

Additivity Numbers of Covering Properties

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Contents

1. Introduction (247).
2. Positive results (250).
3. Consistently negative results (258).
4. Consistently positive results (267).
5. τ -covers (274).

1. Introduction

Assume that \mathcal{I} is a topological property. For a topological space X , let $\mathcal{I}(X)$ denote the subspaces of X which possess the property \mathcal{I} , and assume that $\cup \mathcal{I}(X) \notin \mathcal{I}(X)$. Define the *additivity number of \mathcal{I}* (relative to X) as

$$\text{add}_X(\mathcal{I}) = \min \{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I}(X) \text{ and } \cup \mathcal{F} \notin \mathcal{I}(X) \}.$$

$\mathcal{I}(X)$ is *additive* when $\text{add}_X(\mathcal{I}) \geq \aleph_0$ and *σ -additive* when $\text{add}_X(\mathcal{I}) > \aleph_0$. Sometimes it is useful to have more precise estimations of the additivity number of a property, or even better, determine it exactly in terms of well-studied cardinals. This is the purpose of this paper. We do that for a variety of topological covering properties, but some restriction is necessary. We concentrate on the case that X is separable, metrizable, and zero-dimensional. This restriction allows for a convenient application of the combinatorial method. Having established the results for this case, one can seek for generalizations (which are sometimes straightforward). Each topological space as above is homeomorphic to a set of irrational numbers. Thus, it suffices to study $\text{add}_{\mathbb{R} \setminus \mathbb{Q}}(\mathcal{I})$, and we can therefore omit the subscript.

1.1. Covering properties

Fix a space X . An open cover \mathcal{U} of X is *large* if each member of X is contained in infinitely many members of \mathcal{U} . \mathcal{U} is an *ω -cover* if $X \notin \mathcal{U}$ and for each finite $F \subseteq X$, there is $U \in \mathcal{U}$ such that $F \subseteq U$. \mathcal{U} is a *γ -cover* of X if it is infinite and for each $x \in X$, x is a member of all but finitely many members of \mathcal{U} .

Let \mathcal{O} , Λ , Ω , and Γ denote the collections of all countable open covers, large covers, ω -covers, and γ -covers of X , respectively. Similarly, let \mathcal{B} , \mathcal{B}_Λ , \mathcal{B}_Ω , and \mathcal{B}_Γ denote the corresponding countable *Borel* covers of X .¹ Let \mathcal{A} and \mathcal{B} be any of these classes. We consider the following three properties which X may or may not have.

¹By *open cover* (respectively, *Borel cover*) we mean a cover whose elements are open (respectively, Borel).

$S_1(\mathcal{A}, \mathcal{B})$: For each sequence $\{U_n\}_{n \in \mathbb{N}}$ of members of \mathcal{A} , there exist members $U_n \in \mathcal{U}_n$, $n \in \mathbb{N}$, such that $\{U_n : n \in \mathbb{N}\} \in \mathcal{B}$.

$S_{fin}(\mathcal{A}, \mathcal{B})$: For each sequence $\{U_n\}_{n \in \mathbb{N}}$ of members of \mathcal{A} , there exist finite subsets $\mathcal{F}_n \subseteq U_n$, $n \in \mathbb{N}$, such that $\bigcup_{n \in \mathbb{N}} \mathcal{F}_n \in \mathcal{B}$.

$U_{fin}(\mathcal{A}, \mathcal{B})$: For each sequence $\{U_n\}_{n \in \mathbb{N}}$ of members of \mathcal{A} which do not contain a finite subcover, there exist finite subsets $\mathcal{F}_n \subseteq U_n$, $n \in \mathbb{N}$, such that $\{\bigcup \mathcal{F}_n : n \in \mathbb{N}\} \in \mathcal{B}$.

Each of these properties, where \mathcal{A}, \mathcal{B} range over $\mathcal{O}, \Lambda, \Omega, \Gamma$ or over $\mathcal{B}, \mathcal{B}_\Lambda, \mathcal{B}_\Omega, \mathcal{B}_\Gamma$, is either void or equivalent to one in Figure 1 (where an arrow denotes implication). For these properties, \mathcal{O} can be replaced anywhere by Λ and \mathcal{B} by \mathcal{B}_Λ without changing the property [24, 17, 27].

The *critical cardinality* of a property \mathcal{I} (relative to a space X) is

$$\text{non}_X(\mathcal{I}) = \min \{ |Y| : Y \subseteq X \text{ and } Y \notin \mathcal{I}(X) \}.$$

The *covering number* of \mathcal{I} (relative to X) is

$$\text{cov}_X(\mathcal{I}) = \min \{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I}(X) \text{ and } \cup \mathcal{F} = X \}.$$

Again, since we can work in $\mathbb{R} \setminus \mathbb{Q}$, we remove the subscript X from both notations. Below each property in Figure 1 appears its critical cardinality (these cardinals are well studied, see [8]. By \mathcal{M} we always denote the ideal of meager, i.e. first category, sets of real numbers).

$S_{fin}(\mathcal{O}, \mathcal{O})$, $U_{fin}(\mathcal{O}, \Gamma)$, $S_1(\mathcal{O}, \mathcal{O})$ are the classical properties of Menger, Hurewicz, and Rothberger (traditionally called C''), respectively. $S_1(\Omega, \Gamma)$ is the Gerlits-Nagy γ -property. Additional properties in the diagram were studied by Arkhangel'skiĭ, Sakai, and others. Some of the properties are relatively new.

We also consider the following type of properties.

$\text{Split}(\mathcal{A}, \mathcal{B})$: Every cover $\mathcal{U} \in \mathcal{A}$ can be split into two disjoint subcovers \mathcal{V} and \mathcal{W} , each containing some element of \mathcal{B} as a subset.

