

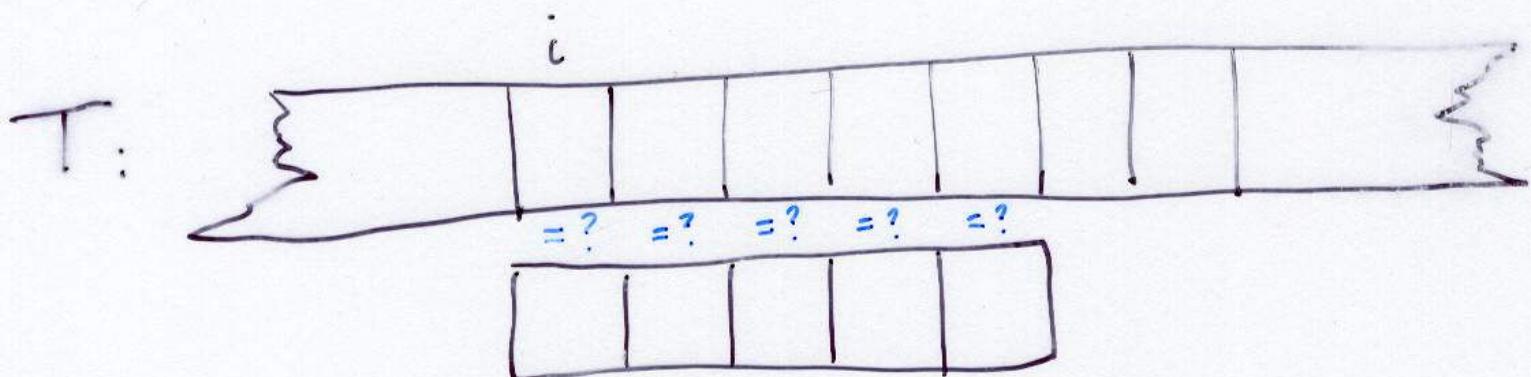
# PATTERN MATCHING

Text:  $T = T[1] T[2] \dots T[n]$

Pattern:  $P = P[1] P[2] \dots P[m]$

Find all occurrences of  $P$  in  $T$ .

Idea:



# Naive Algorithm

For  $i=1$  to  $n-m+1$  do

    match  $\leftarrow 1$

    For  $j=1$  to  $m$  do

        If  $P[j] \neq T[i+j-1]$  then  
            match  $\leftarrow 0$

    End

    If  $\text{match} = 1$  then output  
        "match at location"  $i$

End

Time:  $O(nm)$

## EXAMPLE:

T:

A B C D A

P: A B C D E

≠

Does it make sense to try:

T:

A B C D A

P: A B C D E

T:

A B C D A

P: A B C D E

T:

A B C D A

P: A B C D E

?

- Obviously Not!

## ANOTHER EXAMPLE:

T: ABCABCABC A  
P: ABCABCABC D

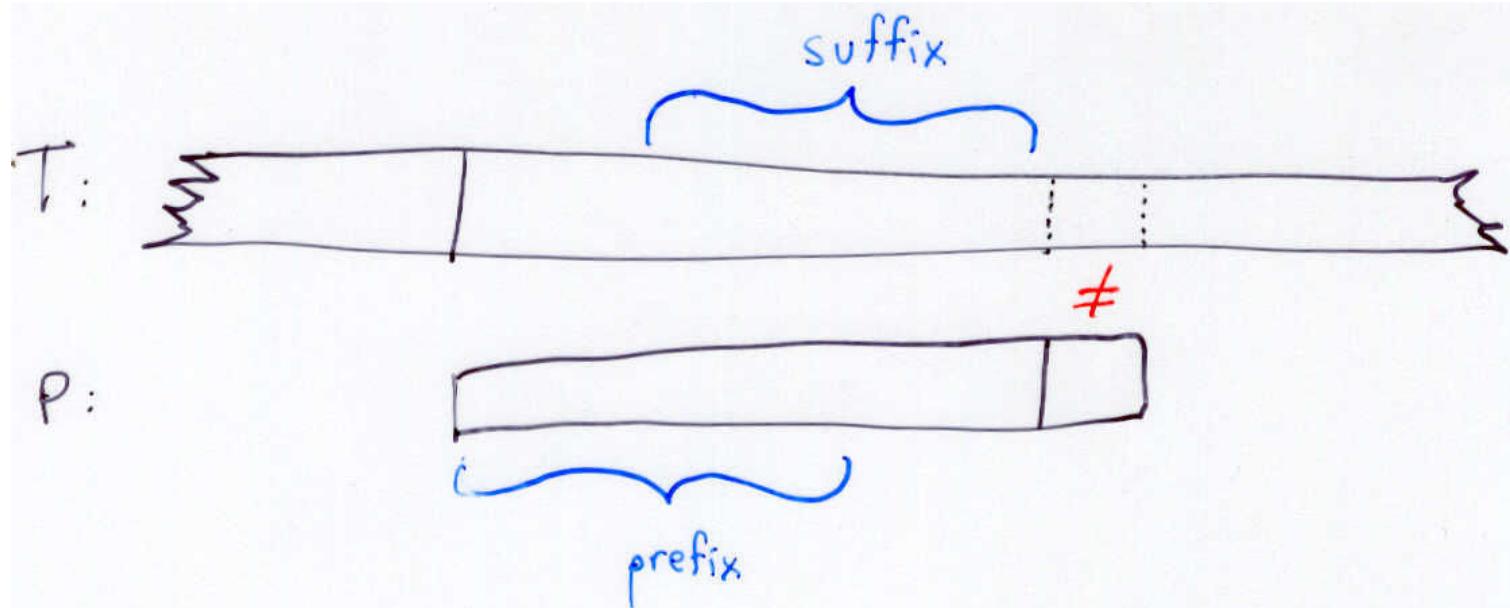
Where is the next place to try?

