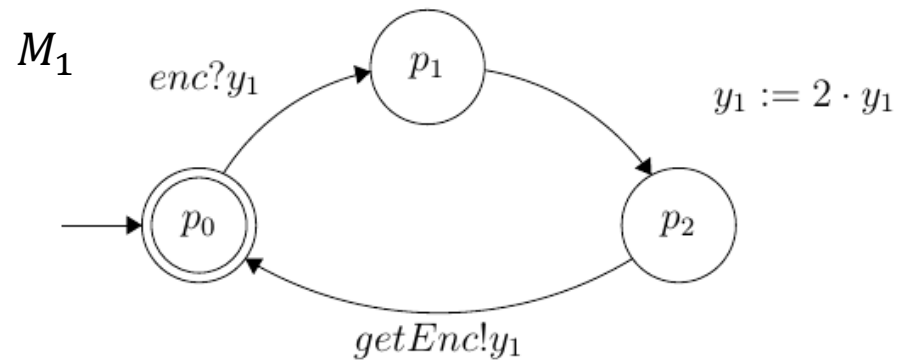
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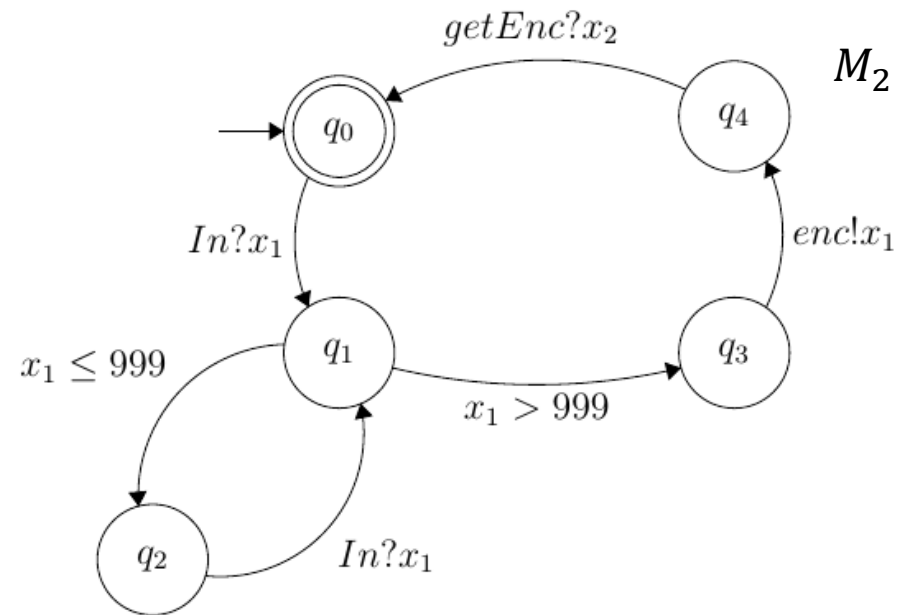
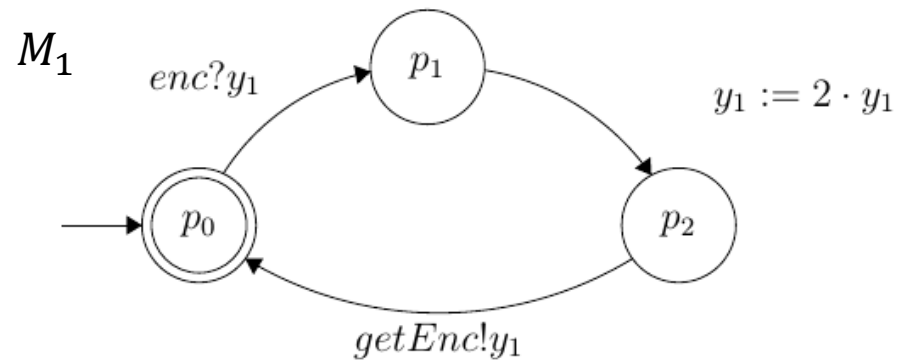
# Example

Synchronization using read-write channels, Interleaving on all other alphabet



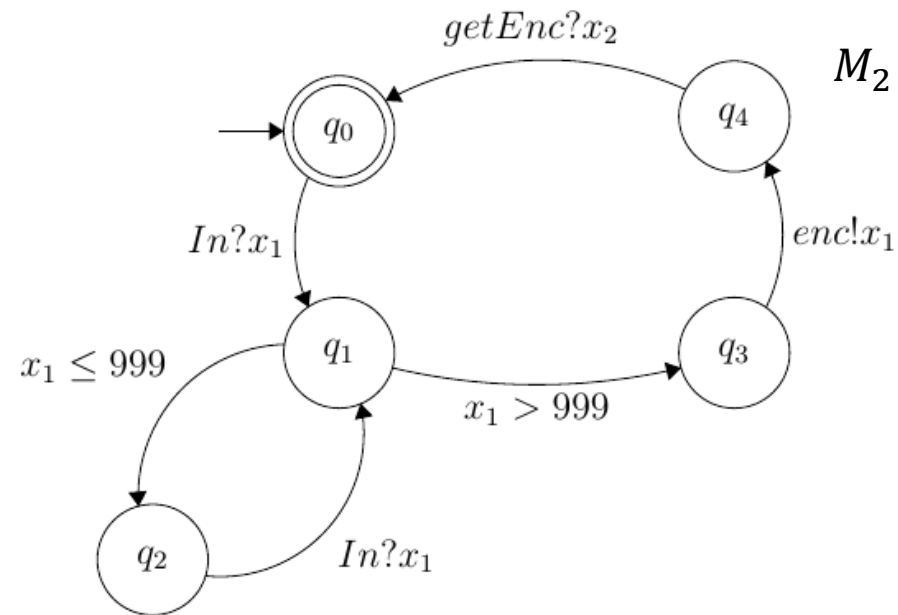
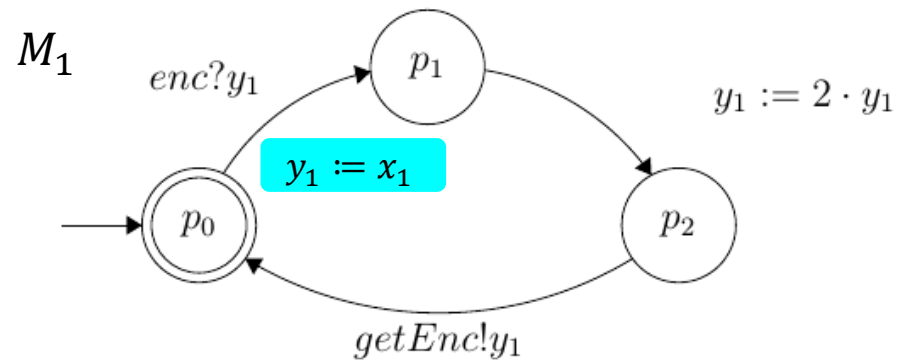
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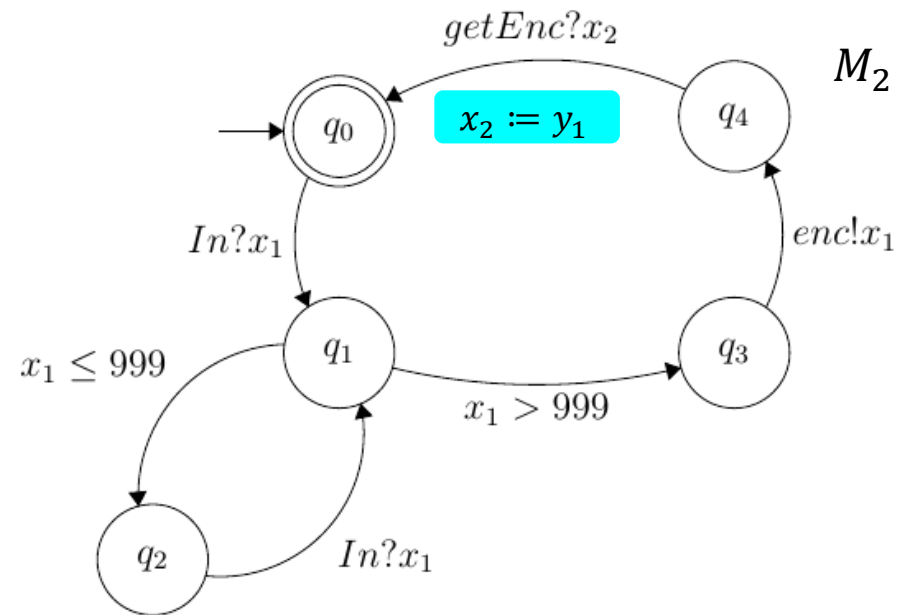
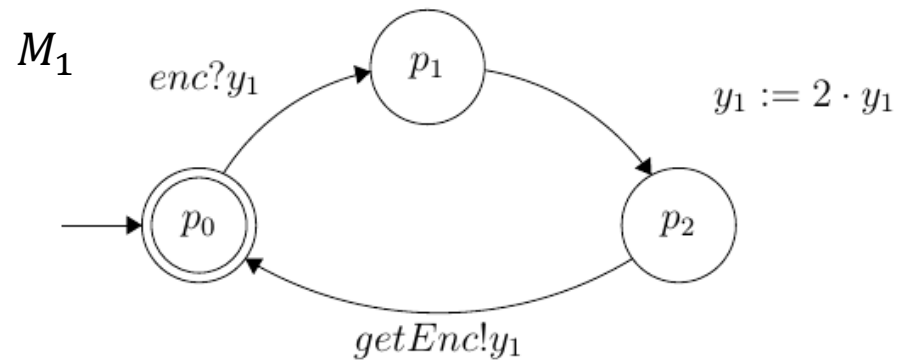
# Example

Synchronization using read-write channels, Interleaving on all other alphabet

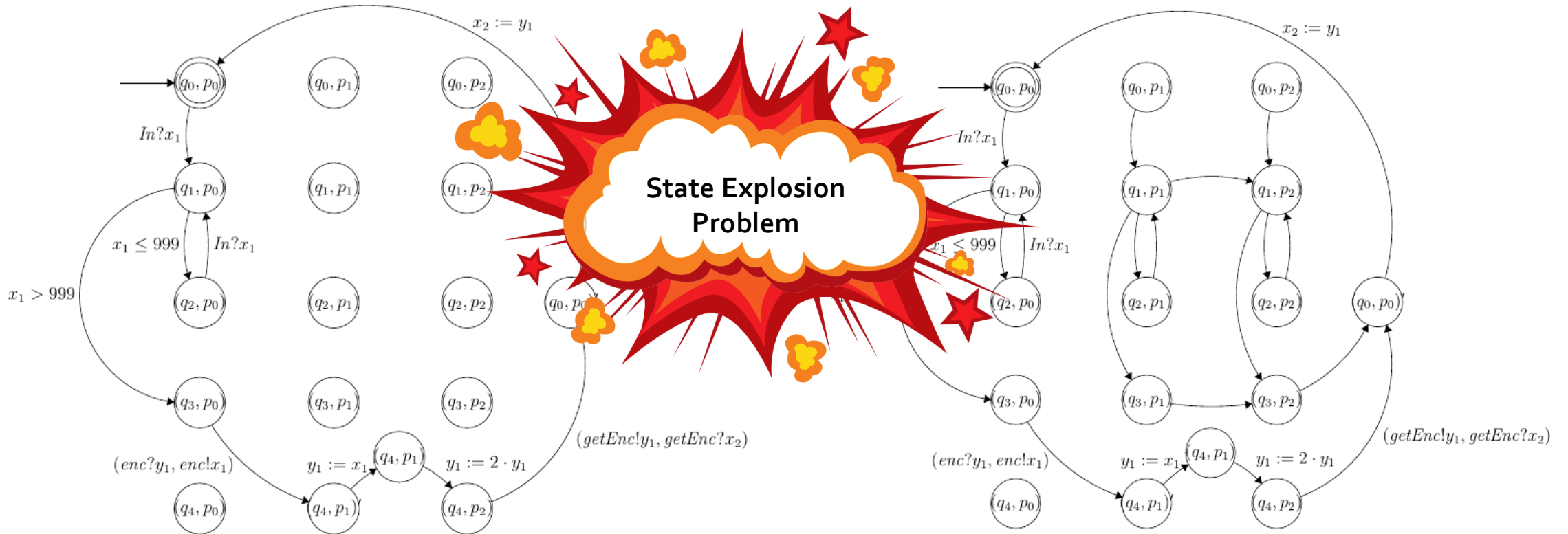
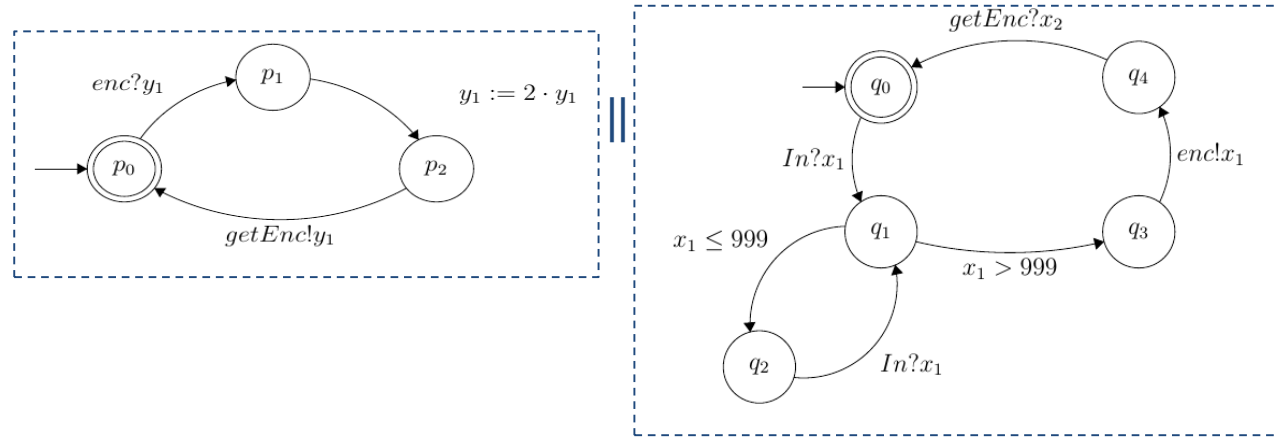


# Example

Synchronization using read-write channels, Interleaving on all other alphabet

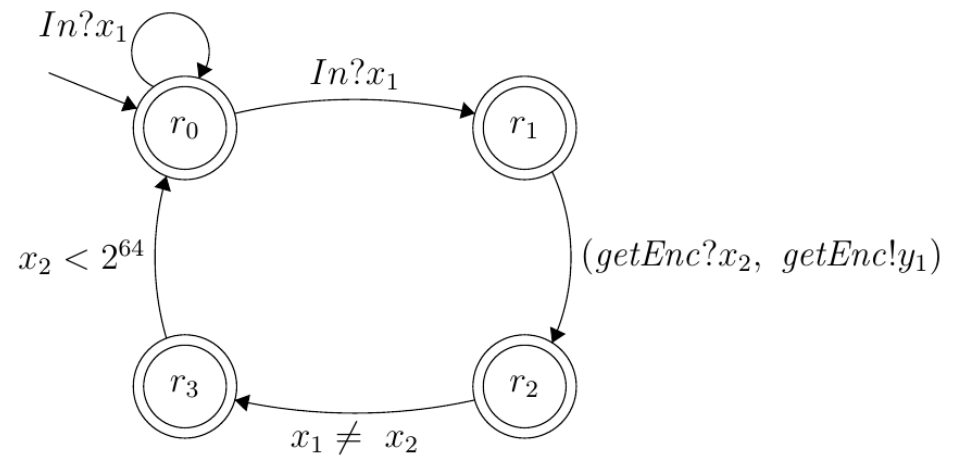


# Example



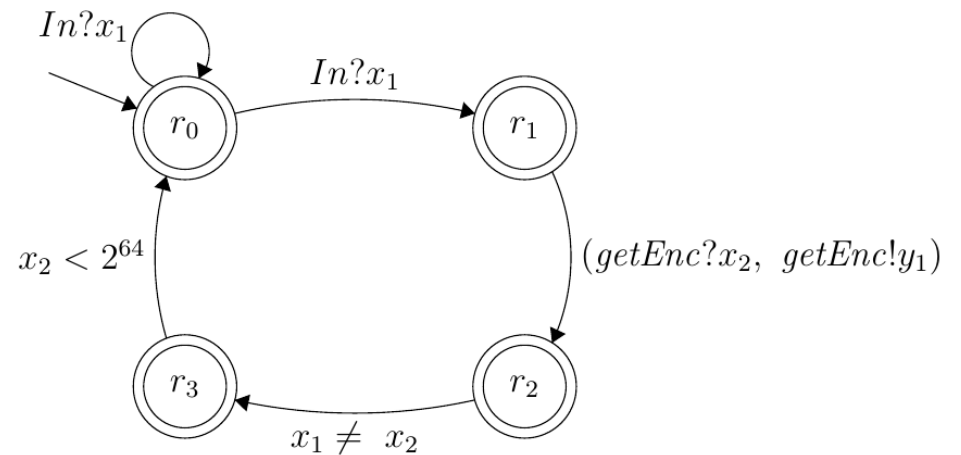
# Specifications

- Safety properties
- **Alphabet:**
- **(Common) communication channels**
- Syntactic requirements:  
program behavior through time



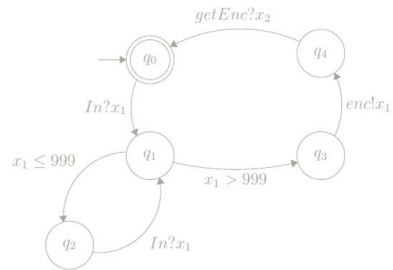
# Specifications

- Safety properties
- **Alphabet:**
- **(Common) communication channels**
- Syntactic requirements:  
program behavior through time
- **Constraints over local variables**
- Semantic requirements:
  - “the entered password is different from the encrypted password”
  - “there is no overflow”