Here too, letting \mathcal{A}, \mathcal{B} range over Λ, Ω, Γ or $\mathcal{B}_\Lambda, \mathcal{B}_\Omega, \mathcal{B}_\Gamma$, we get that some of the properties are trivial and several equivalences hold among the remaining

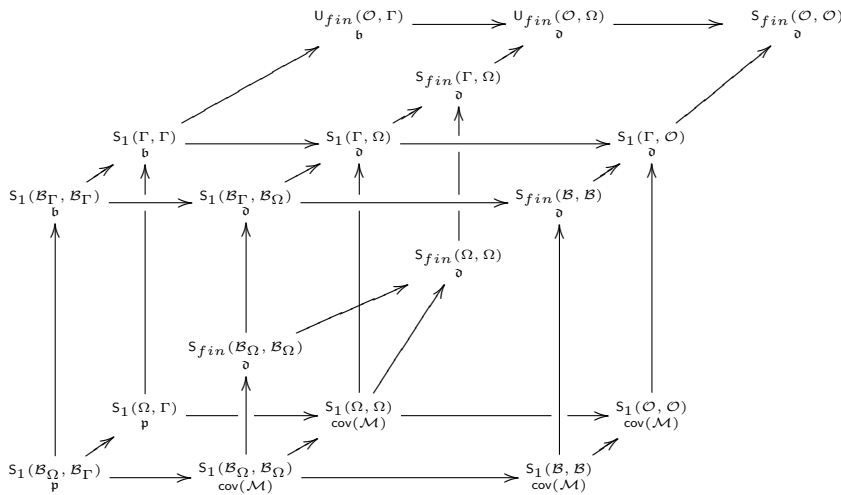
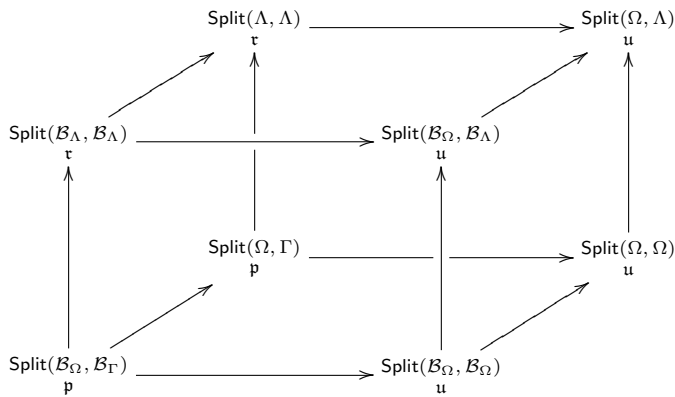


Figure 1 – The extended Scheepers Diagram

ones. The surviving properties appear in the following diagram (where again the critical cardinality appears below each property).



No implication can be added to this diagram [31]. There are connections between the first and the second diagram, e.g., $\text{Split}(\Omega, \Gamma) = S_1(\Omega, \Gamma)$ [31], and both $U_{fin}(\mathcal{O}, \Gamma)$ and $S_1(\mathcal{O}, \mathcal{O})$ imply $\text{Split}(\Lambda, \Lambda)$. Similarly, $S_1(\Omega, \Omega)$ implies $\text{Split}(\Omega, \Omega)$ [24]. Similar assertions hold in the Borel case [31].

The situation becomes even more interesting when τ -covers are incorporated into the framework. We will introduce this notion later.

2. Positive results

2.1. On the Scheepers diagram

The following proposition is folklore.

Proposition 2.1. *Each property of the form $\Pi(\mathcal{A}, \mathcal{O})$ (or $\Pi(\mathcal{A}, \mathcal{B})$), $\Pi \in \{\mathcal{S}_1, \mathcal{S}_{fin}, \mathcal{U}_{fin}\}$, is σ -additive.*

PROOF – Let A_1, A_2, \dots be a partition of \mathbb{N} into disjoint infinite sets. Assume that X_1, X_2, \dots satisfy $\Pi(\mathcal{A}, \mathcal{O})$. Assume that $\mathcal{U}_1, \mathcal{U}_2, \dots \in \mathcal{A}$ for $X = \bigcup_{k \in \mathbb{N}} X_k$. For each k , use this property of X_k to extract from the sequence $\{\mathcal{U}_n\}_{n \in A_k}$ the appropriate cover \mathcal{V}_k of X_k . Then $\bigcup_{k \in \mathbb{N}} \mathcal{V}_k$ is the desired cover of X .

The proof for $\Pi(\mathcal{A}, \mathcal{B})$ is identical. □

Proposition 2.2. *If \mathcal{I} and \mathcal{J} are collections of sets of reals such that:*

*$X \in \mathcal{I}$ if, and only if, for each Borel function $\Psi : X \rightarrow \mathbb{R} \setminus \mathbb{Q}$
 $\Psi[X] \in \mathcal{J}$.*

Then $\text{add}(\mathcal{J}) \leq \text{add}(\mathcal{I})$.

PROOF – Assume that X_α , $\alpha < \kappa$, are members of \mathcal{I} such that $X = \bigcup_{\alpha < \kappa} X_\alpha \notin \mathcal{I}$. Take a Borel function $\Psi : X \rightarrow \mathbb{R} \setminus \mathbb{Q}$ such that $\Psi[X] \notin \mathcal{J}$. Then $\Psi[X] = \bigcup_{\alpha < \kappa} \Psi[X_\alpha]$. □

It is easy to see that for all $x, y \in \{\Gamma, \Omega, \mathcal{O}\}$, X satisfies $\Pi(\mathcal{B}_x, \mathcal{B}_y)$ if, and only if, every Borel image of X satisfies $\Pi(x, y)$ (here $\mathcal{B}_\mathcal{O} := \mathcal{B}$) [27, 30]. Using this and the facts that for each property \mathcal{I} , $\text{add}(\mathcal{I})$ is a regular cardinal satisfying $\text{add}(\mathcal{I}) \leq \text{cf}(\text{non}(\mathcal{I}))$ and $\text{add}(\mathcal{I}) \leq \text{cov}(\mathcal{I})$, we have the following.