T: ABCABCABC A  
P: ABCABCABC D

And the next?

T: ABCABCABC A  
ABCABCABC D

What is the rule?



The longest proper prefix of the pattern that is a suffix of the text.

**Problem:** This needs to be calculated for every text location many times. Can it be done fast enough?

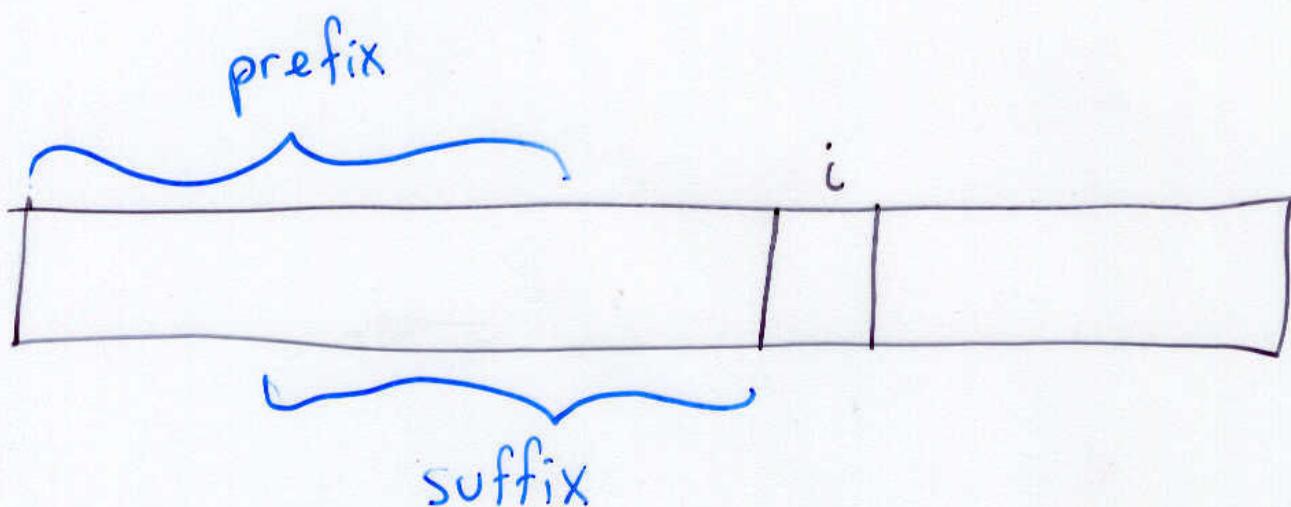
ANSWER: Build a table and get this longest proper prefix in constant time.