# Reasoning About the Smaller Components

## Setting – Communicating Systems

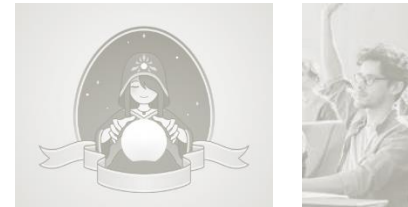


## Assume- Guarantee (AG)

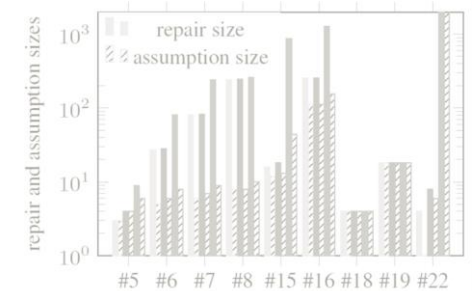
$$M_1 \parallel A \models P$$
$$M_2 \models A$$

$$M_1 \parallel M_2 \models P$$

## AG rule & Automata Learning



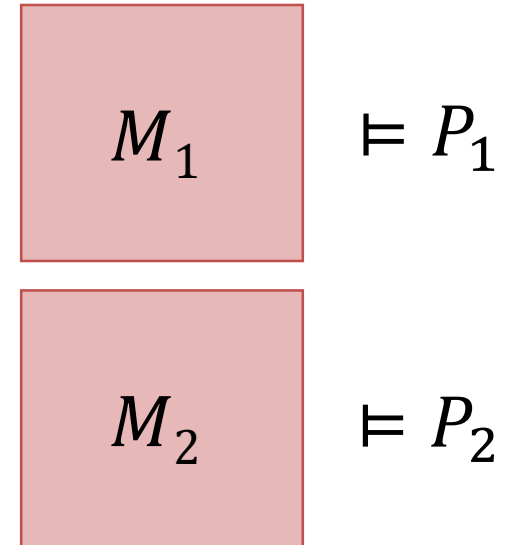
## Repair & Results





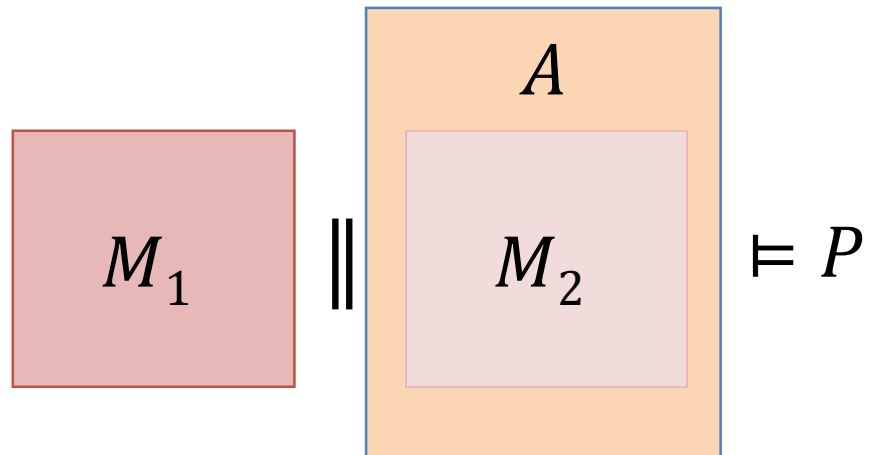
# Compositional Verification

- Inputs:
  - composite system  $M_1 \parallel M_2$
  - property  $P$
- Goal: check if  $M_1 \parallel M_2 \models P$
- First attempt: “divide and conquer”
  - Problem: usually impossible to verify each component separately
  - Components are designed to satisfy requirements in specific contexts



# Compositional Verification

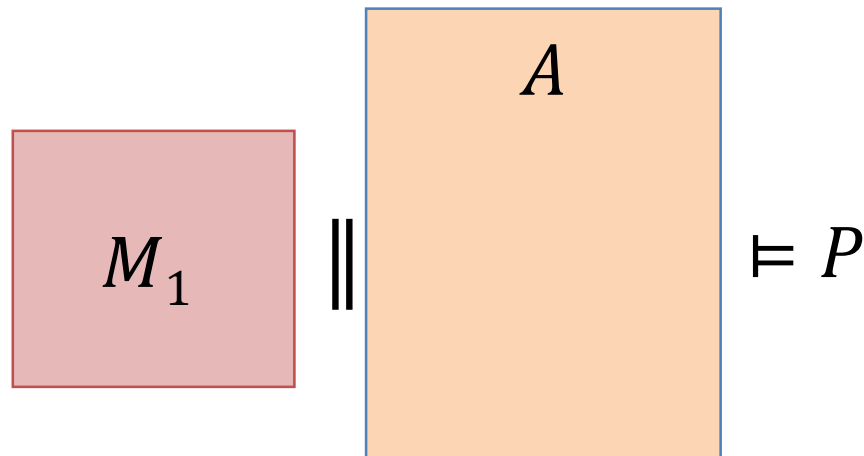
- **Assume-Guarantee (AG)** paradigm [Pnueli, 1985]:
  - assumptions represent component's environment
- Under assumption  $A$  on its environment, does the component guarantee the property?



# AG Rule for Safety Properties

1. check if a component  $M_1$  **guarantees**  $P$  when it is a part of a system satisfying **assumption**  $A$

$$M_1 \parallel A \models P$$



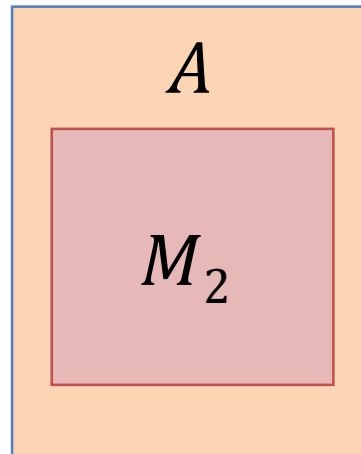
# AG Rule for Safety Properties

1. check if a component  $M_1$  **guarantees**  $P$  when it is a part of a system satisfying **assumption**  $A$

$$M_1 \parallel A \models P$$

2. **discharge** assumption: show that the remaining component  $M_2$  satisfies  $A$

$$M_2 \models A$$



# AG Rule for Safety Properties

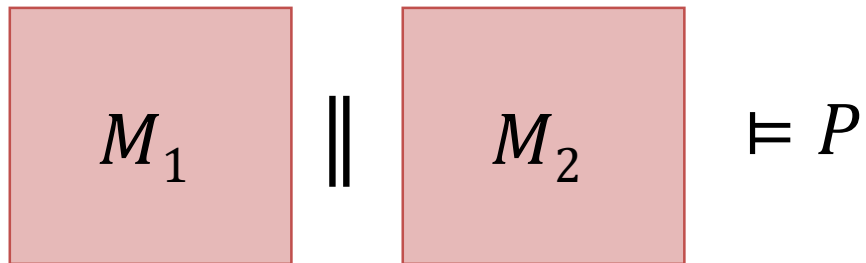
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$$M_1 \parallel A \models P$$

2. **discharge** assumption: show that the remaining component  $M_2$  satisfies  $A$

$$M_2 \models A$$

3. Conclude that  $M_1 \parallel M_2 \models P$



# AG Rule for Safety Properties

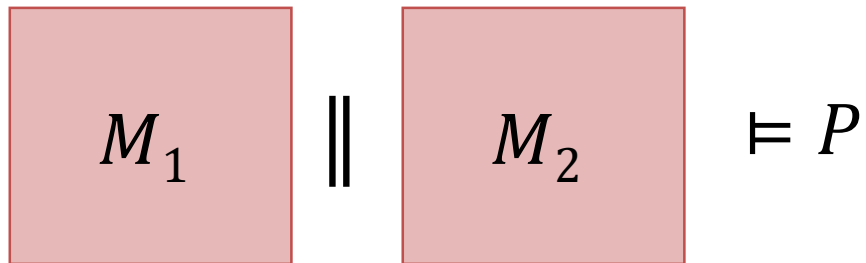
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$$M_2 \models A$$

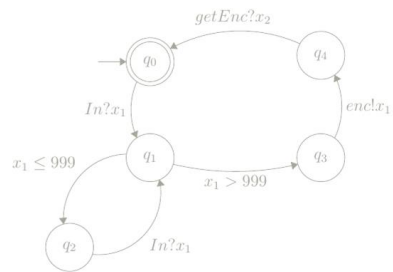
3. Conclude that  $M_1 \parallel M_2 \models P$



Can we  
automatically  
construct  $A$ ?

# Automatic Assumption Generation

## Setting – Communicating Systems

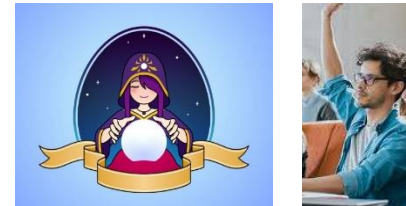


## Assume-Guarantee (AG)

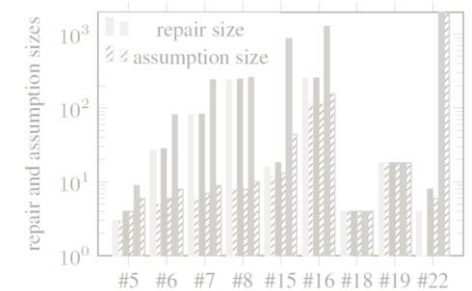
$$M_1 \parallel A \models P$$
$$M_2 \models A$$

$$M_1 \parallel M_2 \models P$$

## AG rule & Automata Learning



## Repair & Results



# $L^*$ Algorithm for Learning Regular Languages [Angluin87]

- Learning assumptions for compositional verification [CGP03]
- Given a regular language  $L$ , we learn a DFA  $A$  such that  $\mathcal{L}(A) = L$



Teacher



Learner 64



# $L^*$ Algorithm for Learning Regular Languages [Angluin87]

- Learning assumptions for compositional verification [CGP03]
- Given a regular language  $L$ , we learn a DFA  $A$  such that  $\mathcal{L}(A) = L$
- Membership queries



Teacher

Yes / No

Is  $w \in L$ ?



Learner 65

# $L^*$ Algorithm for Learning Regular Languages [Angluin87]

- Learning assumptions for compositional verification [CGP03]
- Given a regular language  $L$ , we learn a DFA  $A$  such that  $\mathcal{L}(A) = L$
- Equivalence queries, for a candidate  $A_i$



Teacher

Yes – Done!

No +  
 $cex \in \mathcal{L}(A_i) \Delta L$

Is  $\mathcal{L}(A_i) = L$ ?



Learner 66

# L\* Algorithm for Learning Regular Languages [Angluin87]

- Learning assumptions for compositional verification [CGP03]
- Given a regular language  $L$ , we learn a DFA  $A$  such that  $\mathcal{L}(A) = L$
- Equivalence queries, for a candidate  $A_i$
- Try to use intermediate candidates  $A_i$  as assumptions for AG rule
- **But, the weakest assumption is not regular in our case**



Weakest  
assumption

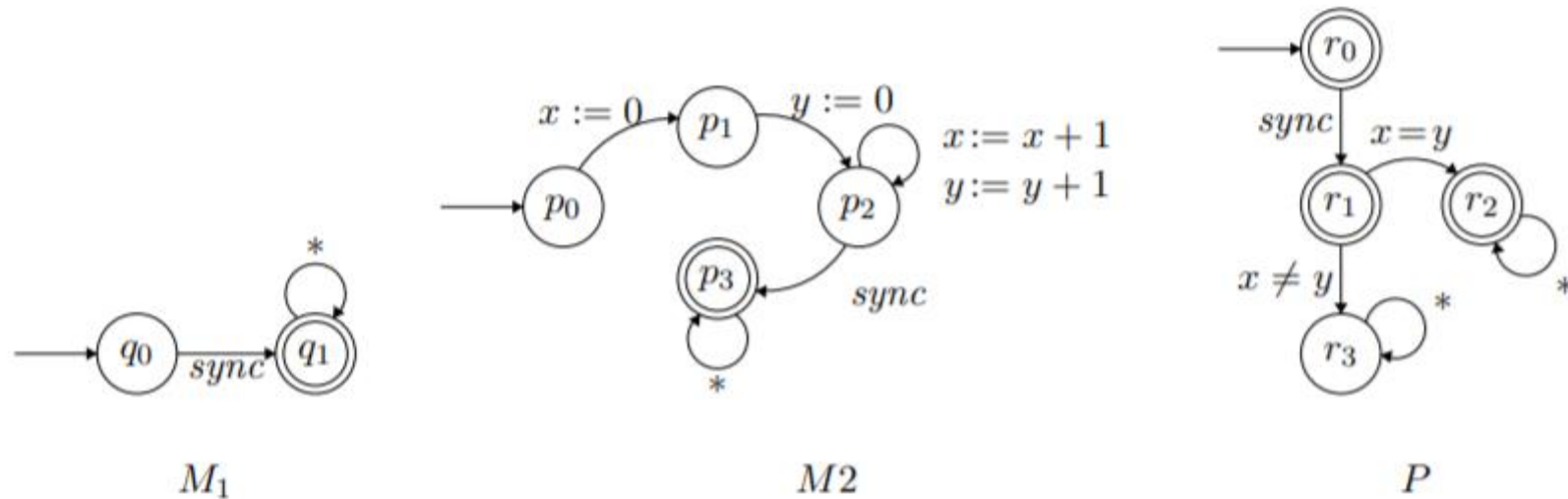
$$M_1 \parallel A_i \models P$$

$$M_2 \models A_i$$

$$M_1 \parallel M_2 \models P$$

# Weakest Assumption is not always regular

- By a way of contradiction
- $A_w$  is over  $\alpha M_2 = \{x := 0, y := 0, x := x + 1, y := y + 1, sync\}$
- Consider  $L = \{x := 0\} \cdot \{y := 0\} \cdot \{x := x + 1, y := y + 1\}^* \cdot \{sync\}$



# A New Goal for Learning

- The teacher answers queries according to the *syntactic language* of  $M_2$
- Regular since it is given as an automaton

$$M_1 \parallel M_2 \models P$$
$$M_2 \models M_2$$

$$M_1 \parallel M_2 \models P$$

# A New Goal for Learning

- The teacher answers queries according to the *syntactic language* of  $M_2$
- Regular since it is given as an automaton

$$M_1 \parallel M_2 \models P$$
$$M_2 \models M_2$$

$$M_1 \parallel M_2 \models P$$



Teacher

But I already know  $M_2$  ...

You might find a much smaller assumption!



Learner 70

# Membership Queries - $T(M_2)$

$$M_1 \parallel A \models P$$
$$M_2 \models A$$

$$M_1 \parallel M_2 \models P$$



Teacher

$w \notin T(M_2)$   
NO!

$w \in T(M_2) \wedge$   
 $M_1 \parallel w \models P$   
YES!

$w \in T(M_2) \wedge$   
 $M_1 \parallel w \not\models P$   
 $w$  is a real cex!

Is  $w \in L$ ?



Learner 71

# Equivalence Queries - $T(M_2)$

$$M_1 \parallel A \models P$$
$$M_2 \models A$$

$$M_1 \parallel M_2 \models P$$

$$M_2 \subseteq A_i$$

$$M_1 \parallel A_i \models P$$

YES!

$$M_1 \parallel A_i \not\models P$$
$$t \in A_i: M_1 \parallel t \not\models P$$

$t \in M_2$   
 $t$  is a real cex!

$t \notin M_2$   
NO!  
take  $t$  off  $A_{i+1}$

$t \in M_2 \setminus A_i$   
NO!  
add it to  $A_{i+1}$

$$M_2 \not\subseteq A_i$$

Is  $\mathcal{L}(A_i) = L$ ?