Corollary 2.1.

1. $\text{add}(\mathcal{S}_1(\mathcal{O}, \mathcal{O})) \leq \text{add}(\mathcal{S}_1(\mathcal{B}, \mathcal{B})) \leq \text{cf}(\text{cov}(\mathcal{M}))$;

2. $\max\{\text{add}(S_1(\Gamma, \Gamma)), \text{add}(U_{fin}(\mathcal{O}, \Gamma))\} \leq \text{add}(S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Gamma)) \leq \mathfrak{b}$;
3. $\max\{\text{add}(S_1(\Gamma, \mathcal{O})), \text{add}(S_{fin}(\mathcal{O}, \mathcal{O}))\} \leq \text{add}(S_{fin}(\mathcal{B}, \mathcal{B})) \leq \text{cf}(\mathfrak{d})$;
4. $\text{add}(S_1(\Omega, \Gamma)) \leq \text{add}(S_1(\mathcal{B}_\Omega, \mathcal{B}_\Gamma)) \leq \mathfrak{p}$;
5. $\max\{\text{add}(S_1(\Gamma, \Omega)), \text{add}(S_{fin}(\Gamma, \Omega)), \text{add}(U_{fin}(\mathcal{O}, \Omega))\} \leq \text{add}(S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Omega)) \leq \text{cf}(\mathfrak{d})$.

We now look for lower bounds on the additivity numbers. Define a partial order \leq^* on $\mathbb{N}^{\mathbb{N}}$ by:

$$f \leq^* g \quad \text{if} \quad f(n) \leq g(n) \text{ for all but finitely many } n.$$

A subset of $\mathbb{N}^{\mathbb{N}}$ is called *bounded* if it is bounded with respect to \leq^* . A subset D of $\mathbb{N}^{\mathbb{N}}$ is *dominating* if for each $g \in \mathbb{N}^{\mathbb{N}}$ there exists $f \in D$ such that $g \leq^* f$.

View \mathbb{N} as a discrete topological space. The *Baire space* is the product space $\mathbb{N}^{\mathbb{N}}$. Hurewicz ([16], see also Reclaw [23]) proved that a set of reals X satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$ if, and only if, every continuous image of X in $\mathbb{N}^{\mathbb{N}}$ is not dominating. Likewise, he showed that X satisfies $U_{fin}(\mathcal{O}, \Gamma)$ if, and only if, every continuous image of X in $\mathbb{N}^{\mathbb{N}}$ is bounded. Replacing “continuous image” by “Borel image” we get characterizations of $S_{fin}(\mathcal{B}, \mathcal{B})$ and $S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Gamma)$, respectively [27]. It is easy to see that a union of less than \mathfrak{b} many bounded subsets of $\mathbb{N}^{\mathbb{N}}$ is bounded, and a union of less than \mathfrak{b} many subsets of $\mathbb{N}^{\mathbb{N}}$ which are not dominating is not dominating.

Corollary 2.2.

1. $\text{add}(U_{fin}(\mathcal{O}, \Gamma)) = \text{add}(S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Gamma)) = \mathfrak{b}$;
2. $\mathfrak{b} \leq \text{add}(S_{fin}(\mathcal{O}, \mathcal{O})) \leq \text{add}(S_{fin}(\mathcal{B}, \mathcal{B})) \leq \text{cf}(\mathfrak{d})$.

Consider an unbounded subset B of $\mathbb{N}^{\mathbb{N}}$ such that $|B| = \mathfrak{b}$, and define, for each $f \in B$, $Y_f = \{g \in \mathbb{N}^{\mathbb{N}} : f \not\leq^* g\}$. Then the sets Y_f are not dominating, but $\bigcup_{f \in B} Y_f = \mathbb{N}^{\mathbb{N}}$: For each $g \in \mathbb{N}^{\mathbb{N}}$ there exists $f \in B$ such that $f \not\leq^* g$, that is, $g \in Y_f$. Thus the second assertion in Corollary 2.2 cannot be strengthened in a trivial manner. We must work harder for that.

Let $[\mathbb{N}]^{\aleph_0}$ denote the collection of all infinite sets of natural numbers. For $a, b \in [\mathbb{N}]^{\aleph_0}$, a is an *almost subset* of b , $a \subseteq^* b$, if $a \setminus b$ is finite. A family $G \subseteq [\mathbb{N}]^{\aleph_0}$ is *groupwise dense* if it contains all almost subsets of its elements, and for each partition of \mathbb{N} into finite intervals (i.e., sets of the form $[m, k) = \{m, m+1, \dots, k-1\}$), there is an infinite set of intervals in this partition whose union is a member of G .

$[\mathbb{N}]^{\aleph_0}$ is a topological subspace of $P(\mathbb{N})$, where the topology on $P(\mathbb{N})$ is defined by identifying it with the Cantor space $\{0, 1\}^{\mathbb{N}}$. For each finite $F \subseteq \mathbb{N}$ and each $n \in \mathbb{N}$, define

$$O_{F,n} = \{a \in P(\mathbb{N}) : a \cap [0, n) = F\}.$$

The sets $O_{F,n}$ form a clopen basis for the topology on $P(\mathbb{N})$.

For $a \in [\mathbb{N}]^{\aleph_0}$, define an element a^+ of $\mathbb{N}^{\mathbb{N}}$ by

$$a^+(n) = \min\{k \in a : n < k\}$$

for each n .

The following theorem is due to Tsaban and Zdomskyy [33].

Theorem 2.1. *Assume that X satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$. Then for each continuous image Y of X in $\mathbb{N}^{\mathbb{N}}$, the family*

$$G = \{a \in [\mathbb{N}]^{\aleph_0} : (\forall f \in Y) a^+ \not\subseteq^* f\}$$

is groupwise dense.