SIZE OF TABLE:  $O(m)$

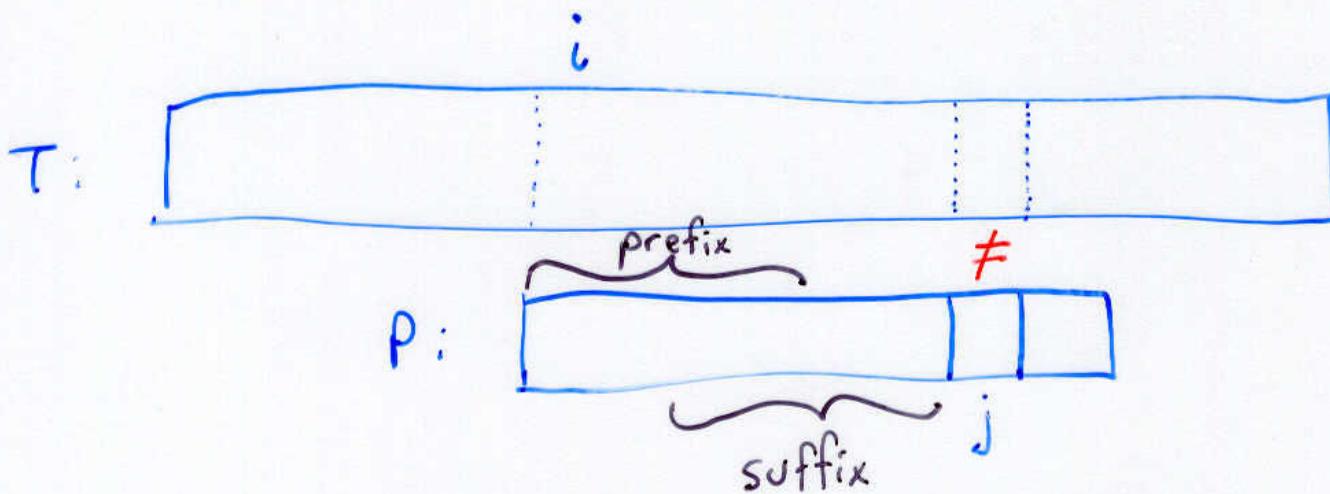
WHY? Transitivity of " $=$ ".

For every pattern location  $i$

Give largest proper pattern prefix that is also a pattern suffix.



When situation is:



We have from table the largest prefix  
that is also a pattern suffix.

But

$$P[1] = T[i]$$

$$P[2] = T[i+1]$$

:

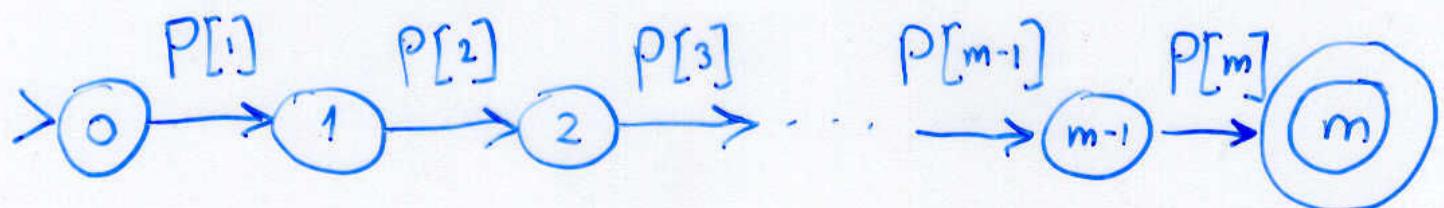
$$P[j-1] = T[i+j-2]$$

So by transitivity, the largest proper prefix of P that is a suffix of P ending at  $P[j-1]$  is also the largest prefix of P that is a suffix of T ending at  $T[i+j-2]$ .

# AUTOMATON IDEA Knuth-Morris-Pratt (1972)

Construct automaton whose forward arrows are success links and whose back arrows are failure links.