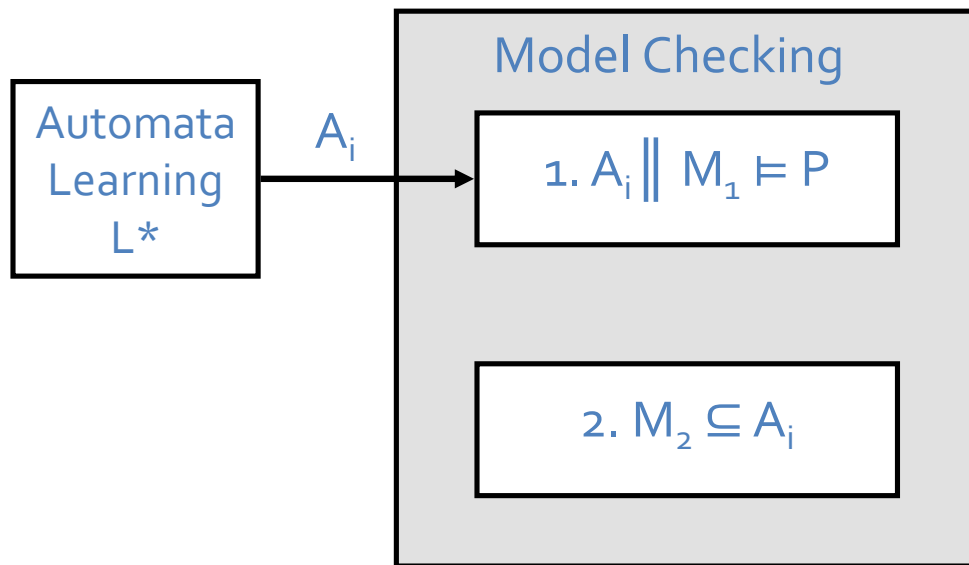
Teacher



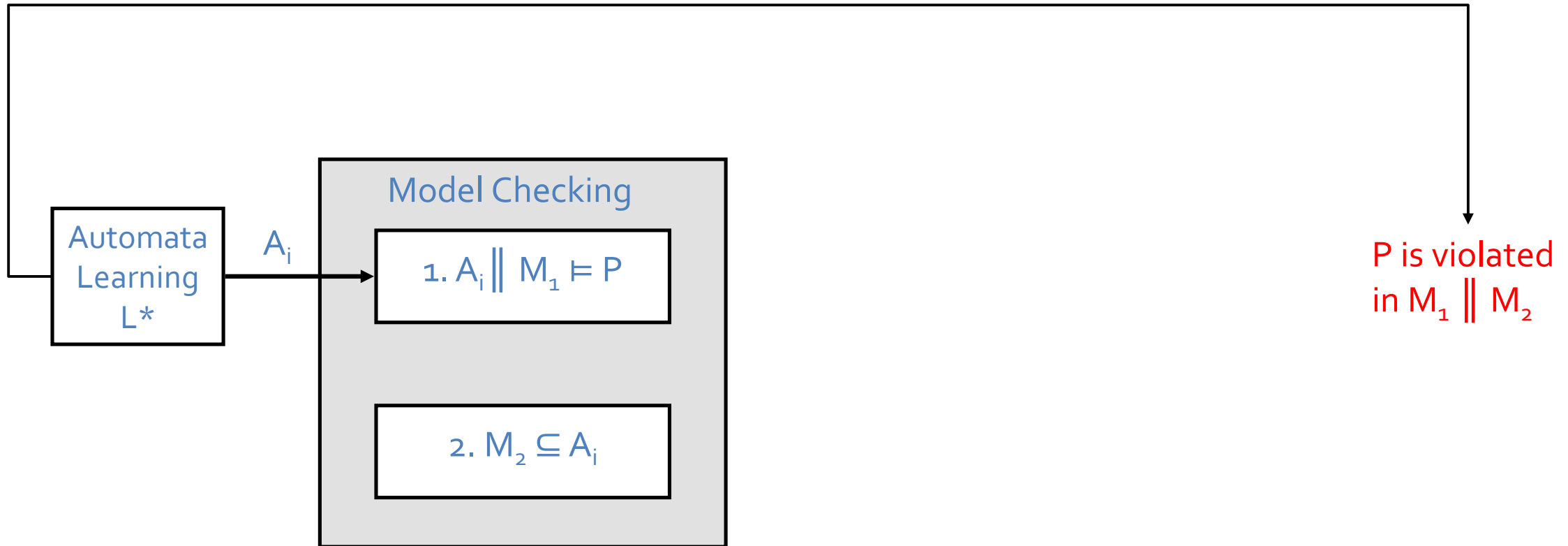
Learner 72



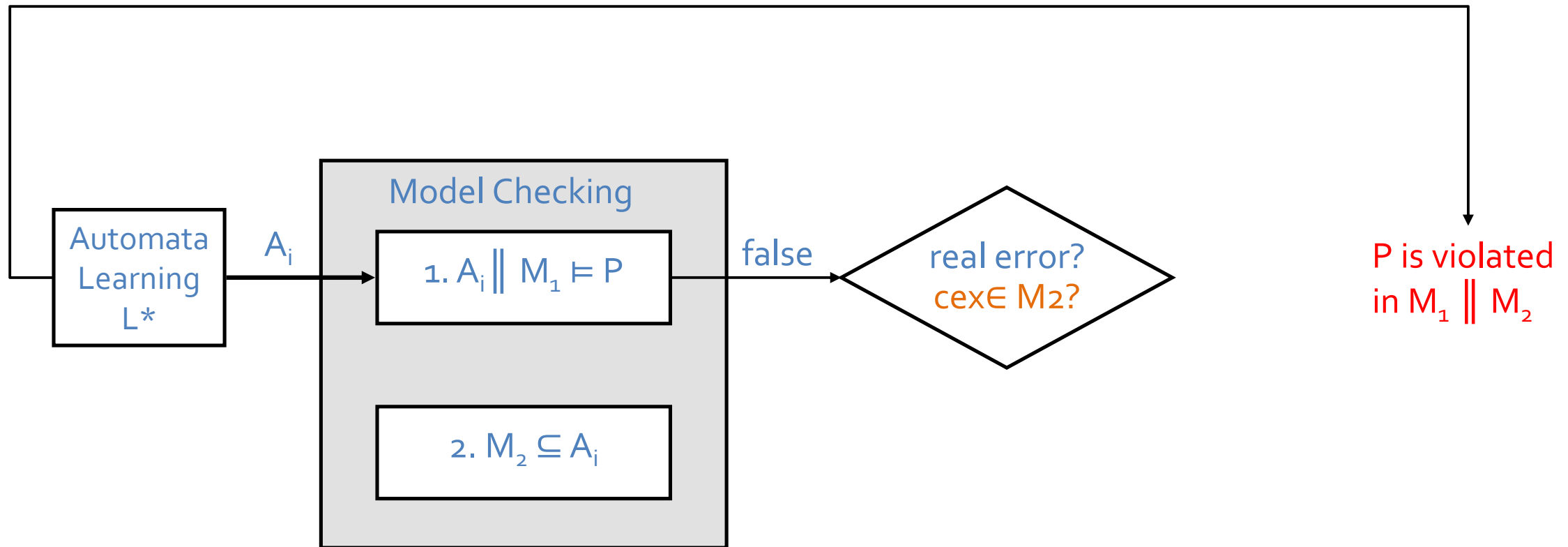
# AG rule with learning



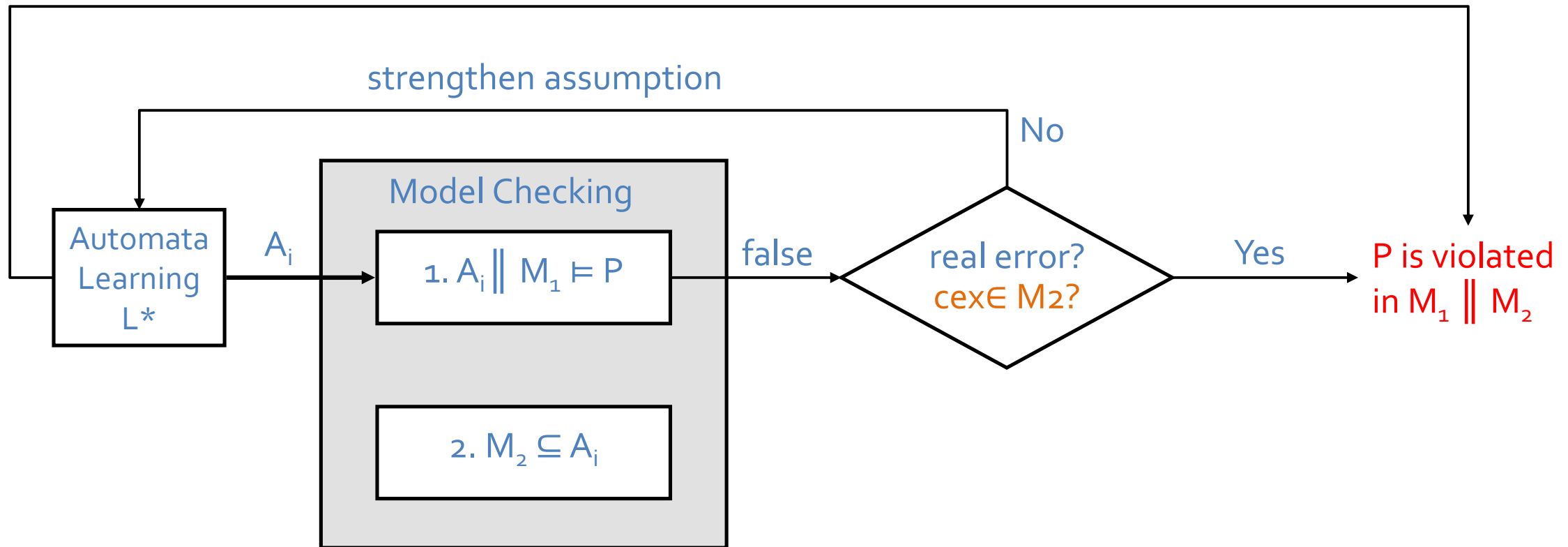
# AG rule with learning



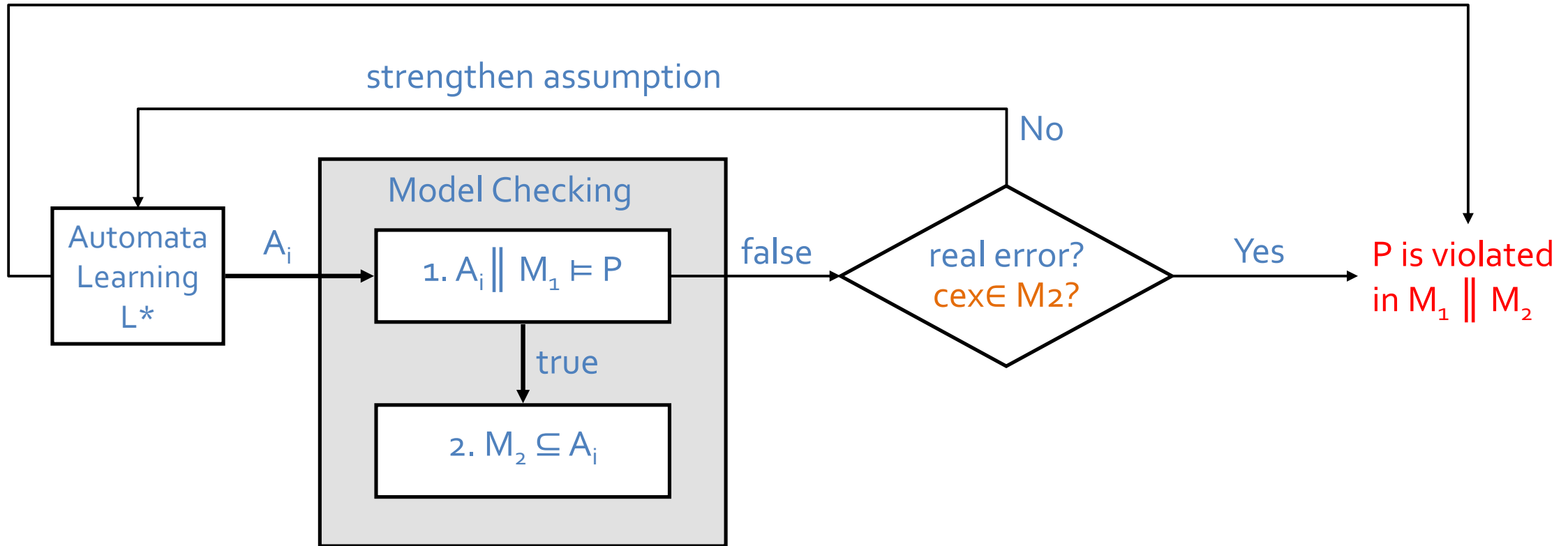
# AG rule with learning



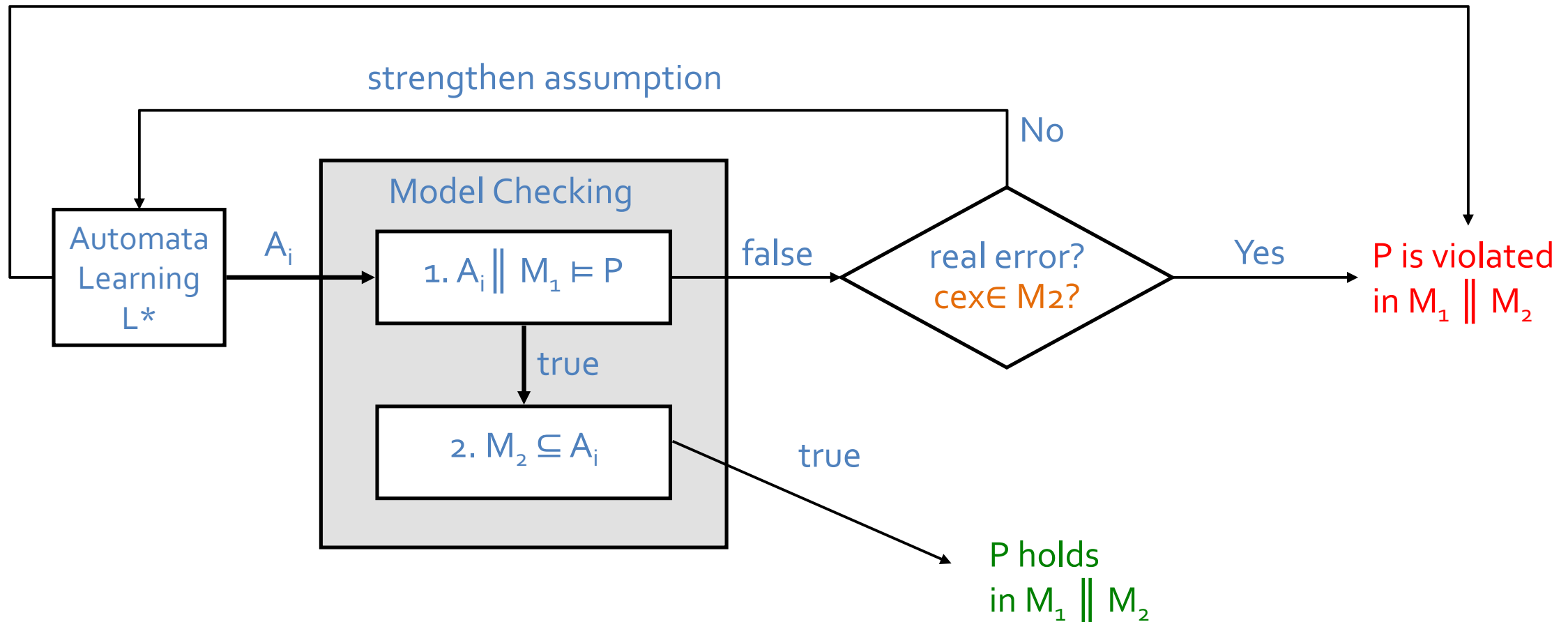
# AG rule with learning



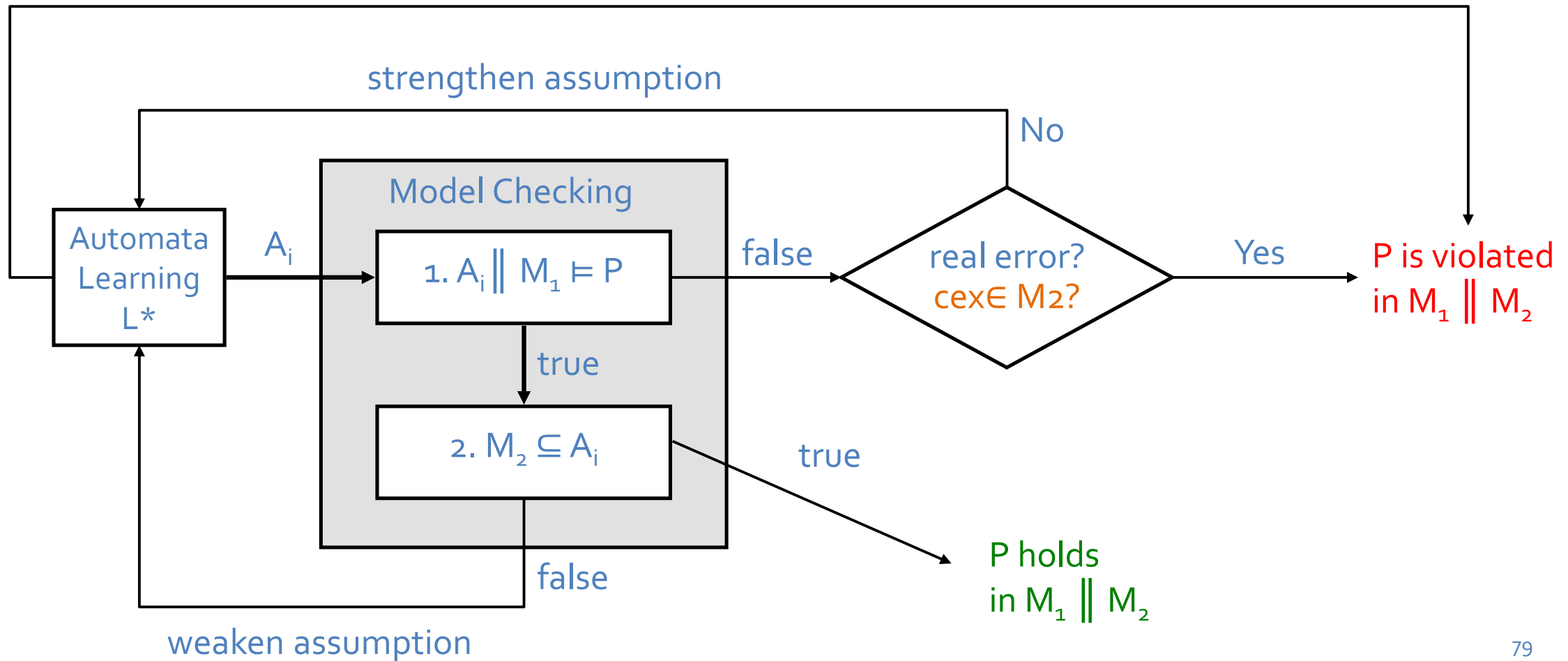
# AG rule with learning



# AG rule with learning

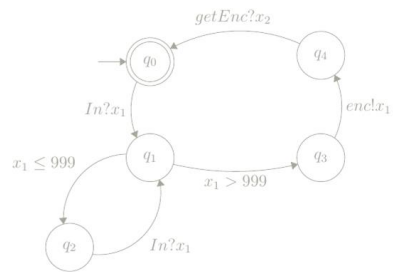


# AG rule with learning



# Repair

## Setting – Communicating Systems

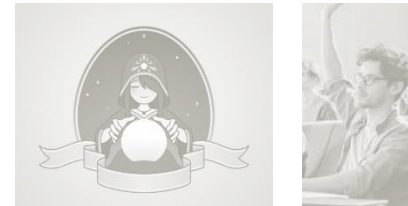


## Assume-Guarantee (AG)

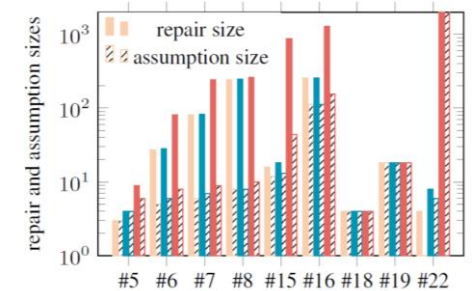
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## AG rule & Automata Learning



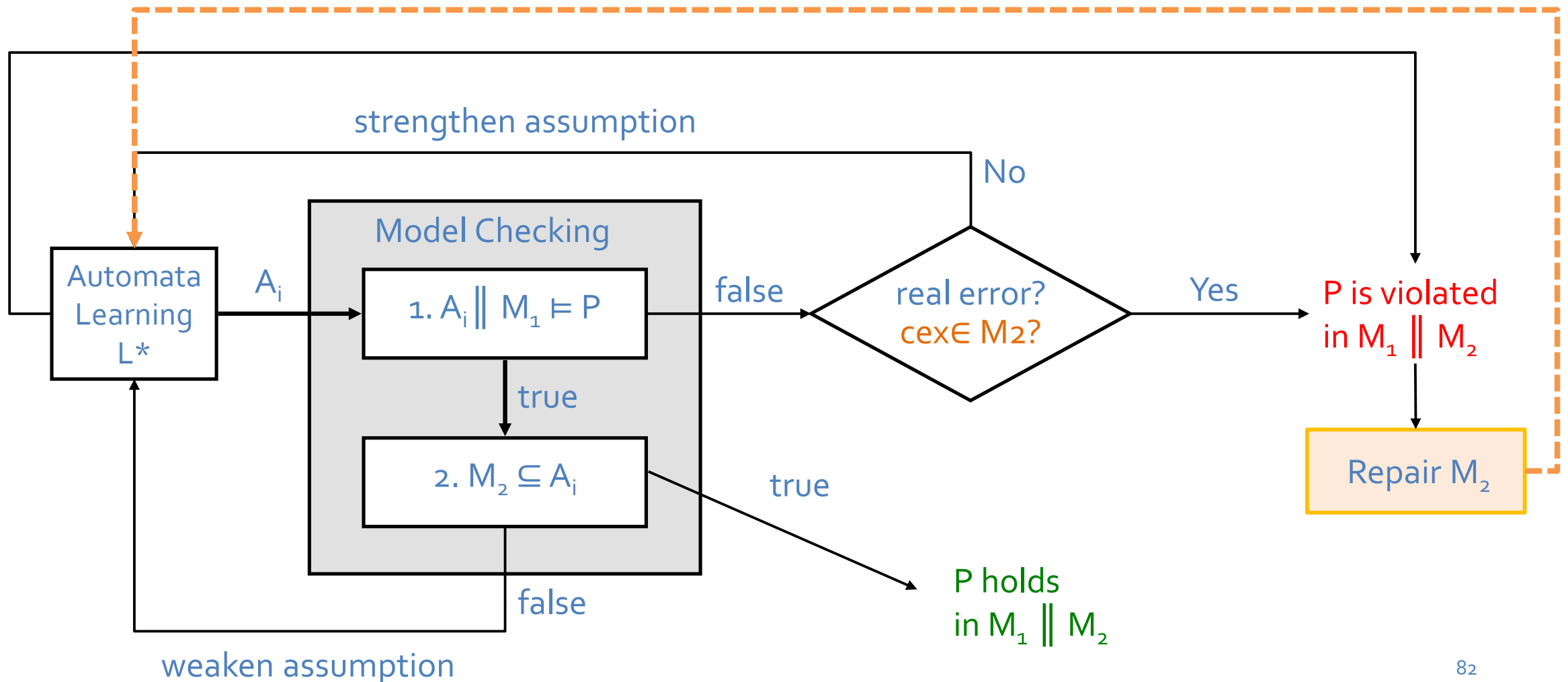
## Repair & Results





# AG rule with learning

Return to verification  
with the repaired  $M_2$

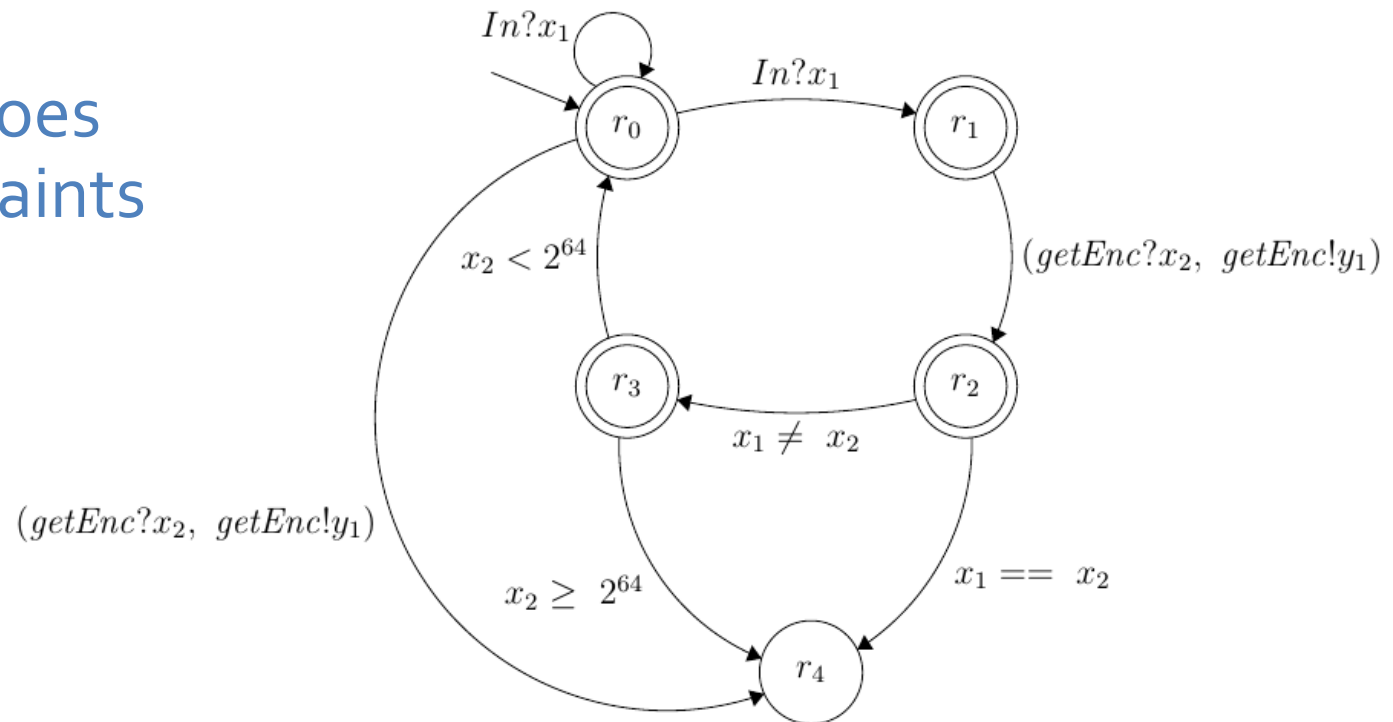


# Assume Guarantee or Repair

- Repair by elimination of error traces
- Two types of repair
  - Syntactic repair
  - Semantic repair