PROOF – Assume that Y is a continuous image of X in $\mathbb{N}^{\mathbb{N}}$. Then Y satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$.

The following is folklore.

Lemma 2.1. *Assume that X satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$ and K is σ -compact. Then $X \times K$ satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$.*

PROOF – This proof is as in [18]. As $S_{fin}(\mathcal{O}, \mathcal{O})$ is σ -additive, we may assume that K is compact. Assume that $\mathcal{U}_1, \mathcal{U}_2, \dots$, are countable open covers of $X \times K$. For each n , enumerate $\mathcal{U}_n = \{U_m^n : m \in \mathbb{N}\}$. For each n and m set

$$V_m^n = \left\{ x \in X : \{x\} \times K \subseteq \bigcup_{k \leq m} U_k^n \right\}.$$

Then $\mathcal{V}_n = \{V_m^n : m \in \mathbb{N}\}$ is an open cover of X . As X satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$, we can choose for each n an m_n such that $X = \bigcup_n \bigcup_{k \leq m_n} V_k^n$. By the definition of the sets V_k^n , $X \times K \subseteq \bigcup_n \bigcup_{k \leq m_n} U_k^n$. \square

By Lemma 2.1, $P(\mathbb{N}) \times Y$ satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$.

Lemma 2.2. *The set*

$$C = \{(a, f) \in [\mathbb{N}]^{\mathbb{N}_0} \times \mathbb{N}^{\mathbb{N}} : a^+ \leq^* f\}$$

is an F_σ subset of $P(\mathbb{N}) \times \mathbb{N}^{\mathbb{N}}$.

PROOF – Note that

$$C = \bigcup_{m \in \mathbb{N}} \bigcap_{n \geq m} \{(a, f) \in P(\mathbb{N}) \times \mathbb{N}^{\mathbb{N}} : (n, f(n)] \cap a \neq \emptyset\}.$$

(The nonempty intersection for infinitely many n allows the replacement of $[\mathbb{N}]^{\mathbb{N}_0}$ by $P(\mathbb{N})$.)

For fixed m and n , the set $\{(a, f) \in P(\mathbb{N}) \times \mathbb{N}^{\mathbb{N}} : (n, f(n)] \cap a \neq \emptyset\}$ is clopen: Indeed, if $\lim_k (a_k, f_k) = (a, f)$ then for all large enough k , $f_k(n) = f(n)$, and therefore for all larger enough k , $(n, f_k(n)] \cap a_k = (n, f(n)] \cap a$. Thus, (a_k, f_k) is in the set if, and only if, (a, f) is in the set. \square

As $S_{fin}(\mathcal{O}, \mathcal{O})$ is σ -additive and hereditary for closed subsets, we have by Lemma 2.2 that $C \cap (P(\mathbb{N}) \times Y)$ satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$, and therefore so does its projection Z on the first coordinate. By the definition of Z , $G = Z^c$, the complement of Z in $[\mathbb{N}]^{\mathbb{N}_0}$. Note that G contains all almost subsets of its elements.

For $a \in [\mathbb{N}]^{\mathbb{N}_0}$ and an increasing $h \in \mathbb{N}^{\mathbb{N}}$, define

$$a/h = \{n : a \cap [h(n), h(n+1)) \neq \emptyset\}.$$

For $S \subseteq [\mathbb{N}]^{\mathbb{N}_0}$, define $S/h = \{a/h : a \in S\}$.

Lemma 2.3. *Assume that $G \subseteq [\mathbb{N}]^{\mathbb{N}_0}$ contains all almost subsets of its elements. Then: G is groupwise dense if, and only if, for each increasing $h \in \mathbb{N}^{\mathbb{N}}$, $G^c/h \neq [\mathbb{N}]^{\mathbb{N}_0}$.*

PROOF – For each increasing $h \in \mathbb{N}^{\mathbb{N}}$ and each $a \in [\mathbb{N}]^{\aleph_0}$,

$$\bigcup_{n \in a} [h(n), h(n+1)) \notin G \Leftrightarrow \bigcup_{n \in a} [h(n), h(n+1)) \in G^c \Leftrightarrow a \in G^c/h.$$

The lemma follows directly from that. □

Assume that G is not groupwise dense. By Lemma 2.3, there is an increasing $h \in \mathbb{N}^{\mathbb{N}}$ such that $Z/h = G^c/h = [\mathbb{N}]^{\aleph_0}$. The natural mapping $\Psi : Z \rightarrow Z/h$ defined by $\Psi(a) = a/h$ is a continuous surjection. It follows that $[\mathbb{N}]^{\aleph_0}$ satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$. But this is absurd: The image of $[\mathbb{N}]^{\aleph_0}$ in $\mathbb{N}^{\mathbb{N}}$, under the continuous mapping assigning to each $a \in [\mathbb{N}]^{\aleph_0}$ its increasing enumeration, is a dominating subset of $\mathbb{N}^{\mathbb{N}}$. Thus, $[\mathbb{N}]^{\aleph_0}$ does not satisfy $S_{fin}(\mathcal{O}, \mathcal{O})$ – a contradiction. □

We obtain the promised improvement of Corollary 2.2–2, originally proved by Zdomskyy [35].

Corollary 2.3. $\max\{\mathfrak{b}, \mathfrak{g}\} \leq \text{add}(S_{fin}(\mathcal{O}, \mathcal{O})) \leq \text{add}(S_{fin}(\mathcal{B}, \mathcal{B})) \leq \text{cf}(\mathfrak{d})$.

PROOF – By Corollary 2.2, we need only show that $\mathfrak{g} \leq \text{add}(S_{fin}(\mathcal{O}, \mathcal{O}))$.