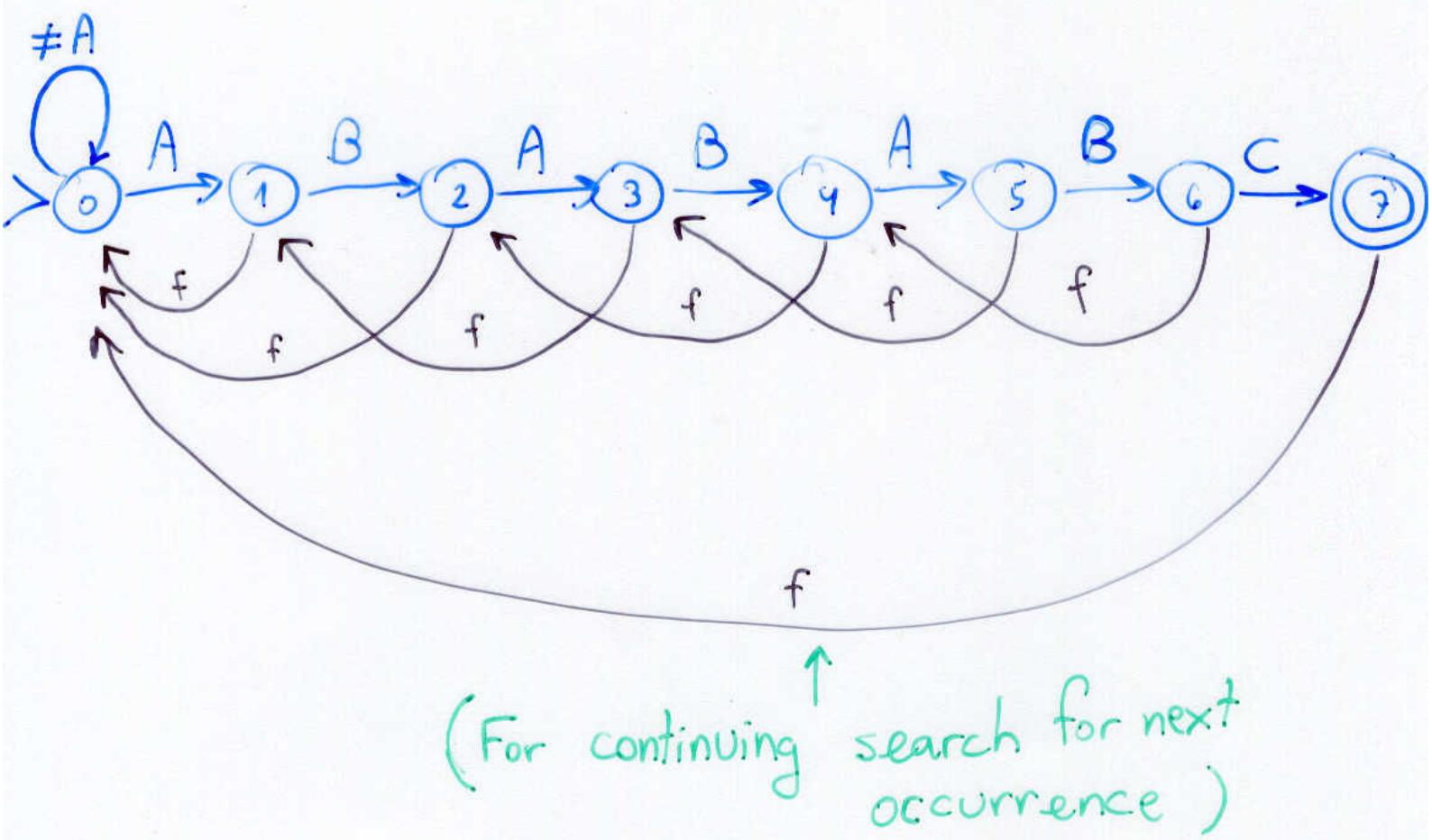
The Success Links: (For automaton of pattern  $P[1] \dots P[m]$ )



## The Failure Links:

The failure link from node i points to node j, where j is the length of the longest proper prefix of P that is a suffix of P ending at  $P[i]$ .

EXAMPLE: ABA B ABC



Algorithm: Run on text with automaton.

For success link, move to next text location.

For failure, move on link but stay on text location.

EXAMPLE RUN:

T: ABABCC AABA BABA BABC

Time:

Every move on success link

was also a move forward

in text. So how many times

have we moved on success

links?

$O(n)$

Since we never move back!

How many times do we move  
on failure links?

→ Could be up to  $m$  in a row until start node is reached.  
Then forward again.

But in fail links we do not advance on text.

Does this mean that the time is  $O(nm)$ ?

**KEY POINT:** For every failure link that we follow back, we had to go forward with a success link!

So total # of fail links followed is not more than total # of success link followed, i.e.  $O(n)$ .

# FORMALIZE

Define a Counter  $F$  that is initialized to 0.

Increment  $F$  by 1: When a success link is followed.

Decrement  $F$  by 1: When a failure link is followed.

Claim: At any point in the algorithm run, if the automaton is at node  $k$  then  $F \geq k$ .

**PROOF:** By induction on the number of moves  $i$  of the ~~automaton~~ algorithm

Base case:  $i = 0$

We are at node  $\circ$ ,  $F = 0$ .

Induction Hypothesis: After move  $i$ , if the automaton is in node  $k$  then  $F \geq k$ .

Prove for move  $i+1$ .