# Assume Guarantee or **Repair**

Syntactic repair –  
counterexample does  
not contain constraints

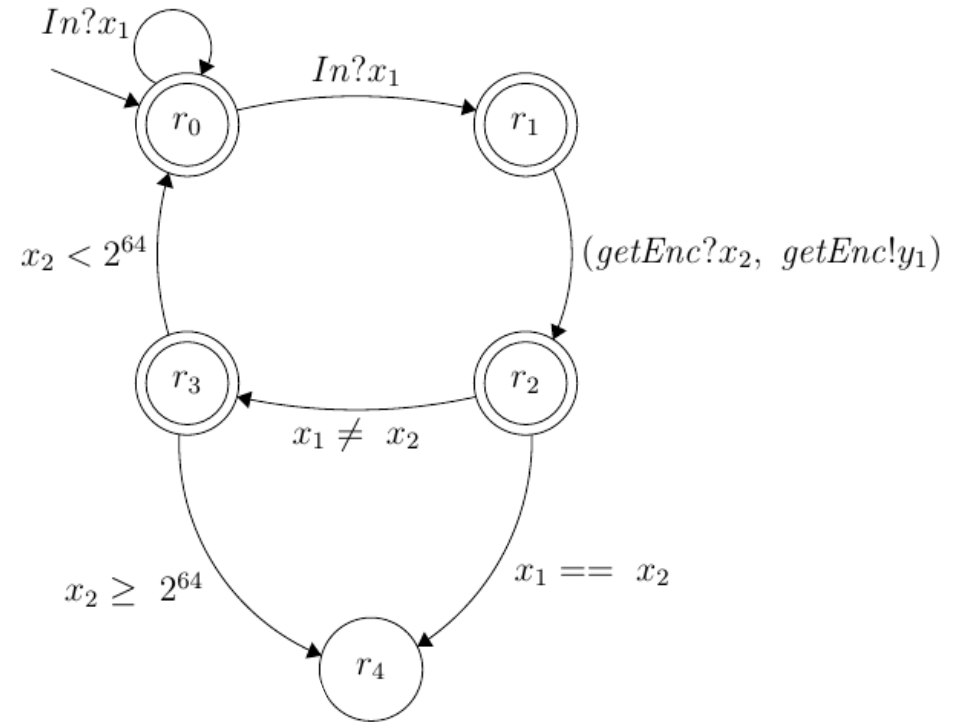
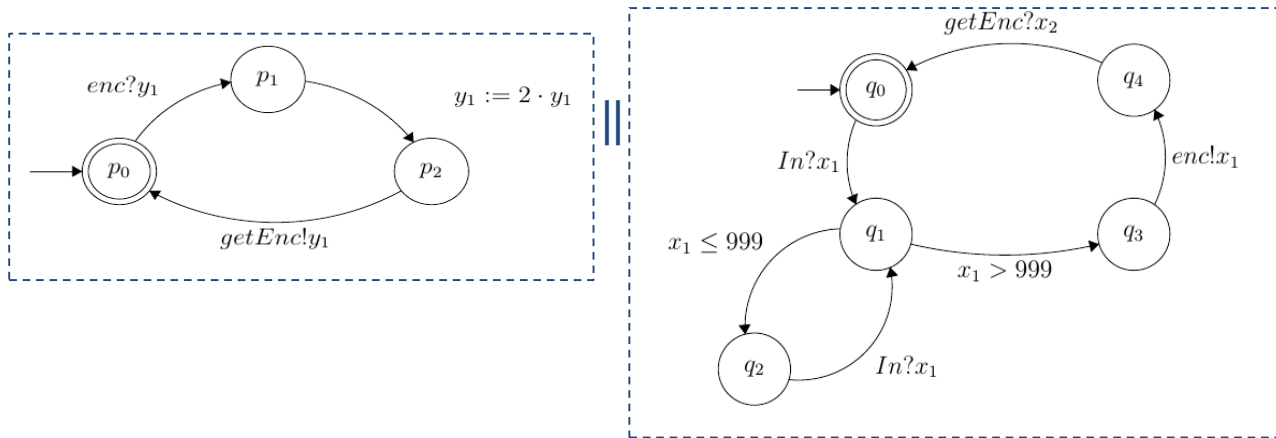


# Syntactic Repair

- Implemented 3 methods to removing the trace  $t$ :
  - **Exact**  
remove exactly  $t$  from  $M_2$
  - **Approximate**  
add an intermediate state and use it to direct some traces off the accepting state, including  $t$
  - **Aggressive**  
make the accepting state that  $t$  reaches not-accepting

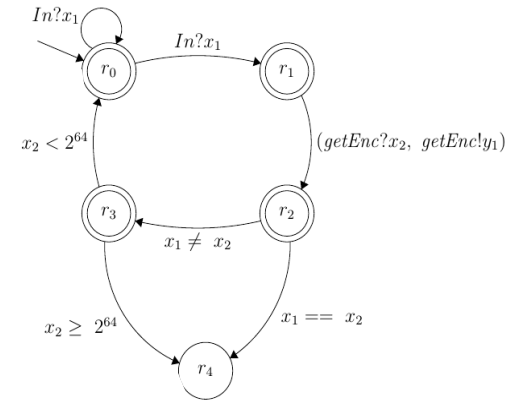
# Assume Guarantee or **Repair**

Semantic repair –  
counterexample contains  
violated constraints of the  
specification



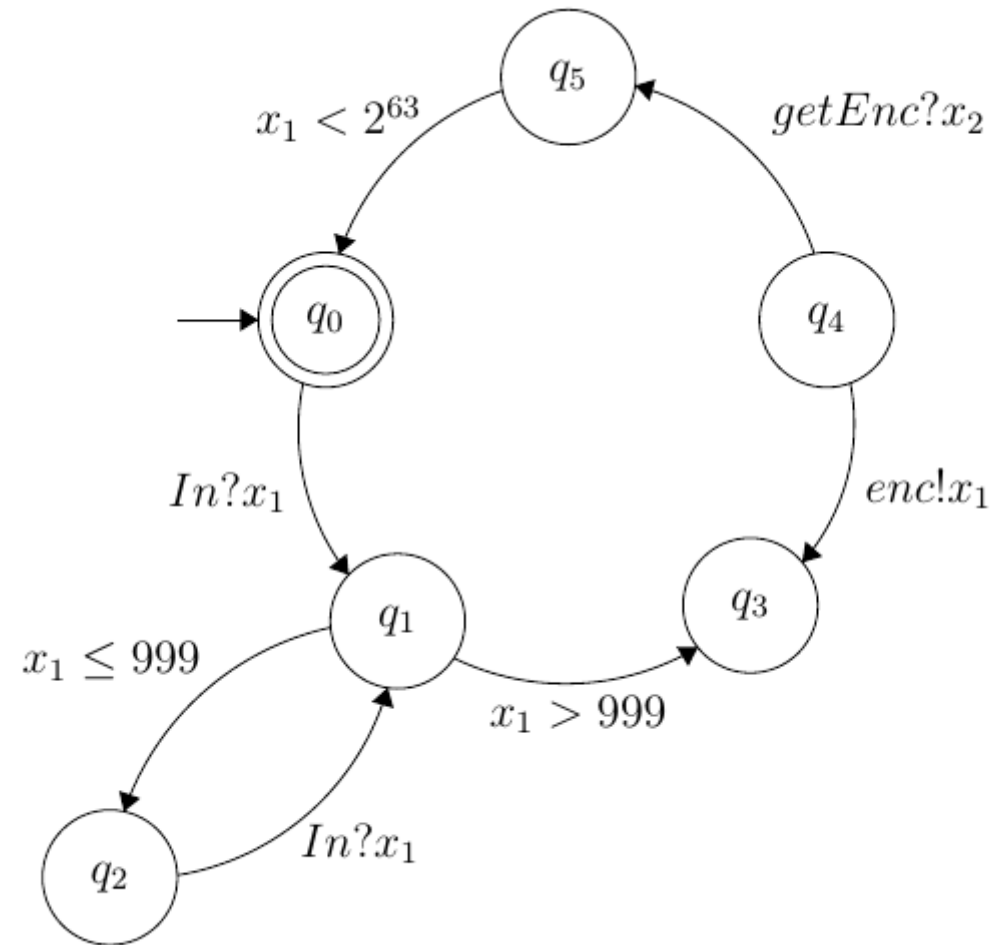
# Semantic Repair

- AGR returns a counterexample  $t$ , for input  $x_1 = 2^{63}$
- Goal: make  $t$  infeasible by adding a new constraint  $\mathcal{C}$  such that
  - $(\varphi_t \wedge \mathcal{C} \rightarrow \text{false})$
- Applying abduction, quantifier elimination and simplification results in  $\mathcal{C} = (x_1 < 2^{63})$



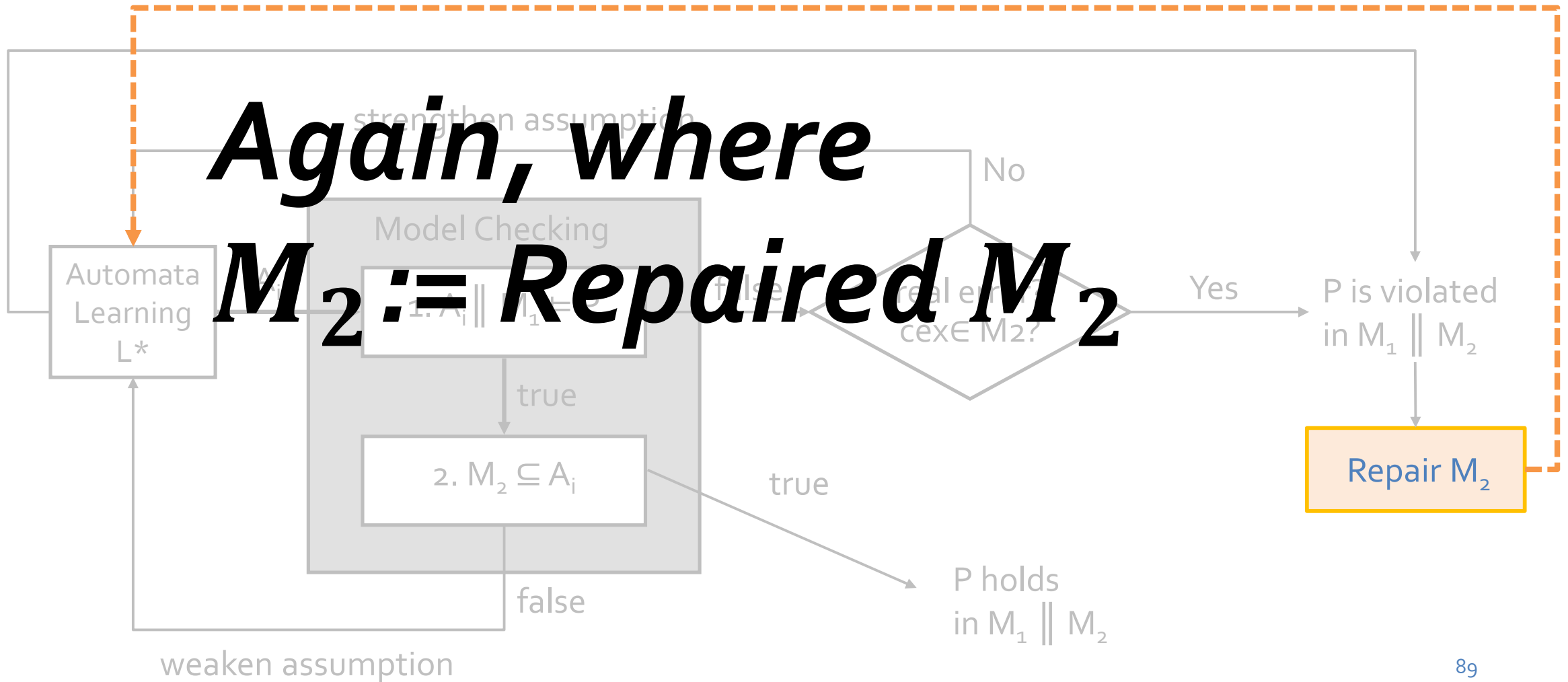
# Result

```
1: while (true)
2:   pass = readInput;
3:   while (pass ≤ 999)
4:     pass = readInput;
5:   pass2 = encrypt(pass);
6:   assume pass < 263;
```



# AG rule with learning

Return to verification with the repaired  $M_2$





# Termination

- In case  $M_1 \parallel M_2 \models P$
  - $M_2$  is a correct assumption for the AG rule
  - $M_2$  is regular, therefore  $L^*$  terminates
- In the case of *verification*, termination is guaranteed

$$M_1 \parallel M_2 \models P$$

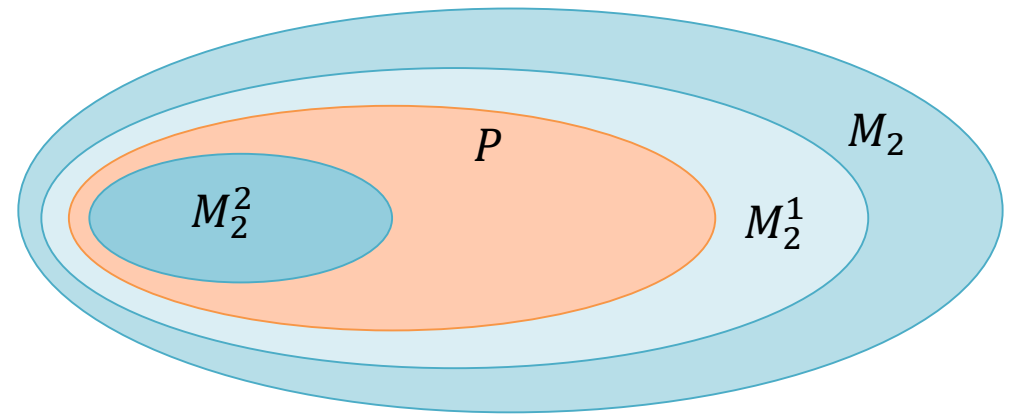
$$M_2 \models M_2$$

$$M_1 \parallel M_2 \models P$$

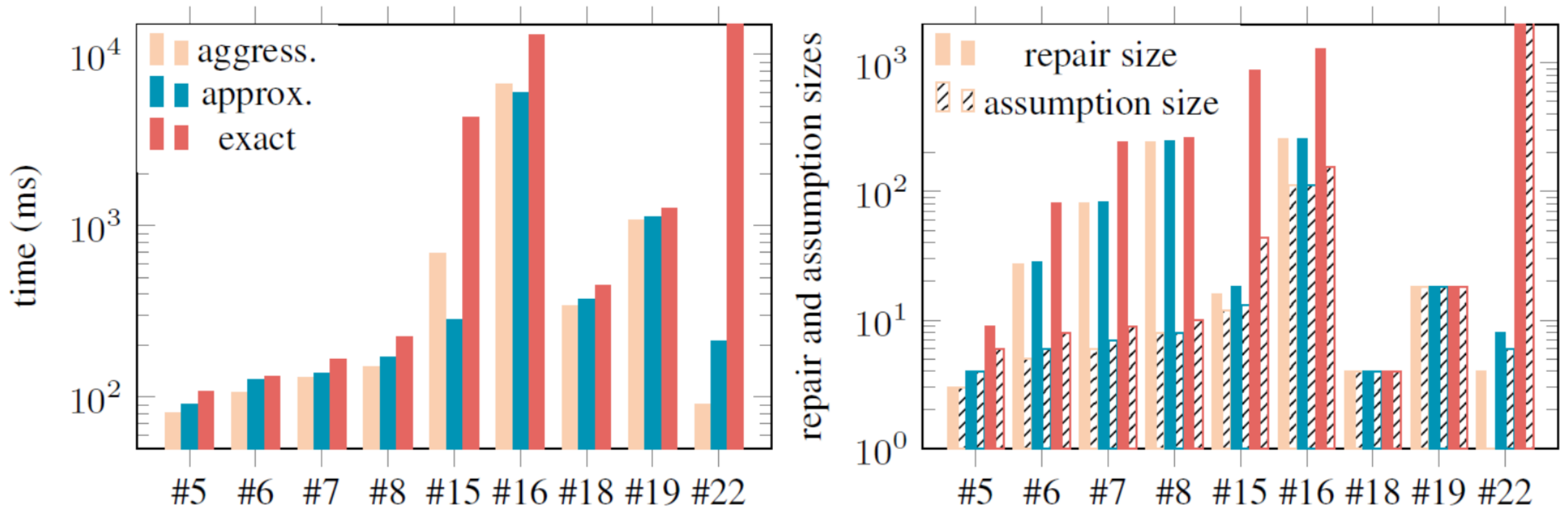
- In case  $M_1 \parallel M_2 \not\models P$
  - Every iteration with an erroneous  $M_2$  will result in a cex
- In the case of an error, *progress* is guaranteed

# Correctness and Termination

- Correctness of Repair
- All questions relate to language containment
- Repair only eliminates traces
- Incremental
- Previous answers to the learner's questions are still correct
- Can use the same table for  $L^*$