Assume that $\kappa < \mathfrak{g}$ and for each $\alpha < \kappa$, X_α satisfies $S_{fin}(\mathcal{O}, \mathcal{O})$, and that $X = \bigcup_{\alpha < \kappa} X_\alpha$. By the Hurewicz Theorem, it suffices to show that no continuous image of X in $\mathbb{N}^{\mathbb{N}}$ is dominating. Indeed, assume that $\Psi : X \rightarrow \mathbb{N}^{\mathbb{N}}$ is continuous. By Theorem 2.1, for each α the family

$$G_\alpha = \{a \in [\mathbb{N}]^{\aleph_0} : (\forall f \in \Psi[X_\alpha]) a^+ \not\leq^* f\}$$

is groupwise dense. Thus, there exists $a \in \bigcap_{\alpha < \kappa} G_\alpha$. Then a^+ witnesses that $\Psi[X]$ is not dominating. □

Problem 2.1. *Is it consistent that $\max\{\mathfrak{b}, \mathfrak{g}\} < \text{add}(S_{fin}(\mathcal{O}, \mathcal{O}))$?*

The methods used to obtain the last lower bound are similar to earlier methods of Scheepers used to bound $\text{add}(S_1(\Gamma, \Gamma))$ from below. A family $\mathcal{D} \subseteq [\mathbb{N}]^{\aleph_0}$ is *open* if it is closed under almost subsets. It is *dense* if for each $a \in [\mathbb{N}]^{\aleph_0}$ there is $d \in \mathcal{D}$ such that $d \subseteq^* a$. The *density number* \mathfrak{h} is the minimal cardinality of a collection of open dense families in $[\mathbb{N}]^{\aleph_0}$ whose intersection is empty. Identify $[\mathbb{N}]^{\aleph_0}$ with the increasing elements of $\mathbb{N}^{\mathbb{N}}$ by taking increasing enumerations.

The following theorem is due to Scheepers [25].

Theorem 2.2. *Assume that X satisfies $S_1(\Gamma, \Gamma)$, and $\mathcal{U}_1, \mathcal{U}_2, \dots$ are open γ -covers of X . For each n , enumerate $\mathcal{U}_n = \{U_m^n : m \in \mathbb{N}\}$. Then the family of all $a \in [\mathbb{N}]^{\aleph_0}$ such that $\{U_{a(n)}^n : n \in \mathbb{N}\}$ is a γ -cover of X is open dense.*

PROOF – By standard arguments, we may assume that the given γ -covers are pairwise disjoint (use the fact that any countable sequence of infinite sets can be refined to a countable sequence of pairwise disjoint infinite sets.)

For each n and m , define

$$V_m^n = U_m^1 \cap U_m^2 \cap \dots \cap U_m^n.$$

Fix any $a \in [\mathbb{N}]^{\aleph_0}$. For each n , define

$$\mathcal{V}_n = \{V_{a(m)}^n : m \geq n\}.$$

Then $\mathcal{V}_n \in \Gamma$. By $S_1(\Gamma, \Gamma)$, there is $f \in \mathbb{N}^{\mathbb{N}}$ such that $f(n) \geq n$ for all n , and $\{V_{a(f(n))}^n : n \in \mathbb{N}\} \in \Gamma$. The image of f is infinite. Let \tilde{f} be its increasing enumeration. By the definition of the sets V_m^n , $\{V_{a(\tilde{f}(n))}^n : n \in \mathbb{N}\} \in \Gamma$ as well. Let $d \in [\mathbb{N}]^{\aleph_0}$ be such that $d(n) = a(\tilde{f}(n))$ for all n . Then $d \subseteq a$, and as $\{V_{d(n)}^n : n \in \mathbb{N}\} \in \Gamma$, we have again by the definition of the sets V_m^n , that $\{V_{b(n)}^n : n \in \mathbb{N}\} \in \Gamma$ for all $b \subseteq d$. In particular, $\{U_{b(n)}^n : n \in \mathbb{N}\} \in \Gamma$ for all $b \subseteq d$. □

We obtain the following (Scheepers [25]).

Corollary 2.4. $\mathfrak{h} \leq \text{add}(S_1(\Gamma, \Gamma)) \leq \text{add}(S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Gamma)) \leq \mathfrak{b}$.

PROOF – Fix $\kappa < \mathfrak{h}$ and assume that X_α , $\alpha < \kappa$, all satisfy $S_1(\Gamma, \Gamma)$. Let $X = \bigcup_{\alpha < \kappa} X_\alpha$, and assume that for each n , $\mathcal{U}_n = \{U_m^n : m \in \mathbb{N}\}$ is an open γ -cover of X .

By Theorem 2.2, for each α the family

$$\mathcal{D}_\alpha = \{a \in [\mathbb{N}]^{\aleph_0} : \{U_{a(n)}^n : n \in \mathbb{N}\} \text{ is a } \gamma\text{-cover of } X\}$$

is open dense. Take $a \in \bigcap_{\alpha < \kappa} \mathcal{D}_\alpha$. Then $\{U_{a(n)}^n : n \in \mathbb{N}\}$ is a γ -cover of X . □

Problem 2.2. *Is it consistent that $\mathfrak{h} < \text{add}(S_1(\Gamma, \Gamma))$?*

Problem 2.3. *Is it consistent that $\text{add}(S_1(\Gamma, \Gamma)) < \mathfrak{b}$?*

We conclude the section with the following beautiful result. Let \mathcal{N} denote the collection of Lebesgue null sets of reals.

The following theorem is due to Carlson [2].

Theorem 2.3. $\text{add}(\mathcal{N}) \leq \text{add}(S_1(\mathcal{O}, \mathcal{O})) \leq \text{add}(S_1(\mathcal{B}, \mathcal{B})) \leq \text{cf}(\text{cov}(\mathcal{M}))$.

PROOF – The new ingredient is the first inequality. We use the following result of Bartoszyński [3].