Cases: 1) Success link followed in move  $i+1$



$$F \geq k-1$$

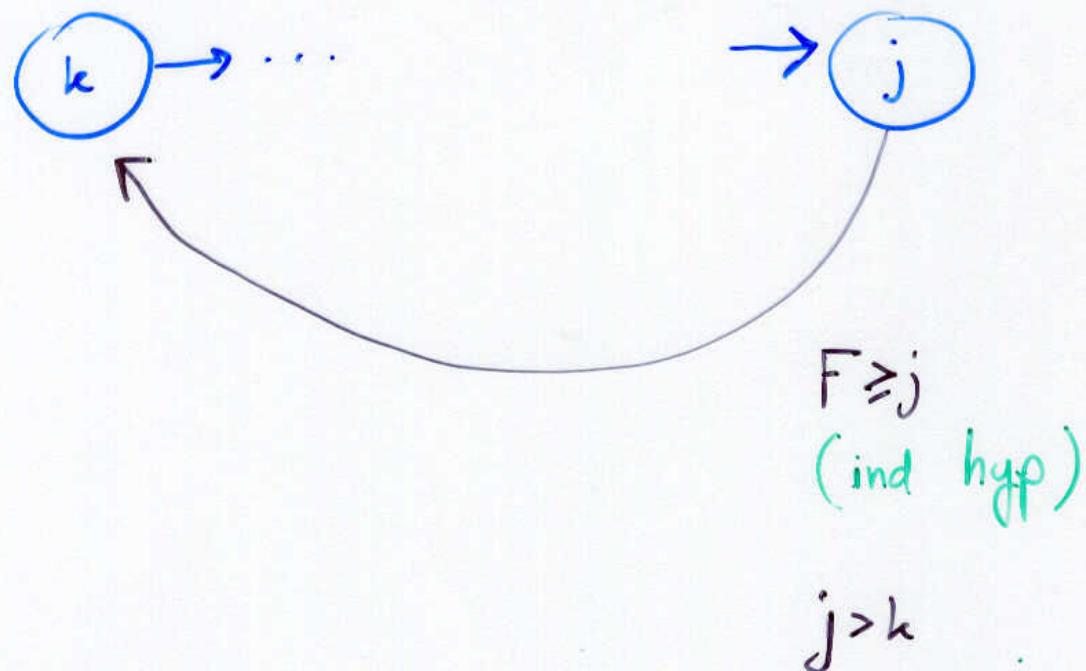
(ind hyp)

$$F \leftarrow F + 1$$



$$F \geq k$$

2) Failure link followed in move  $i+1$



$F \leftarrow F - 1$  (fail link followed)



$F \geq k$

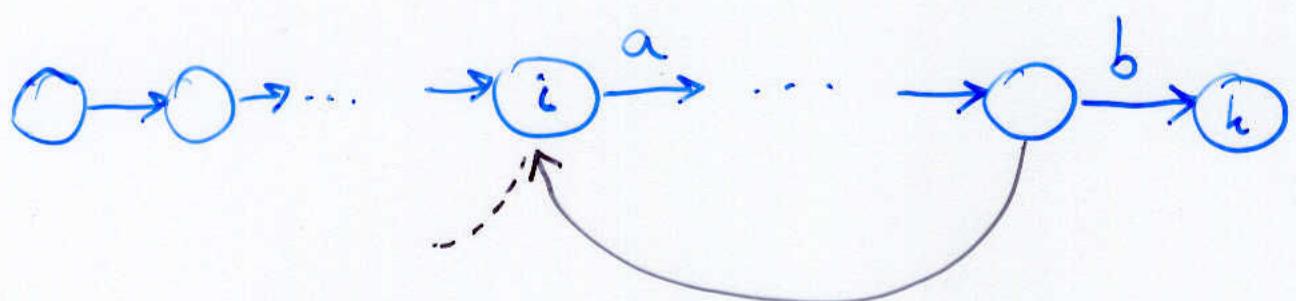


Conclude:  $F$  is incremented at most  $n$  times and is always non-negative. Therefore it is decremented (fail links) at most  $n$  times.

Total Time Text Scan for KMP Algorithm  
 $O(n)$ .

How do we construct fail links?

Same method as search:



If  $a = b$  then failure link of  $k$  points to  $i+1$ .

Otherwise follow fail link from  $i$  and repeat ...

Time:  $O(m)$ .

Why ?

Similar argument to text scan  
(can move back only if first  
moved forward).

Conclude: Total kmp time:

$$O(n+m) = O(n).$$