# Comparing Repair Methods (logarithmic scale)



#15, #16, #18, #19 apply also abduction

# AGR Summary

- Modular verification for communicating systems
- Adjusting automata learning to systems with data
- Iterative and incremental verification and repair to prove correctness of repaired system



# LEARNING SYMBOLIC AUTOMATA

---

Joint work with Dana Fisman and Sandra Zilles

# Symbolic Finite-State Automata (SFAs)

- Finite state automata
- Defined with respect to a Boolean algebra
- The transition relation is over predicates from the Boolean algebra



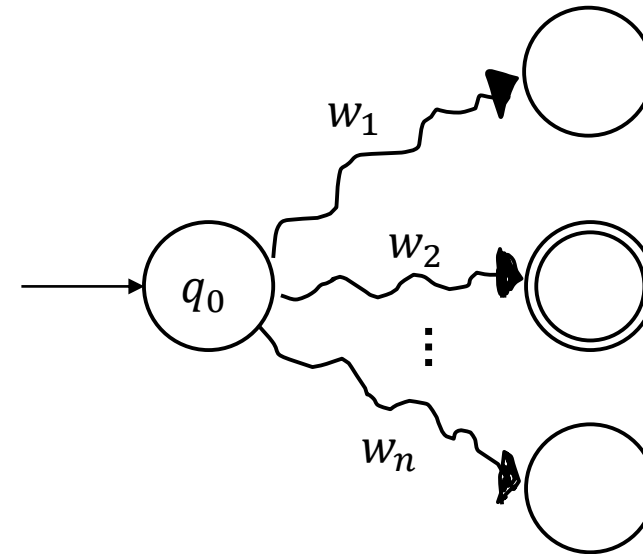
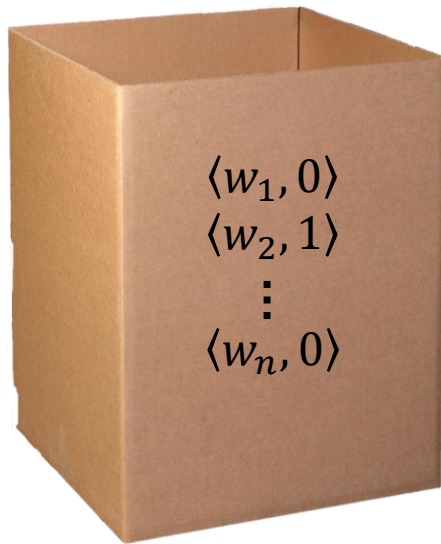
# Monotonic Algebras

- Predicates correspond to a total order over the domain elements
- $[[\psi]] = \{ d \mid a \leq d \leq b \}$
- Interval algebra over  $\mathbb{N}, \mathbb{Z}, \mathbb{R}$



# Identification in the Limit using polynomial time and data [G78, dlH97]

- Passive learning (vs. active learning in  $L^*$ )

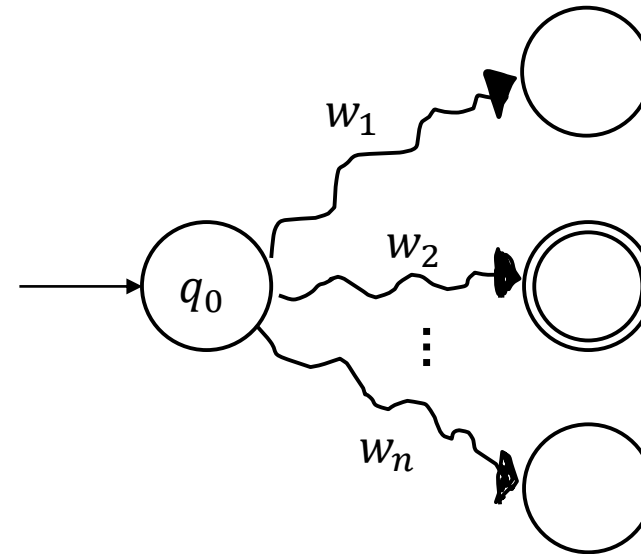
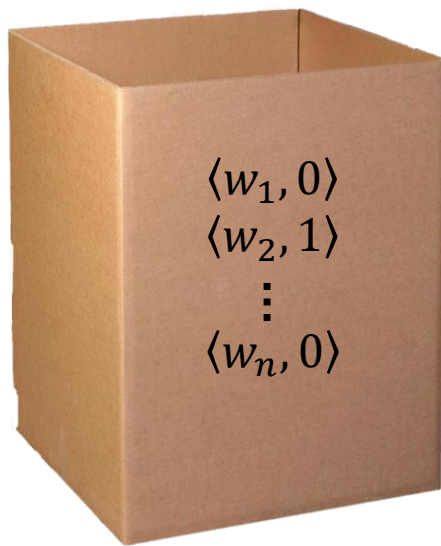




# Identification in the Limit using polynomial time and data [G78, dlH97]

- Passive learning (vs. active learning in  $L^*$ )
- Given a set  $S$  of labeled words, build an automaton that agrees with  $S$

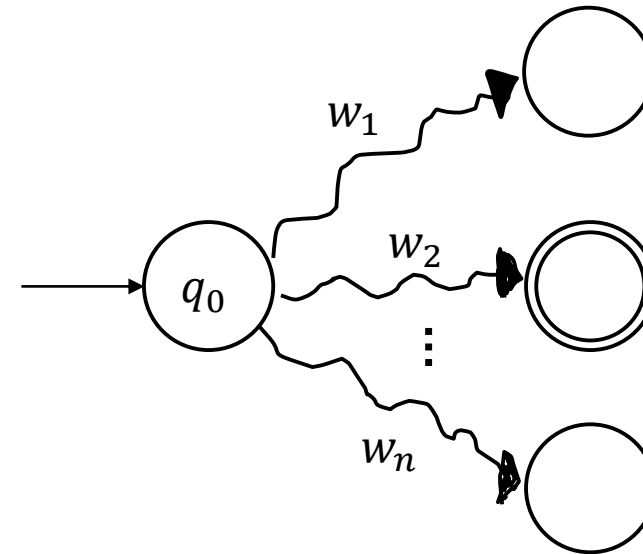
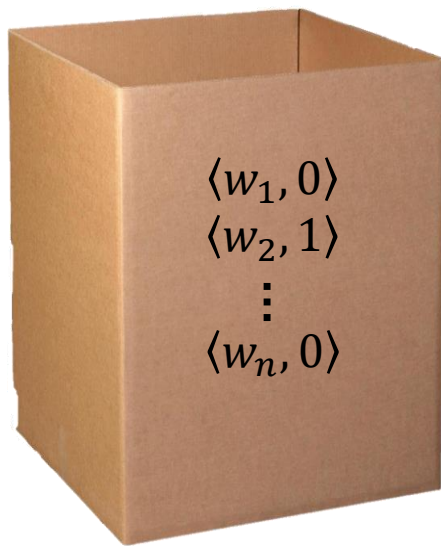
In **P**oly time



# Identification in the Limit using polynomial time and data [G78, dlH97]

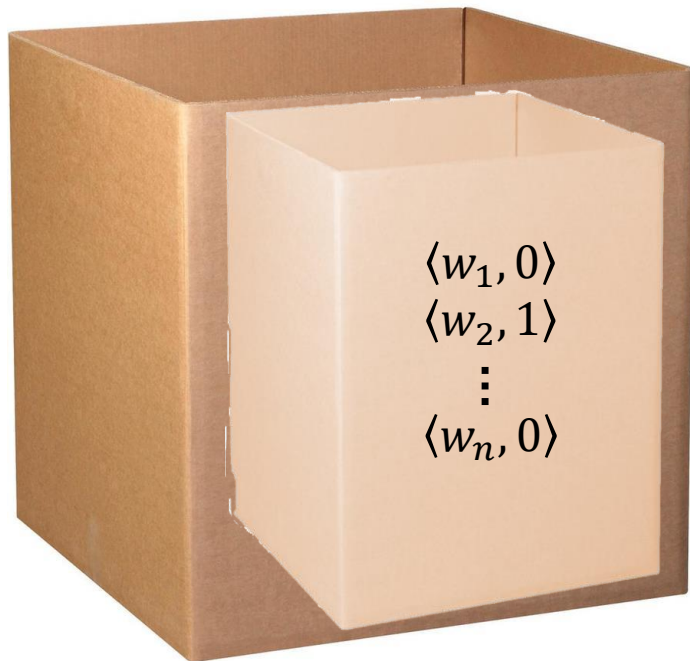
- Given an automaton  $A$ , build a characteristic sample  $S$

In **P**oly data

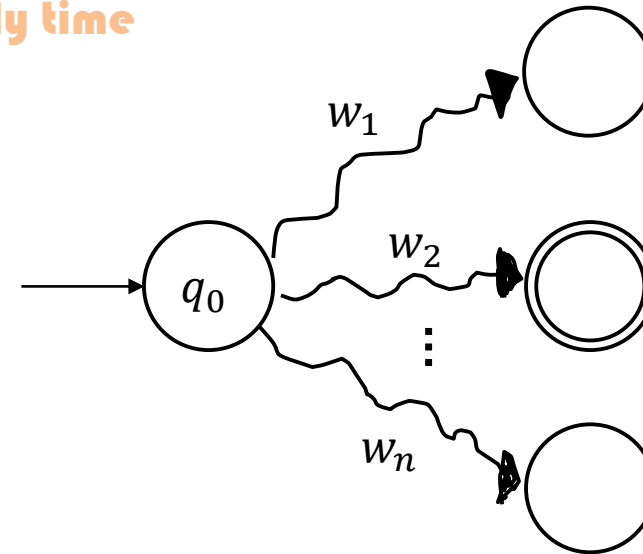


# Identification in the Limit using polynomial time and data [G78, dlH97]

- Given an automaton  $A$ , build a characteristic sample  $S$
- For every sample  $S' \supseteq S$  that agrees with  $A$ , infer an equivalent automaton to  $A$

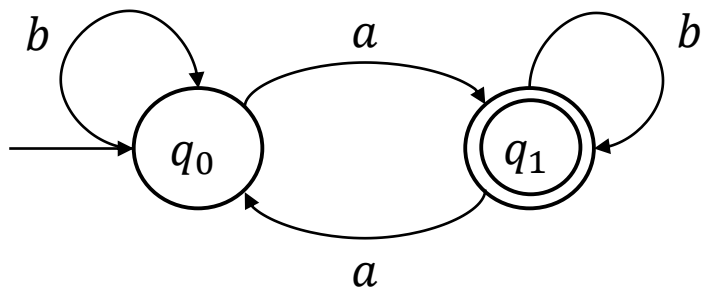


In **P**oly time



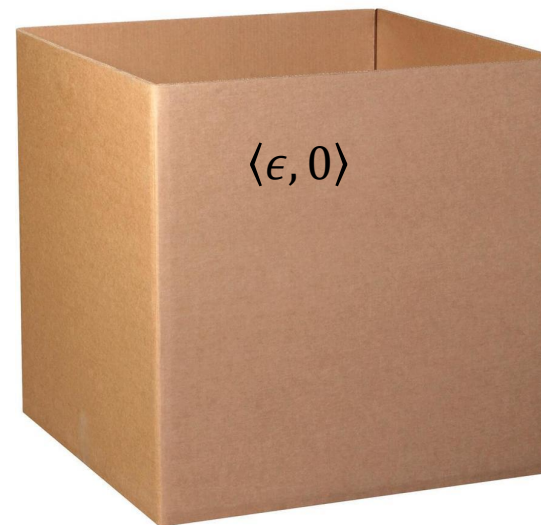
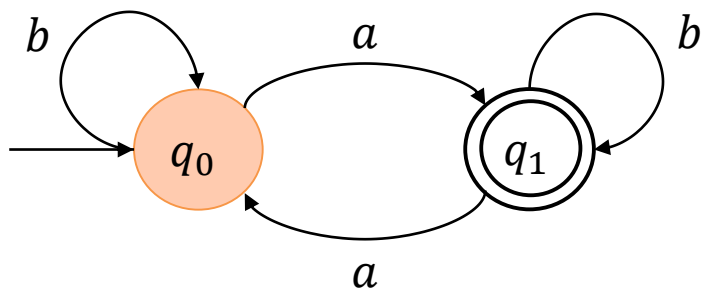
# Identification in the Limit for DFAs [OG92]

- Constructing a characteristic sample
- Every state is represented by an access word



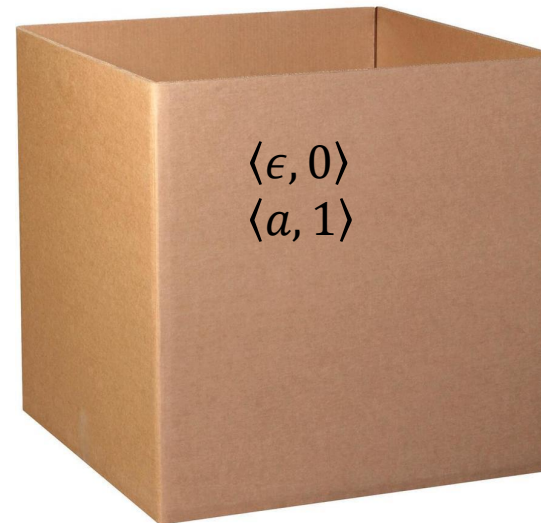
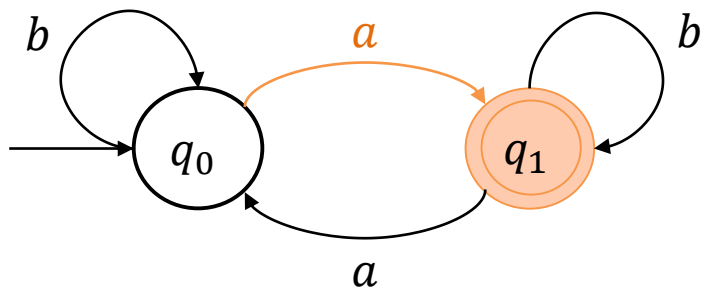
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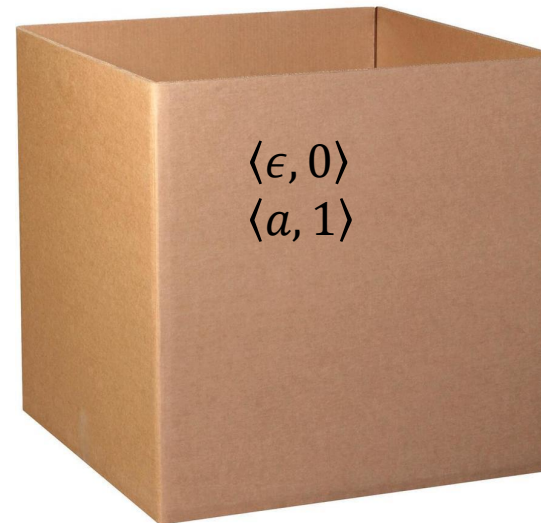
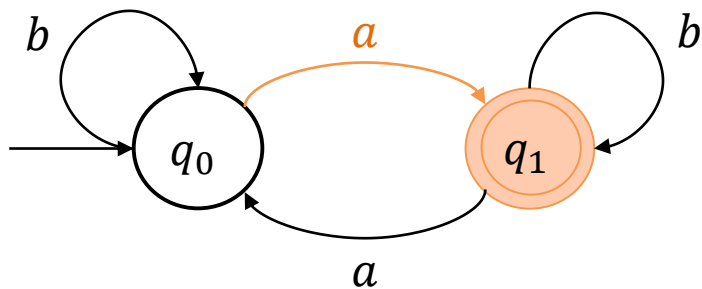
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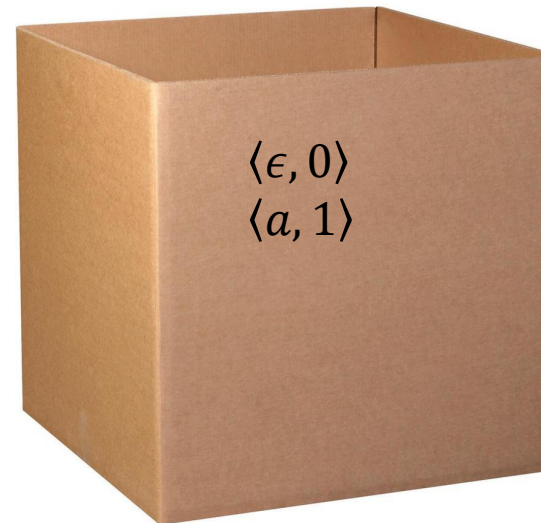
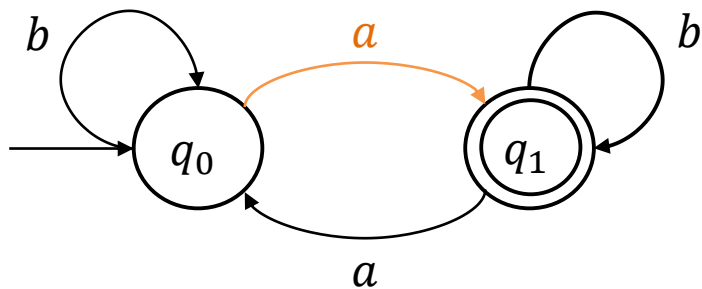
# Identification in the Limit for DFAs [OG92]

- Constructing a characteristic sample
- Distinctive suffixes between states:
  - If  $\delta(q_0, w) \neq \delta(q_0, u)$
  - there exists a suffix  $z$  such that  $w \cdot z \in L(A), u \cdot z \notin L(A)$
  - Add  $w \cdot z, u \cdot z$



# Identification in the Limit for DFAs [OG92]

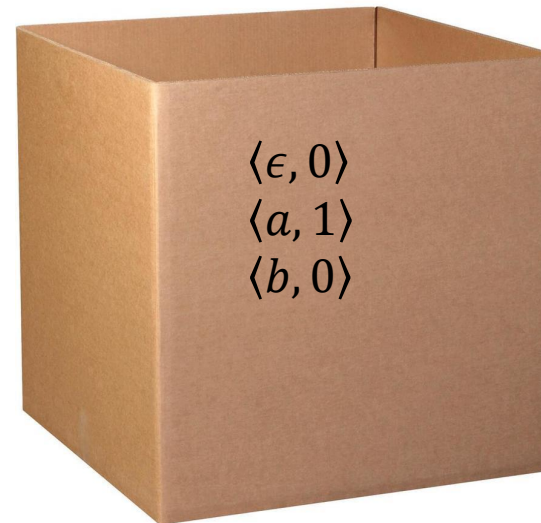
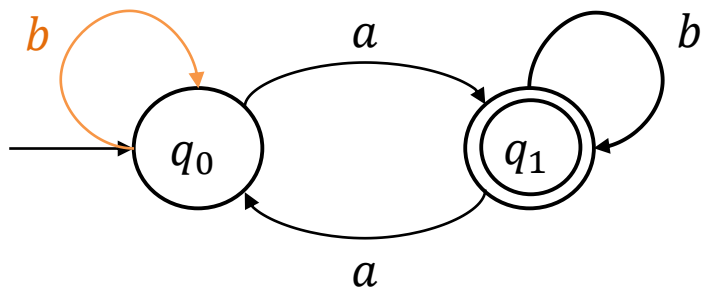
- Constructing a characteristic sample
- Representing the transition relation