Lemma 2.4. *add(\mathcal{N}) is the smallest cardinality of a family $F \subseteq \mathbb{N}^{\mathbb{N}}$ such that there is no function $S : \mathbb{N} \rightarrow [\mathbb{N}]^{<\aleph_0}$ with $|S(n)| \leq n$ for all n , such that $(\forall f \in F)(\forall^\infty n) f(n) \in S(n)$.*

Assume that $\kappa < \text{add}(\mathcal{N})$ and X_α , $\alpha < \kappa$, satisfy $S_1(\mathcal{O}, \mathcal{O})$. Let $X = \bigcup_{\alpha < \kappa} X_\alpha$. Assume that $\mathcal{U}_n = \{U_m^n : m \in \mathbb{N}\}$, $n \in \mathbb{N}$, are open covers of X . Let $r_n = 1 + 2 + \dots + (n - 1)$. For each n , let

$$\tilde{\mathcal{U}}_n = \{\tilde{U}_s^n : s : [r_n, r_{n+1}) \rightarrow \mathbb{N}\},$$

where $\tilde{U}_s^n = \bigcap_{k=r_n}^{r_{n+1}-1} U_{s(k)}^k$. $\tilde{\mathcal{U}}_n$ is an open cover of X . For each $\alpha < \kappa$, as X_α satisfies $S_1(\mathcal{O}, \mathcal{O})$, there is f_α such that $\{\tilde{U}_{f_\alpha(n)}^n : n \in \mathbb{N}\}$ is a cover of X_α . By Lemma 2.4, there is $S : \mathbb{N} \rightarrow [\mathbb{N}]^{<\aleph_0}$ with $|S(n)| \leq n$ for all n , such that

$$(\forall \alpha < \kappa)(\forall^\infty n) f_\alpha(n) \in S(n).$$

For each n , $S(n)$ contains at most n sequences of length n . Let g be a function which agrees at least once on the n -element interval $[r_n, r_{n+1})$ with each of these sequences. Then $\{U_{g(n)}^n : n \in \mathbb{N}\}$ is a cover of X . □

2.2. On splitting properties

The following theorem appears in [31].

Theorem 2.4. *Split($\mathcal{B}_\Omega, \mathcal{B}_\Lambda$) and Split(Ω, Λ) are σ -additive.*

PROOF – We will prove the open case. The Borel case is similar.

Lemma 2.5. *Assume that \mathcal{U} is a countable open ω -cover of Y and that $X \subseteq Y$ satisfies Split(Ω, Λ). Then \mathcal{U} can be partitioned into two pieces \mathcal{V} and \mathcal{W} such that \mathcal{W} is an ω -cover of Y and \mathcal{V} is a large cover of X .*

PROOF – First assume that there does not exist $U \in \mathcal{U}$ with $X \subseteq U$. Then \mathcal{U} is an ω -cover of X . By the splitting property we can divide it into two pieces each a large cover of X . Since \mathcal{U} is an ω -cover of Y , one of the pieces is an ω -cover of Y , and the lemma is proved. If there are only finitely many $U \in \mathcal{U}$ with $X \subseteq U$, then $\tilde{\mathcal{U}} = \mathcal{U} \setminus \{U \in \mathcal{U} : X \subseteq U\}$ is still an ω -cover of Y and we can apply to it the above argument.

Thus, assume that there are infinitely many $U \in \mathcal{U}$ with $X \subseteq U$. Then take a partition of \mathcal{U} into two pieces such that each piece contains infinitely many sets U with $X \subseteq U$. One of the pieces must be an ω -cover of Y . \square

Assume that $Y = \bigcup_{n \in \mathbb{N}} X_n$ where each X_n satisfies $\text{Split}(\Omega, \Lambda)$, and let \mathcal{U}_0 be an open ω -cover of Y . Given \mathcal{U}_n an open ω -cover of Y , apply the lemma twice to get a partition $\mathcal{U}_n = \mathcal{V}_n^0 \cup \mathcal{V}_n^1 \cup \mathcal{U}_{n+1}$ such that \mathcal{U}_{n+1} is an open ω -cover of Y and for each $i = 0, 1$, each element of X_n is contained in infinitely many $V \in \mathcal{V}_n^i$. Then the families $\mathcal{V}^i = \bigcup_{n \in \mathbb{N}} \mathcal{V}_n^i$, $i = 0, 1$, are disjoint large covers of Y which are subcovers of \mathcal{U}_0 . \square

Proposition 2.2 implies the following.

Corollary 2.5.

1. $\text{add}(\text{Split}(\Lambda, \Lambda)) \leq \text{add}(\text{Split}(\mathcal{B}_\Lambda, \mathcal{B}_\Lambda)) \leq \text{cf}(\mathfrak{r});$
2. $\text{add}(\text{Split}(\Omega, \Lambda)) \leq \text{add}(\text{Split}(\mathcal{B}_\Omega, \mathcal{B}_\Lambda)) \leq \text{cf}(\mathfrak{u});$
3. $\text{add}(\text{Split}(\Omega, \Omega)) \leq \text{add}(\text{Split}(\mathcal{B}_\Omega, \mathcal{B}_\Omega)) \leq \text{cf}(\mathfrak{u}).$

However, $\text{Split}(\Omega, \Omega)$ and $\text{Split}(\mathcal{B}_\Omega, \mathcal{B}_\Omega)$ are not provably additive, as we shall see in Section 3.

Concerning σ -additivity (or even just additivity, i.e. \aleph_0 -additivity), exactly one question remains open.

Problem 2.4. *Is $\text{Split}(\Lambda, \Lambda)$ provably additive? What about the Borel case?*

3. Consistently negative results

Showing that a certain class is not additive is apparently harder: All known results require axioms beyond ZFC. This is often necessary, as will be seen in Section 4.

3.1. On the Scheepers diagram

For a sequence $\{X_n\}_{n \in \mathbb{N}}$ of subsets of X , define $\liminf X_n = \bigcup_m \bigcap_{n \geq m} X_n$. For a family \mathcal{U} of subsets of X , $L(\mathcal{U})$ denotes its closure under the operation \liminf . A set of reals X has the property (δ) if for each open ω -cover \mathcal{U} of X , $X \in L(\mathcal{U})$. The property (δ) was introduced by Gerlits and Nagy in [15], where they showed that $S_1(\Omega, \Gamma)$ implies (δ) . The converse implication is still open. It seems that the fact that (δ) is not provably additive was not noticed before, but it follows from a combination of results from [12], [14], as we now show.

Theorem 3.1. *Assume the Continuum Hypothesis. Then no class between $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Gamma)$ and $S_1(\Omega, \Gamma)$ or even (δ) (inclusive) is additive.*

PROOF – By a theorem of Brendle [12], assuming CH there exists a set of reals X of size continuum such that all subsets of X satisfy $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Gamma)$.

As $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Gamma)$ is closed under taking Borel (continuous is enough) images, we may assume that $X \subseteq (0, 1)$. For $Y \subseteq (0, 1)$, write $Y + 1 = \{y + 1 : y \in Y\}$ for the translation of Y by 1. The following is essentially proved in Theorem 5 of Galvin and Miller’s paper [14].

Lemma 3.1. *If $Y \subseteq X \subseteq (0, 1)$ and $Z = (X \setminus Y) \cup (Y + 1)$ has property (δ) , then Y is a Borel subset of X .*

PROOF – Let

$$\mathcal{U} = \{U \cup (V + 1) : \text{open } U, V \subseteq (0, 1), \bar{U} \cap \bar{V} = \emptyset\}.$$

\mathcal{U} is an open ω -cover of Z . If $U_n \cap V_n = \emptyset$ for all n , then the sets $U = \bigcup_m \bigcap_{n \geq m} U_n$ and $V = \bigcup_m \bigcap_{n \geq m} V_n$ are disjoint, and $\bigcup_m \bigcap_{n \geq m} U_n \cup (V_n + 1) = U \cup (V + 1)$. It follows by transfinite induction, each element in $L(\mathcal{U})$ has the form $U \cup (V + 1)$ where U, V are disjoint Borel subsets of Z . Thus, if $Z \in L(\mathcal{U})$,

there are such U and V with $Z = U \cup (V + 1)$. It follows that $Y = V \cap X$ is a Borel subset of X . \square

As $|X| = \mathfrak{c}$ and only \mathfrak{c} many out of the $2^{\mathfrak{c}}$ many subsets of X are Borel, there exists a subset Y of X which is not Borel. It follows that $(X \setminus Y) \cup (Y + 1)$ does not have the property (δ) (and, in particular, does not have the property $S_1(\Omega, \Gamma)$). But by the choice of X , both $X \setminus Y$ and Y (and therefore also $Y + 1$) satisfy $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Gamma)$. \square

Except for the (δ) part, Theorem 3.1 was proved in [29]. The extension to (δ) was noticed by Miller (personal communication).

We next show that if $\text{cov}(\mathcal{M}) = \mathfrak{c}$ (in particular, assuming the Continuum Hypothesis), then no class between $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Omega)$ and $U_{fin}(\mathcal{O}, \Omega)$ (inclusive) is additive.

For clarity of exposition, we will first treat the open case, and then explain how to modify the constructions in order to cover the Borel case.

For convenience, we will work in $\mathbb{Z}^{\mathbb{N}}$ (with pointwise addition), which is homeomorphic to $\mathbb{R} \setminus \mathbb{Q}$. The notions that we will use are topological, thus the following constructions can be translated to constructions in $\mathbb{R} \setminus \mathbb{Q}$.

A collection \mathcal{J} of sets of reals is *translation invariant* if for each real x and each $X \in \mathcal{J}$, $x + X \in \mathcal{J}$. \mathcal{J} is *negation invariant* if for each $X \in \mathcal{J}$, $-X \in \mathcal{J}$ as well. For example, \mathcal{M} and \mathcal{N} are negation and translation invariant (and there are many more examples).

The following lemma is folklore.

Lemma 3.2. *If \mathcal{J} is negation and translation invariant and if X is a union of less than $\text{cov}(\mathcal{J})$ many elements of \mathcal{J} , then for each $x \in \mathbb{Z}^{\mathbb{N}}$ there exist $y, z \in \mathbb{Z}^{\mathbb{N}} \setminus X$ such that $y + z = x$.*

PROOF – $(x - X) \cup X$ is a union of less than $\text{cov}(\mathcal{J})$ many elements of \mathcal{J} . Thus we can choose an element $y \in \mathbb{Z}^{\mathbb{N}} \setminus ((x - X) \cup X) = (x - \mathbb{Z}^{\mathbb{N}} \setminus X) \cap (\mathbb{Z}^{\mathbb{N}} \setminus X)$; therefore there exists $z \in \mathbb{Z}^{\mathbb{N}} \setminus X$ such that $x - z = y$, that is, $x = y + z$. \square

A set of reals L is κ -Luzin if $|L| \geq \kappa$ and for each meager set M , $|L \cap M| < \kappa$.

The following result was obtained independently by many authors: A comment on the top of Page 205 of [17] (without proof); Theorem 13 of [26] (under

the Continuum Hypothesis); Section 3 of [18]; Theorem 4 of [4]; Theorem 2 of [13] (under the Continuum Hypothesis).

Proposition 3.1. *Assume that $\text{cov}(\mathcal{M}) = \mathfrak{c}$. Then there exist \mathfrak{c} -Luzin subsets L_0 and L_1 of $\mathbb{Z}^{\mathbb{N}}$ satisfying $S_1(\Omega, \Omega)$, such that $L_0 + L_1 = \mathbb{Z}^{\mathbb{N}}$.*

PROOF – Assume that $\text{cov}(\mathcal{M}) = \mathfrak{c}$. Let $\{y_\alpha : \alpha < \mathfrak{c}\}$ enumerate $\mathbb{Z}^{\mathbb{N}}$; let $\{M_\alpha : \alpha < \mathfrak{c}\}$ enumerate all F_σ meager sets in $\mathbb{Z}^{\mathbb{N}}$ (observe that this family is cofinal in \mathcal{M}), and let $\{\{\mathcal{U}_n^\alpha\}_{n \in \mathbb{N}} : \alpha < \mathfrak{c}\}$ enumerate all countable sequences of countable families of open sets.