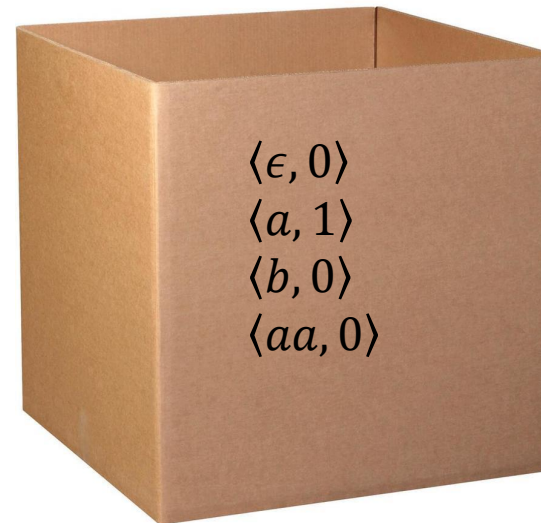
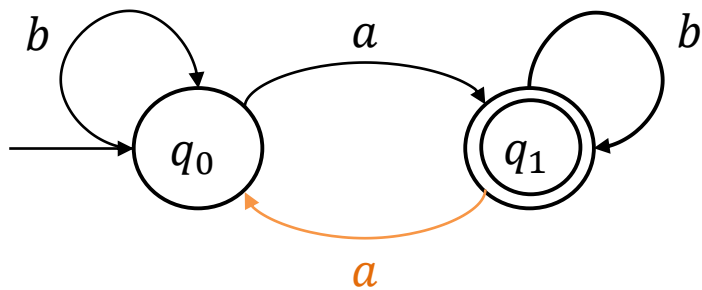
# Identification in the Limit for DFAs [OG92]

- Constructing a characteristic sample
- Representing the transition relation



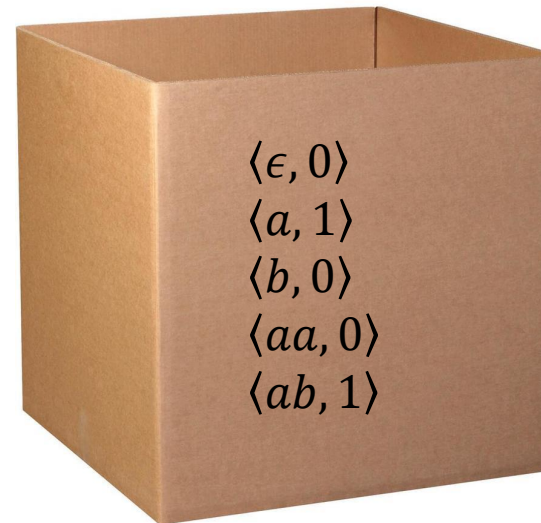
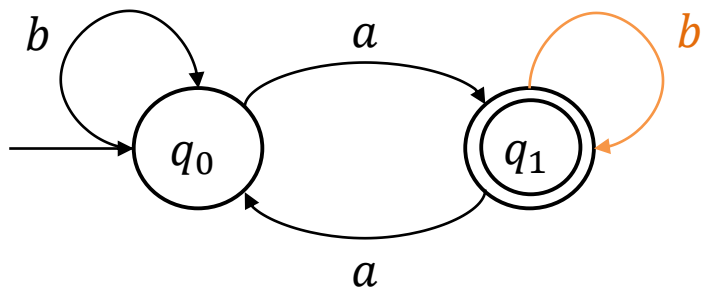
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- Constructing a characteristic sample
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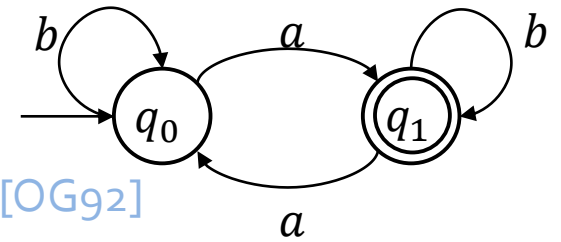


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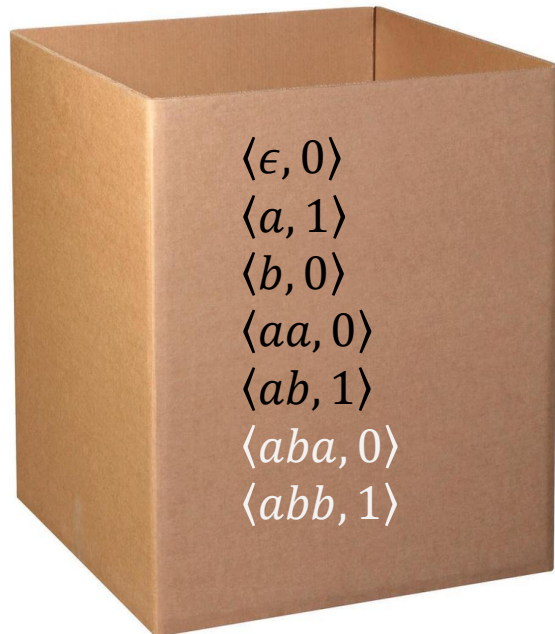
- Constructing a characteristic sample
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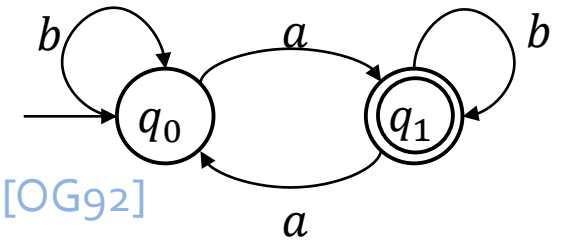
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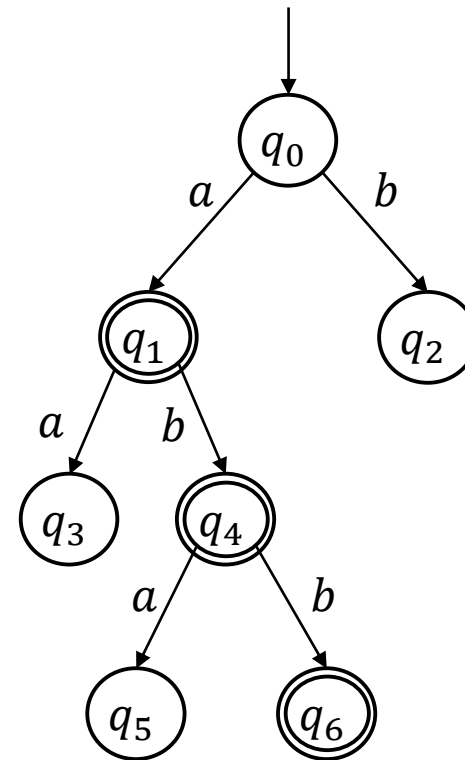
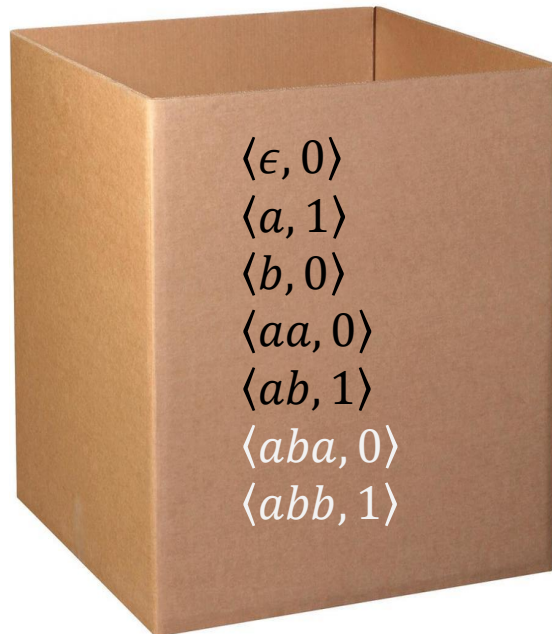
- Constructing a DFA



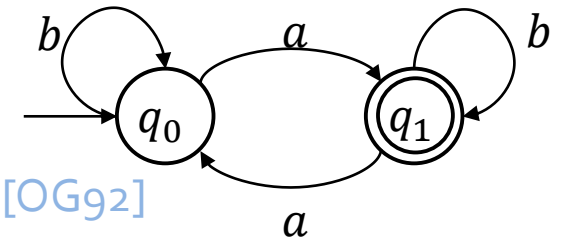
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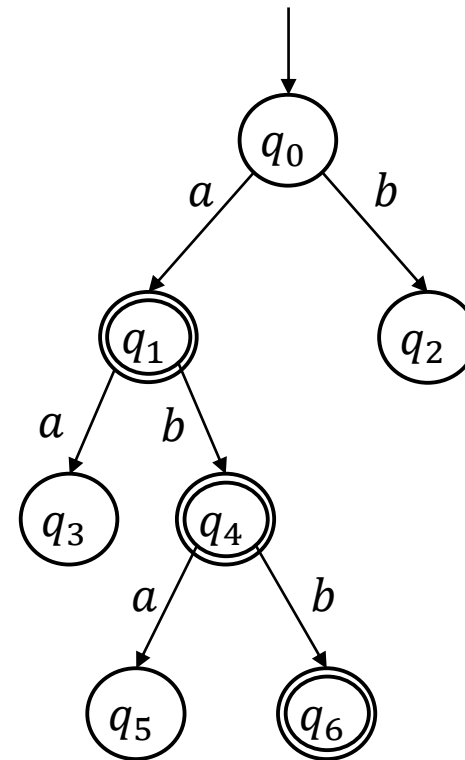
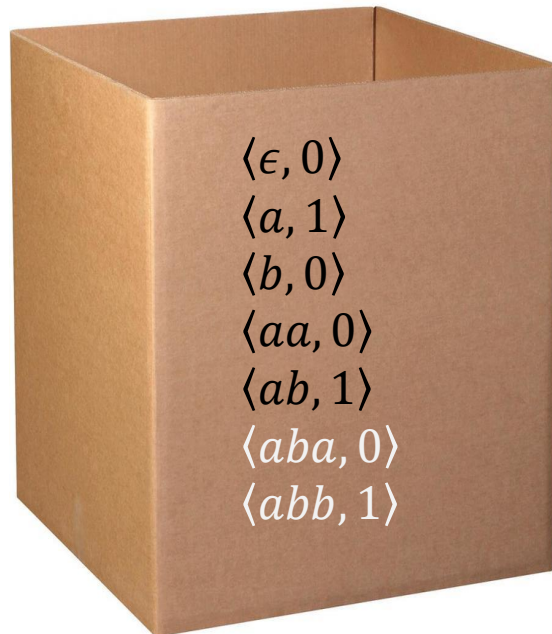
- Constructing a DFA
- Prefix-tree automaton



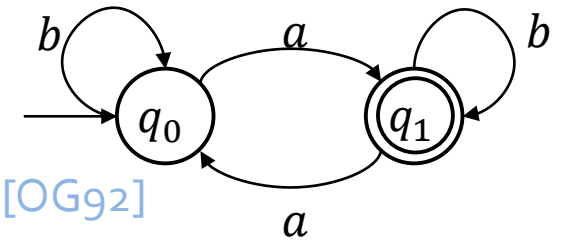
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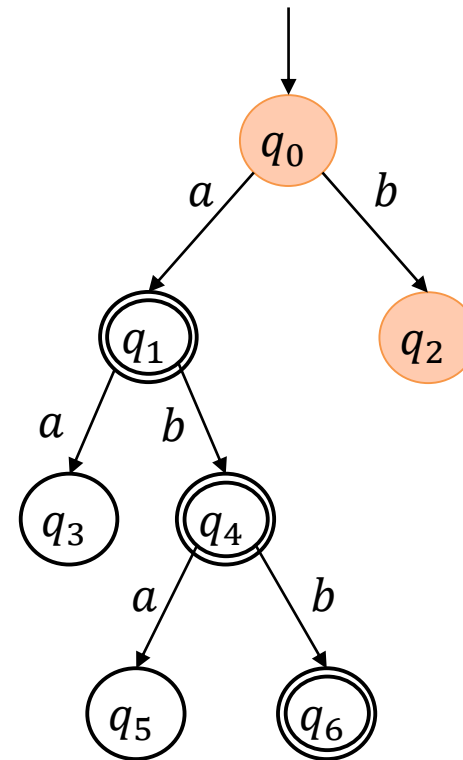
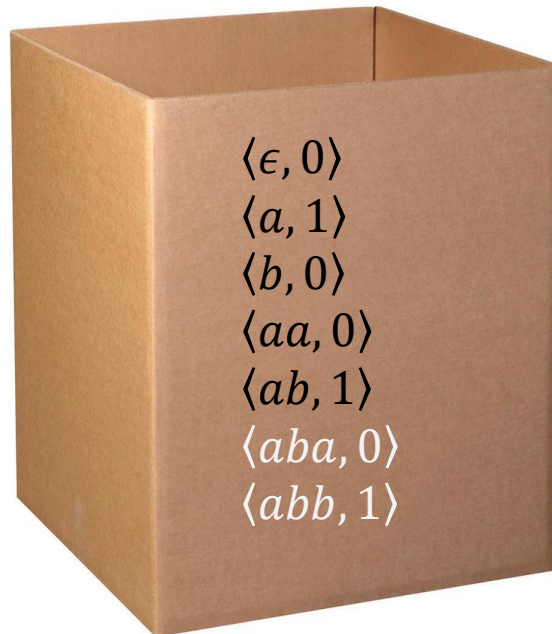
- Constructing a DFA
- Prefix-tree automaton
- Join states according to  $S'$



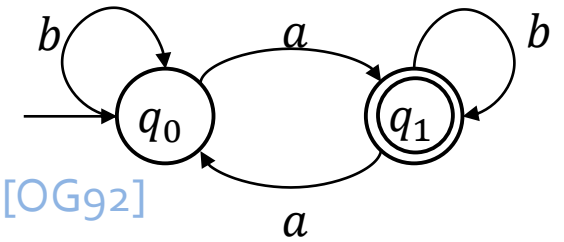
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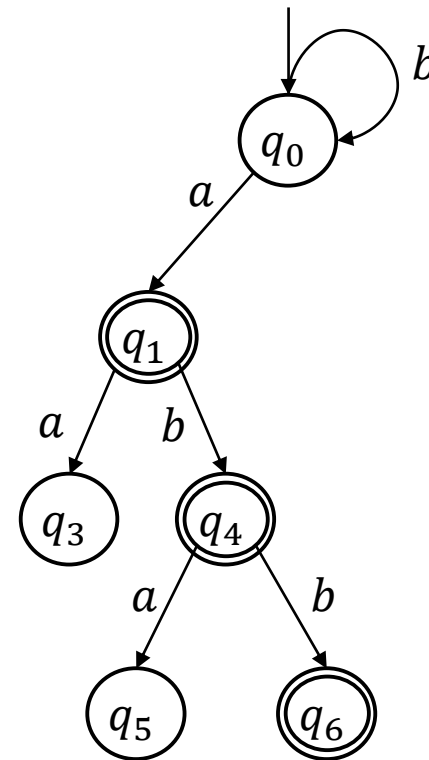
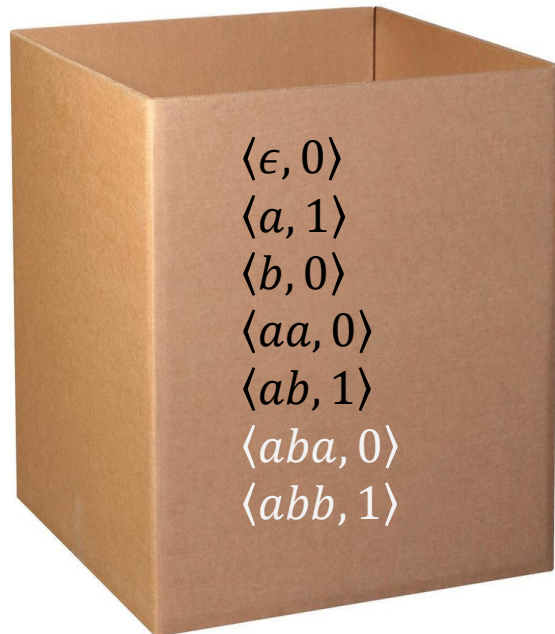
- Constructing a DFA
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# Identification in the Limit for DFAs [OG92]

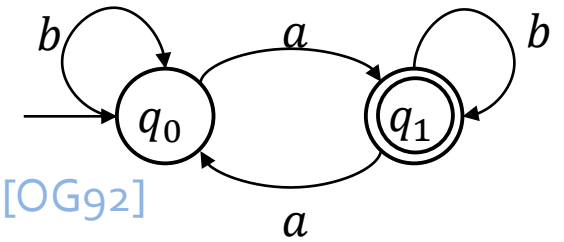


- Constructing a DFA
- Prefix-tree automaton
- Join states according to  $S'$

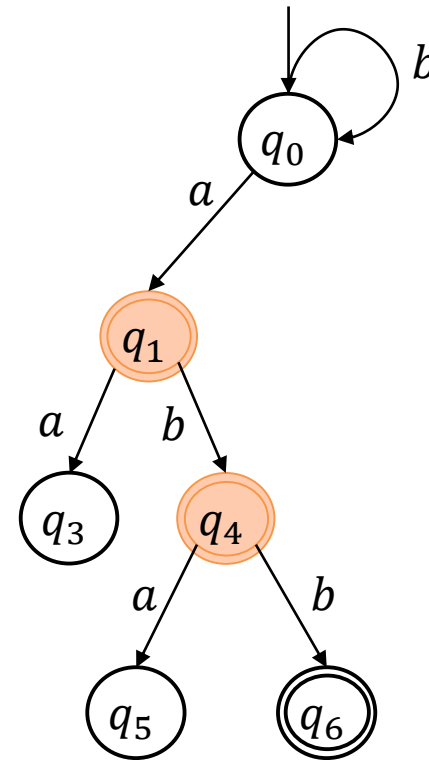
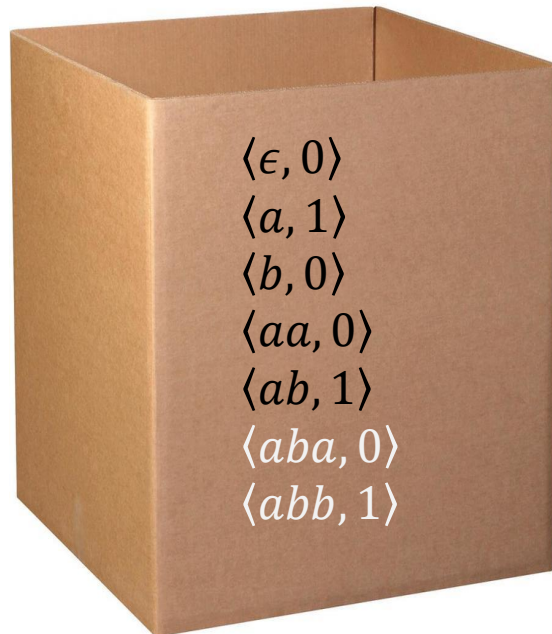




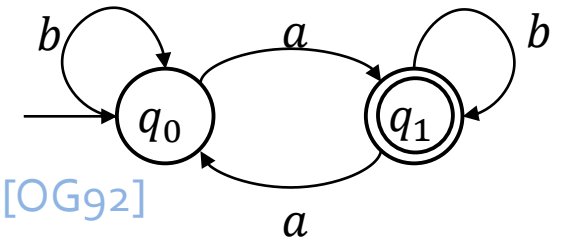
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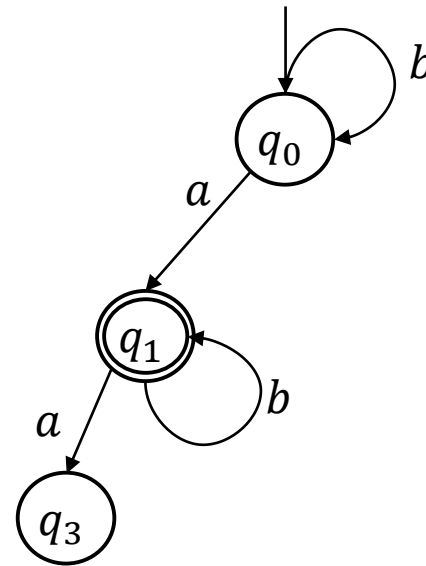
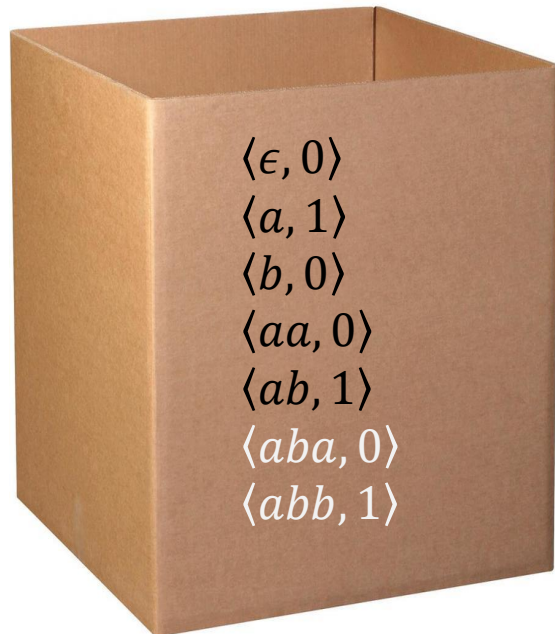
- Constructing a DFA
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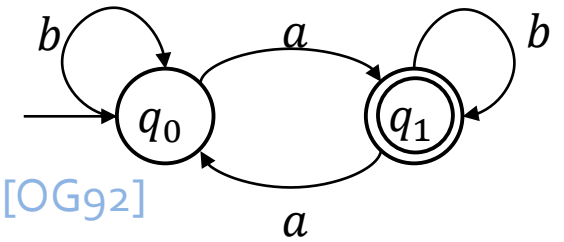
# Identification in the Limit for DFAs [OG92]



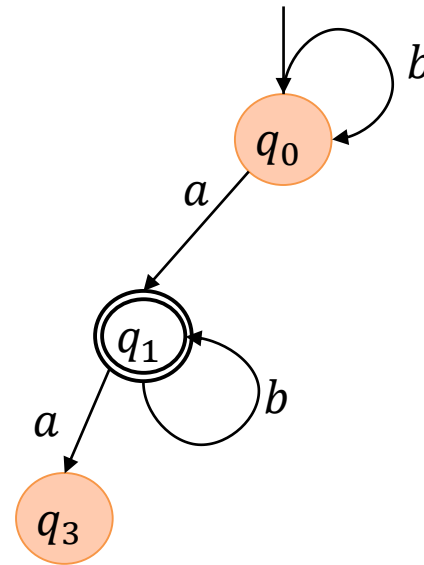
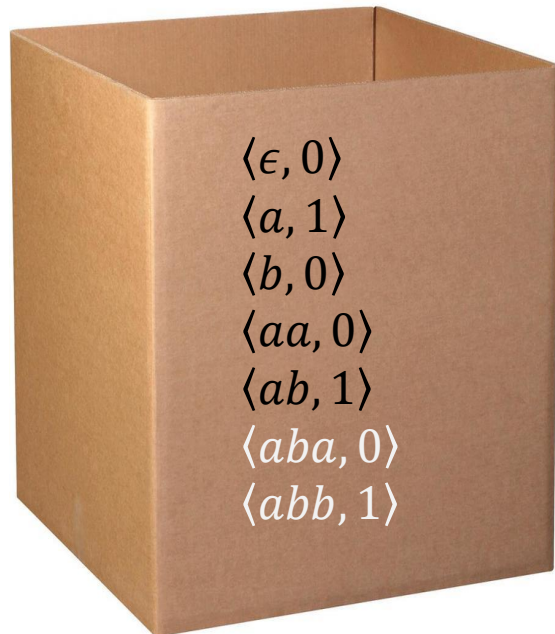
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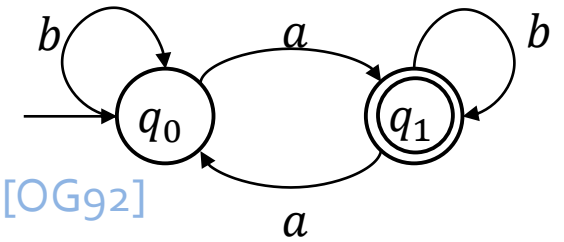
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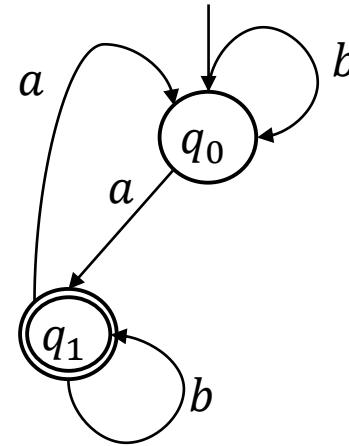
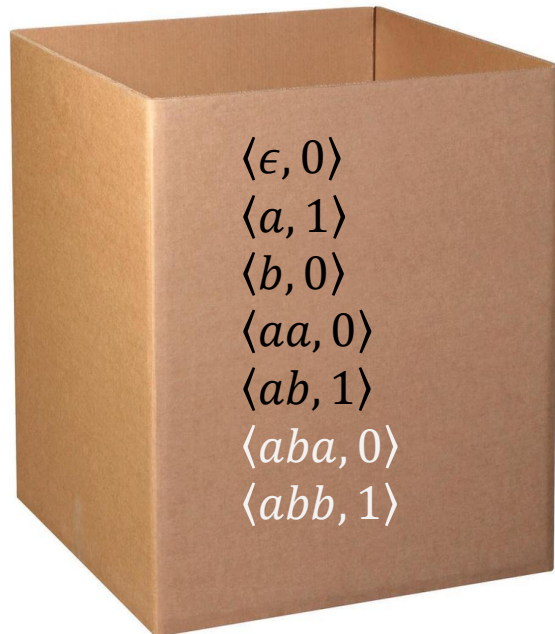
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# Identification in the Limit for DFAs [OG92]



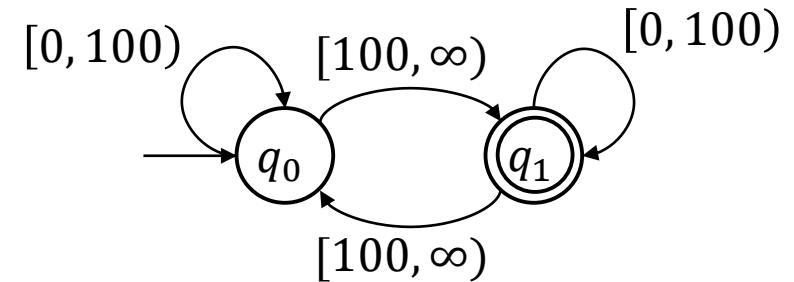
- Constructing a DFA
- Prefix-tree automaton
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# Identification in the Limit for SFAs



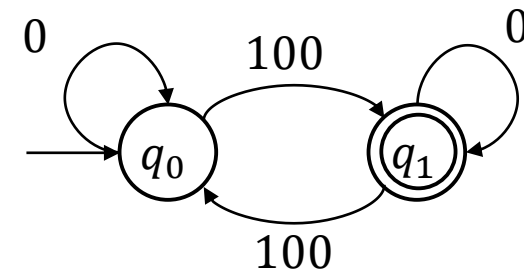
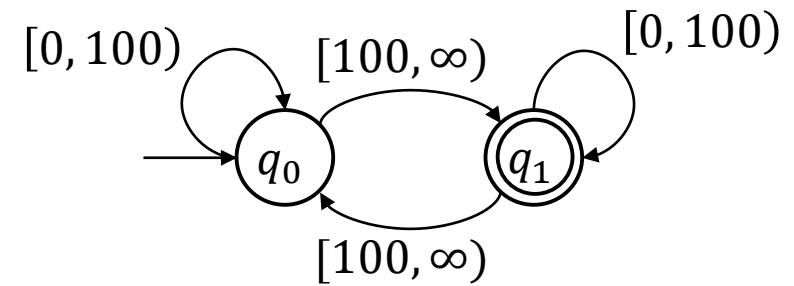
- Learn the SFA out of a set of concrete words
- **Creating a set of concrete words**
- concretize  $(\langle \psi_1, \dots, \psi_n \rangle) = \langle \Gamma_1, \dots, \Gamma_n \rangle$
- concretize  $([0, 100), [100, \infty)) = \langle \{0\}, \{100\} \rangle$



Monotonic algebra!

# Identification in the Limit for SFAs

- Learn the SFA out of a set of concrete words
- **Creating a set of concrete words**
- concretize  $(\langle \psi_1, \dots, \psi_n \rangle) = \langle \Gamma_1, \dots, \Gamma_n \rangle$
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# Identification in the Limit for SFAs

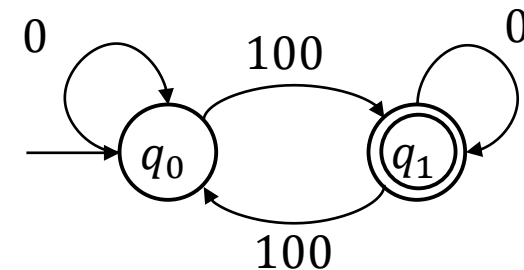
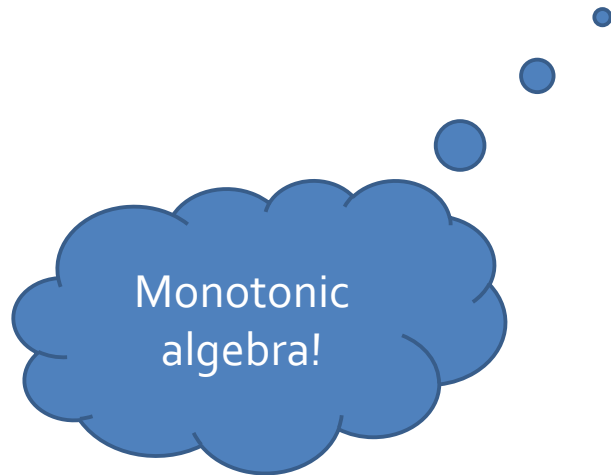
- Learn the SFA out of a set of concrete words
- **Creating a set of concrete words**



# Identification in the Limit for SFAs



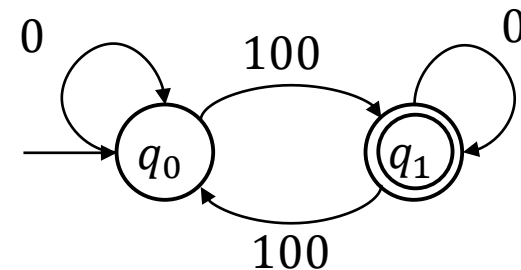
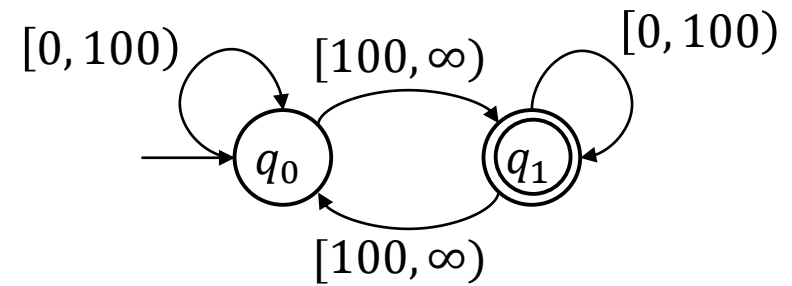
- Learn the SFA out of a set of concrete words
- **Construct an SFA**
- $\text{generalize}(\langle \Gamma_1, \dots, \Gamma_n \rangle) = \langle \psi_1, \dots, \psi_n \rangle$
- $\text{generalize}(\{0\}, \{100\}) = \langle [0, 100), [100, \infty) \rangle$





# Identification in the Limit for SFAs

- Learn the SFA out of a set of concrete words
- **Construct an SFA**
- $\text{generalize}(\Gamma_1, \dots, \Gamma_n) = \langle \psi_1, \dots, \psi_n \rangle$
- $\text{generalize}(\{0\}, \{100\}) = \langle [0, 100), [100, \infty) \rangle$



# Identification in the Limit for SFAs

- generalize

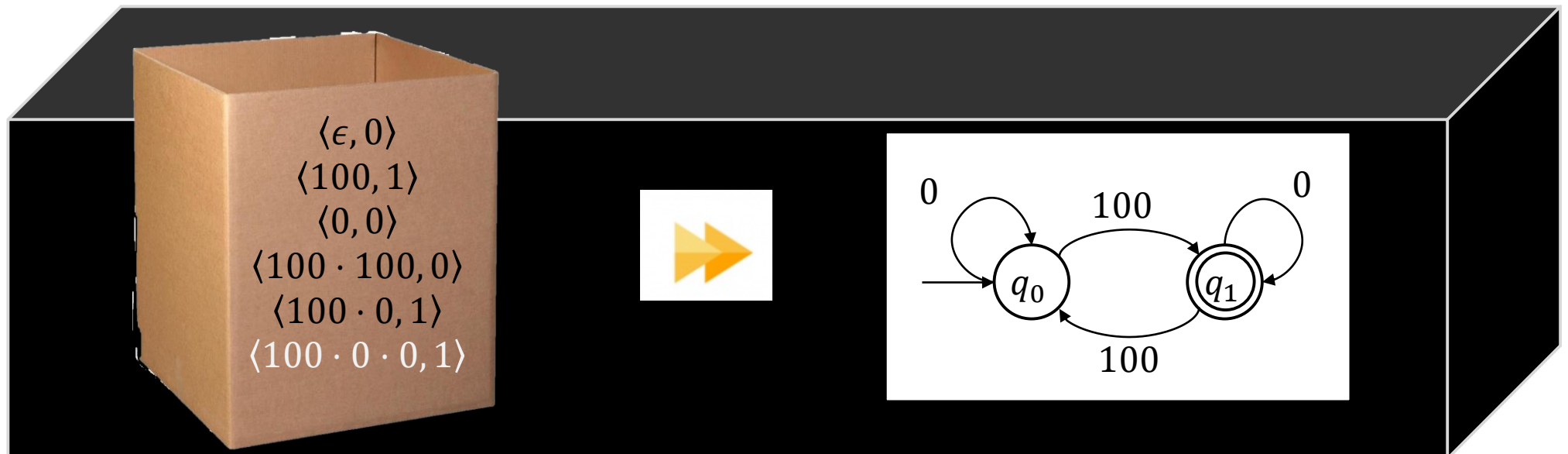
- $\Gamma_1 = \{0, 50, 400\}$        $\Gamma_2 = \{100, 800\}$        $\Gamma_3 = \{2048\}$

0	100	400	800	2048
$[0,100)$	$[100,400)$	$[400,800)$	$[800,2048)$	$[2048,\infty)$

- $\text{generalize}(\langle \Gamma_1, \Gamma_2, \Gamma_3 \rangle) = \langle [0,100) \vee [400,800), [100,400) \vee [800,2048), [2048, \infty) \rangle$

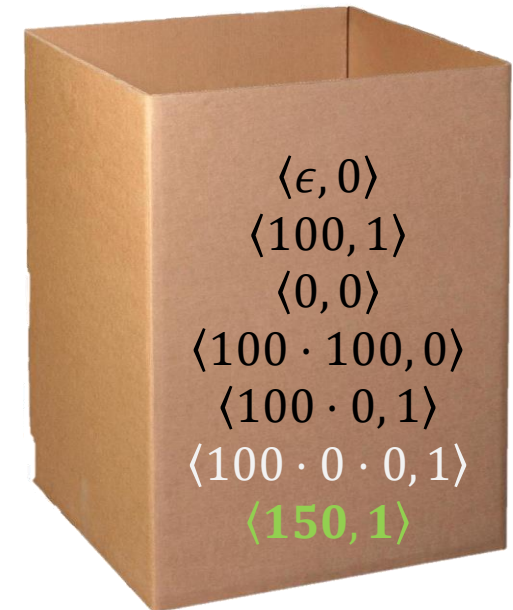
# Identification in the Limit for SFAs

- Learn the SFA out of a set of concrete words
- **Construct an SFA**



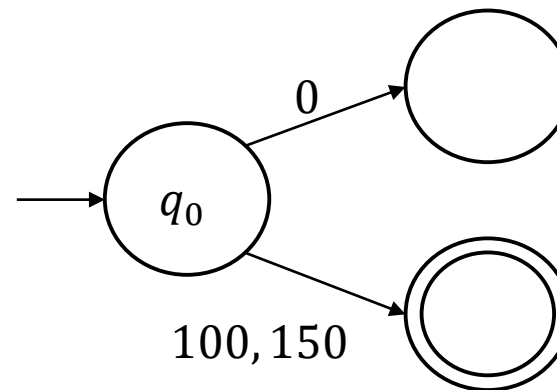
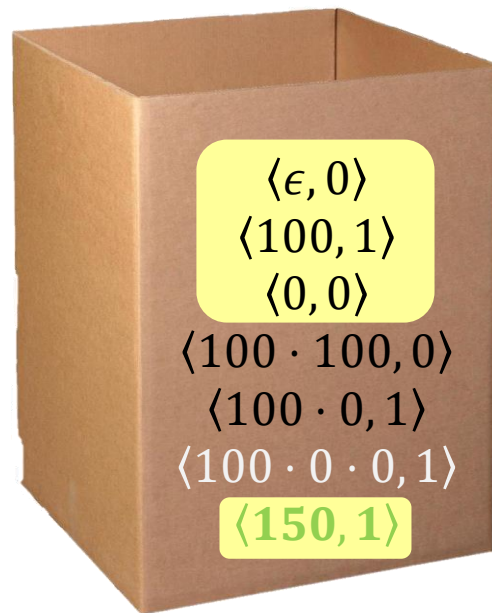
# Identification in the Limit for SFAs

- Learn the SFA out of a set of concrete words
- **Construct an SFA**
- $\text{decontaminate}(\Sigma) = \Sigma'$
- $\Sigma' \subseteq \Sigma$  and contains exactly the alphabet of concretizations



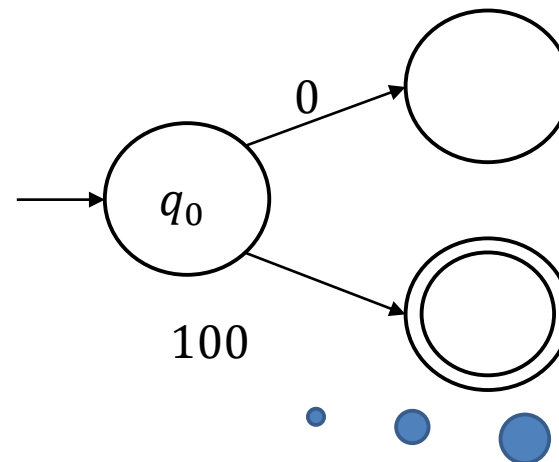
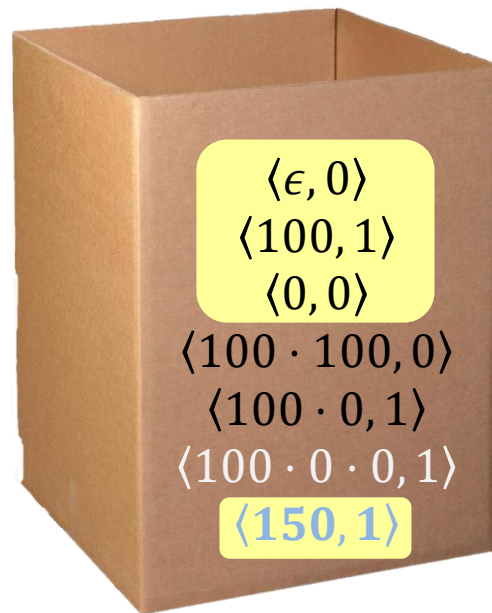
# Identification in the Limit for SFAs

- Traverses words by lexicographic order
- Add letters that are needed for access words and for transitions relation



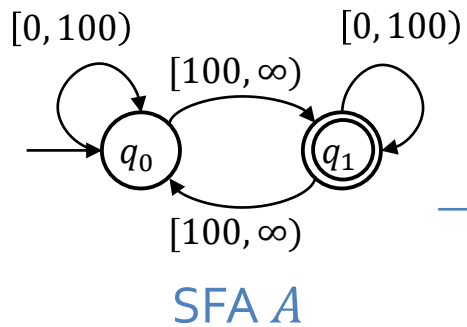
# Identification in the Limit for SFAs

- Traverses words by lexicographic order
- Add letters that are needed for access words and for transitions relation



Monotonic algebra!

# Identification in the Limit for SFAs



Sample  $S_A$

Sample  $S \supseteq S_A$



# Necessary Condition

$\langle \psi_1, \dots, \psi_n \rangle$   $\xrightarrow{\text{concretize}}$   $\langle \Gamma_1, \dots, \Gamma_n \rangle$

**P**oly time  
and data

$\langle \varphi_1, \dots, \varphi_n \rangle$   $\xleftarrow{\text{generalize}}$   $\langle \Delta_1, \dots, \Delta_n \rangle$



# Necessary Condition

$\langle \psi_1, \dots, \psi_n \rangle$   $\xrightarrow{\text{concretize}}$   $\langle \Gamma_1, \dots, \Gamma_n \rangle$

**P**oly time  
and data

If  $[[\Delta_i]] \supseteq [[\Gamma_i]]$   
Then  $[[\varphi_i]] = [[\psi_i]]$

$\langle \varphi_1, \dots, \varphi_n \rangle$   $\xleftarrow{\text{generalize}}$   $\langle \Delta_1, \dots, \Delta_n \rangle$

# Necessary Condition

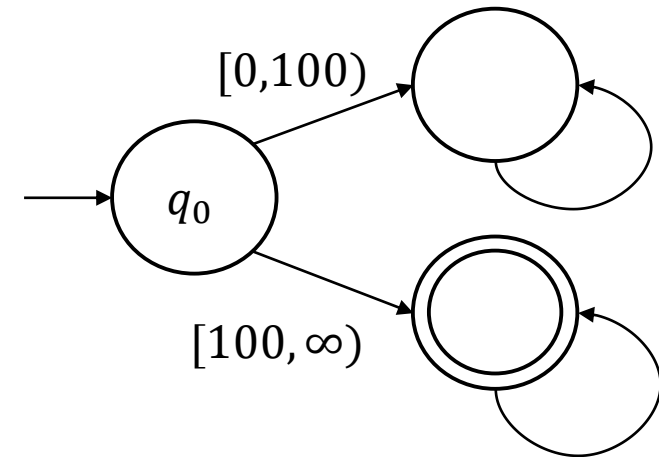
Otherwise, we cannot learn outgoing transitions of a single state

$\langle \psi_1, \dots, \psi_n \rangle \xrightarrow{\text{concretize}} \langle \Gamma_1, \dots, \Gamma_n \rangle$

**P**oly time  
and data

If  $\llbracket \Delta_i \rrbracket \supseteq \llbracket \Gamma_i \rrbracket$   
Then  $\llbracket \varphi_i \rrbracket = \llbracket \psi_i \rrbracket$

$\langle \varphi_1, \dots, \varphi_n \rangle \xleftarrow{\text{generalize}} \langle \Delta_1, \dots, \Delta_n \rangle$



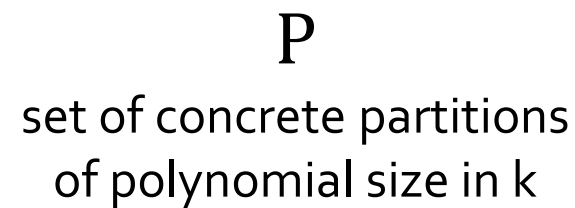
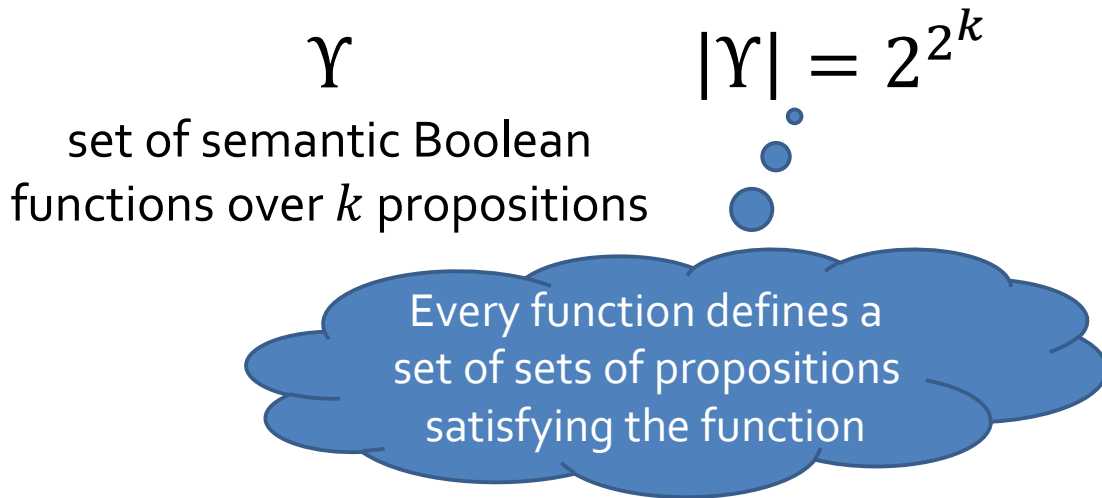
# Propositional Algebra

- Predicates are defined over  $\{p_1, \dots, p_k\}$
- Examples:  $p_1 \vee p_2$ ,  $(p_1 \wedge p_2) \vee p_3$
- Looking for efficient concretize and generalize

# Propositional Algebra

- Predicates are defined over  $\{p_1, \dots, p_k\}$
- Examples:  $p_1 \vee p_2, (p_1 \wedge p_2) \vee p_3$
- Looking for efficient concretize and generalize

No one to one  
function from  $\Upsilon$   
to  $P$



$$|P| < |\Upsilon|$$

# Query Learning of SFAs

- $L^*$  - style learning of SFA
- Goal: learn an SFA over a Boolean algebra, while asking queries over **concrete** letters
- [AD18] suggest MAT\* for learning SFAs

# Query Learning of SFAs

- Learnability of the underlying algebra is a necessary condition
- Membership



Teacher

Yes / No

Is  $a \in \llbracket \varphi \rrbracket$ ?



Learner <sub>135</sub>

# Query Learning of SFAs

- Learnability of the underlying algebra is a necessary condition
- Equivalence



Teacher

Yes /  
No + cex

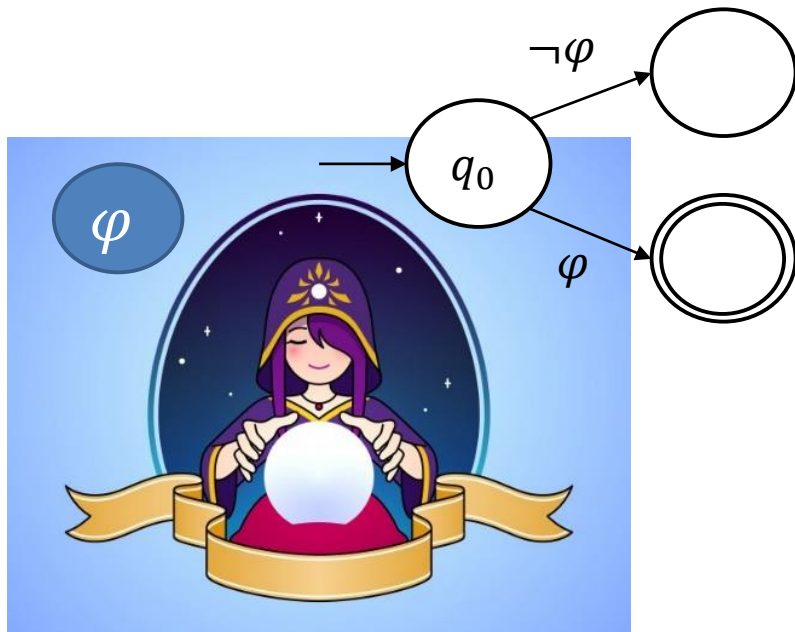
Is  $[[\psi]] = [[\varphi]]$ ?



Learner <sub>136</sub>

# Query Learning of SFAs

- Learnability of the underlying algebra is a necessary condition
- Assume that we can learn SFA, then we can learn the algebra



Teacher



Learner 137



# Query Learning of SFAs

- Concise SFA over the propositional algebra cannot be polynomially learned using MQ and EQ
- The teacher can force the learner to ask  $2^k - 1$  queries
- Membership



Teacher

No

Is  $\langle 0, 1, 0, \dots, 1 \rangle$   
 $\in \llbracket \varphi \rrbracket$ ?



Learner <sub>138</sub>

# Query Learning of SFAs

- Concise SFA over the propositional algebra cannot be polynomially learned using MQ and EQ
- The teacher can force the learner to ask  $2^k - 1$  queries
- Equivalence



Teacher

No  
+  
 $\bar{b} \notin \llbracket \psi \rrbracket$

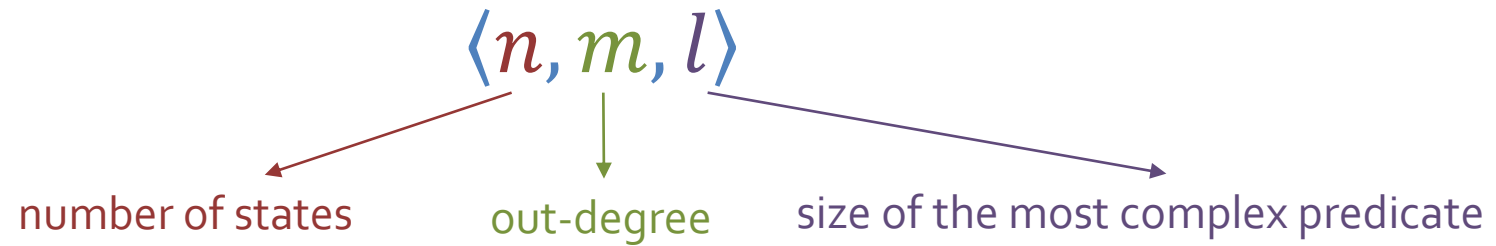
Is  $\llbracket \psi \rrbracket = \llbracket \varphi \rrbracket$ ?



Learner 139

# Complexity of SFAs

- Usually, the **size** of DFA is measured by its number of states
- For SFAs, we need to consider:



# Complexity of SFAs

## Normalized SFA

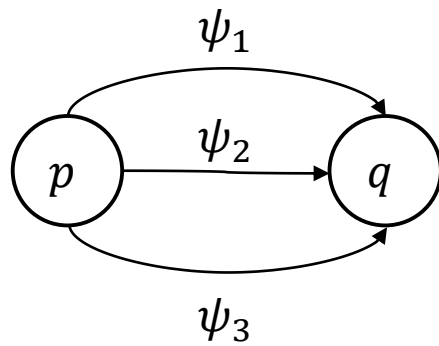
- One transition between each pair of states
- Predicates labeling the transitions can be very complex

## Neat SFA

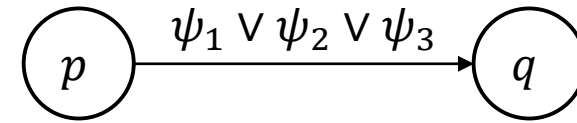
- Only basic transitions
- Predicates labeling transitions are simple
- Can cause an exponential blowup in the number of transitions

# Complexity of SFAs

- Converting to normalized
- Disjunction between all transition predicates



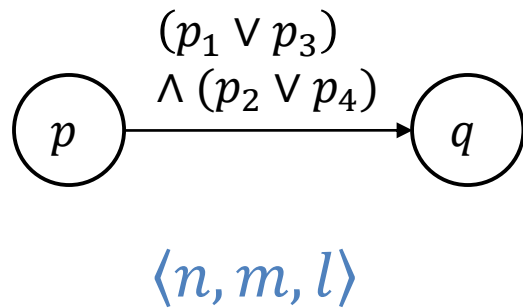
$\langle n, m, l \rangle$



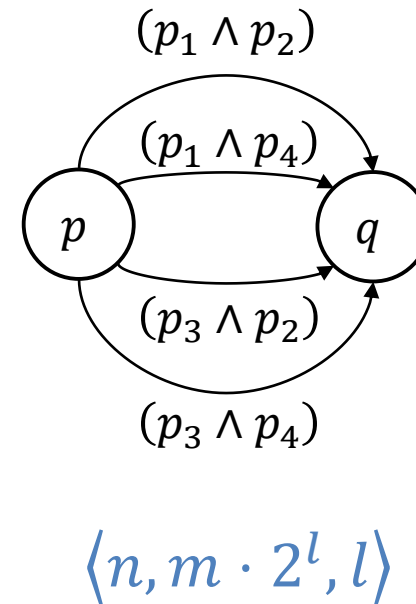
$\langle n, m, m \cdot l \rangle$

# Complexity of SFAs

- Converting to neat
- Splitting into basic transitions, using DNF



- $(p_1 \wedge p_2) \vee$
- $(p_1 \wedge p_4) \vee$
- $(p_3 \wedge p_2) \vee$
- $(p_3 \wedge p_4)$



# Complexity of SFAs

- **For monotonic algebras**, transforming to DNF is polynomial in the size of the original formula
- $([0, 100) \vee [200, 500)) \wedge ([0, 300) \vee [400, 600)) =$   
 $([0, 100) \wedge [0, 300)) \vee ([0, 100) \wedge [400, 600)) \vee$   
 $([200, 500) \wedge [0, 300)) \vee ([200, 500) \wedge [400, 600)) =$   
 $[0, 100) \vee [200, 300) \vee [400, 500)$
- Then, over monotonic algebras, transforming to neat is polynomial

# Complexity of SFAs – Automata Operations

Operation	$\langle \mathbf{n}, \mathbf{m}, \mathbf{l} \rangle$
product construction $\mathcal{M}_1, \mathcal{M}_2$	$\langle n_1 \times n_2, m_1 \times m_2, size_{\wedge}^{\mathbb{P}}(l_1, l_2) \rangle$
complementation of deterministic $\mathcal{M}_1$ <sup>1</sup>	$\langle n_1 + 1, m_1 + 1, size_{\vee m_1}^{\mathbb{P}}(l_1) \rangle$
determinization of $\mathcal{M}_1$	$\langle 2^{n_1}, 2^{m_1}, size_{\wedge n_1 \times m_1}^{\mathbb{P}}(l_1) \rangle$ <sup>2</sup>
minimization of $\mathcal{M}_1$	$\langle n_1, m_1, size_{\wedge m_1}^{\mathbb{P}}(l_1) \rangle$

Table 5.1: Analysis of standard automata procedures on SFAs.



# Complexity of SFAs – Decision Procedures

Decision Procedures	Time Complexity
emptiness	linear in $n, m$
emptiness + feasibility	$n \times m \times \text{sat}^{\mathbb{P}}(l)$
membership of $\gamma_1 \cdots \gamma_t \in \mathbb{D}^*$	$\sum_{i=1}^t \text{sat}^{\mathbb{P}}(\text{size}_{\wedge}^{\mathbb{P}}(l,  \psi_{\gamma_i} ))$ <sup>3</sup>
inclusion $\mathcal{M}_1 \subseteq \mathcal{M}_2$	$((n_1 \times n_2) \times (m_1 \times m_2) \times \text{sat}^{\mathbb{P}}(\text{size}_{\wedge}^{\mathbb{P}}(l_1, l_2)))$

Table 5.2: Analysis of times complexity of decision procedures for SFAs

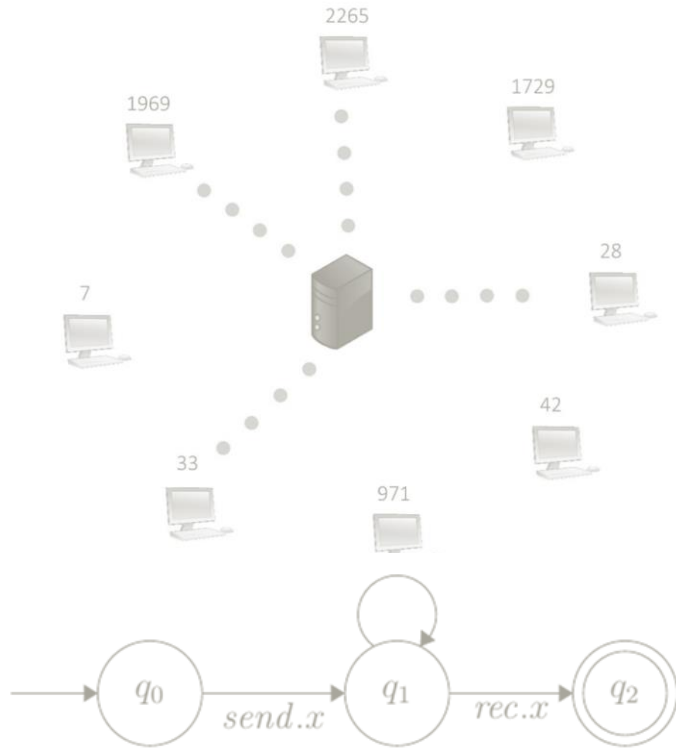
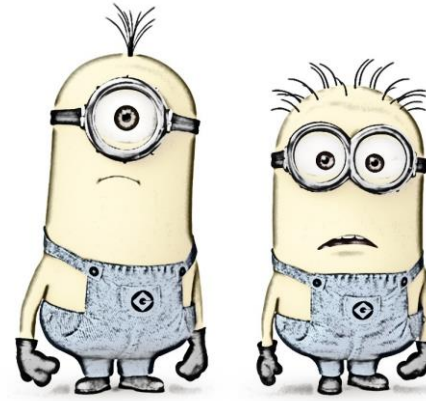
# SFA Summary

- Identification in the limit of SFA
  - Necessary and sufficient conditions
  - Algorithm for identification of SFAs over monotonic algebras
- Necessary condition for query learning of SFAs
  - SFAs over the propositional algebra are not efficiently learnable
- Complexity of automata algorithms in terms of  $\langle n, m, l \rangle$



# Thank you!

# Questions?



```

1: while (true)
2:   pass = readInput;
3:   while (pass ≤ 999)
4:     pass = readInput;
5:     pass2 = encrypt(pass);

```