Fix a countable dense subset $Q \subseteq \mathbb{Z}^{\mathbb{N}}$. We construct $L_0 = \{x_\beta^0 : \beta < \mathfrak{c}\} \cup Q$ and $L_1 = \{x_\beta^1 : \beta < \mathfrak{c}\} \cup Q$ by induction on $\alpha < \mathfrak{c}$. During the construction, we make an inductive hypothesis and verify that it remains true after making the inductive step.

At stage $\alpha \geq 0$ set

$$\begin{aligned} X_\alpha^0 &= \{x_\beta^0 : \beta < \alpha\} \cup Q \\ X_\alpha^1 &= \{x_\beta^1 : \beta < \alpha\} \cup Q \end{aligned}$$

and consider the sequence $\{\mathcal{U}_n^\alpha\}_{n \in \mathbb{N}}$. For each $i < 2$, do the following. Call α i -good if for each n \mathcal{U}_n^α is an ω -cover of X_α^i . Assume that α is i -good. Since $\text{cov}(\mathcal{M}) = \text{non}(S_1(\Omega, \Omega))$ [17] and we assume that $\text{cov}(\mathcal{M}) = \mathfrak{c}$, there exist elements $U_n^{\alpha,i} \in \mathcal{U}_n^\alpha$ such that $\{U_n^{\alpha,i}\}_{n \in \mathbb{N}}$ is an ω -cover of X_α^i . We make the *inductive hypothesis* that for each i -good $\beta < \alpha$, $\{U_n^{\beta,i}\}_{n \in \mathbb{N}}$ is an ω -cover of X_β^i . For each finite $F \subseteq X_\alpha^i$, and each i -good $\beta \leq \alpha$, define

$$G_i^{F,\beta} = \bigcup \{U_n^{\beta,i} : n \in \mathbb{N}, F \subseteq U_n^{\beta,i}\}.$$

Then $Q \subseteq G_i^{F,\beta}$ and thus $G_i^{F,\beta}$ is open and dense.

Set

$$Y_\alpha = \bigcup_{\beta < \alpha} M_\beta \cup \bigcup \left\{ \mathbb{Z}^{\mathbb{N}} \setminus G_i^{F,\beta} : i < 2, \beta \leq \alpha \text{ } i\text{-good}, F \subseteq X_\alpha^i \text{ finite} \right\}.$$

Then Y_α is a union of less than $\text{cov}(\mathcal{M})$ many meager sets, thus by Lemma 3.2 we can pick $x_\alpha^0, x_\alpha^1 \in \mathbb{Z}^{\mathbb{N}} \setminus Y_\alpha$ such that $x_\alpha^0 + x_\alpha^1 = y_\alpha$. To see that the inductive

hypothesis is preserved, observe that for each finite $F \subseteq X_\alpha^i$ and i -good $\beta \leq \alpha$, $x_\alpha^i \in G_i^{F,\beta}$ and therefore $F \cup \{x_\alpha^i\} \subseteq U_n^{\beta,i}$ for some n .

Clearly L_0 and L_1 are \mathfrak{c} -Luzin sets, and $L_0 + L_1 = \mathbb{Z}^\mathbb{N}$. It remains to show that L_0 and L_1 satisfy $S_1(\Omega, \Omega)$.

Fix $i < 2$. Consider, for each $\beta < \mathfrak{c}$, the sequence $\{\mathcal{U}_n^\beta\}_{n \in \mathbb{N}}$. If all members of that sequence are ω -covers of L_i , then in particular they ω -cover X_β^i (that is, β is i -good). By the inductive hypothesis, $\{U_n^{\beta,i} : n \in \mathbb{N}\}$ is an ω -cover of X_α^i for each $\alpha < \mathfrak{c}$, and therefore an ω -cover of L_i . \square

For a finite subset F of $\mathbb{N}^\mathbb{N}$, define $\max(F) \in \mathbb{N}^\mathbb{N}$ to be the function g such that $g(n) = \max\{f(n) : f \in F\}$ for each n . A subset Y of $\mathbb{N}^\mathbb{N}$, is *finitely-dominating* if the collection

$$\text{maxfin}(Y) := \{ \max(F) : F \text{ is a finite subset of } Y \}$$

is dominating.

The following theorem was proved independently by Tsaban [30] and by Eisworth and Just [13].

Theorem 3.2. *For a set of reals X , the following are equivalent:*

1. X satisfies $U_{fin}(\mathcal{O}, \Omega)$;
2. No continuous image of X in $\mathbb{N}^\mathbb{N}$ is finitely-dominating.

A subset Y of $\mathbb{N}^\mathbb{N}$ is k -dominating if for each $g \in \mathbb{N}^\mathbb{N}$ there exists a k -element subset F of Y such that $g \leq^* \max(F)$ [9]. Clearly each k -dominating subset of $\mathbb{N}^\mathbb{N}$ is also finitely dominating.

Proposition 3.1 and Theorem 3.2 imply that no property between $S_1(\Omega, \Omega)$ and $U_{fin}(\mathcal{O}, \Omega)$ (inclusive) is provably additive. Surprisingly, this was only observed in Bartoszyński-Shelah-Tsaban [4].²

Corollary 3.1. *Assume that $\text{cov}(\mathcal{M}) = \mathfrak{c}$. Then there exist \mathfrak{c} -Luzin subsets L_0 and L_1 of $\mathbb{Z}^\mathbb{N}$ satisfying $S_1(\Omega, \Omega)$, such that the \mathfrak{c} -Luzin set $L_0 \cup L_1$ is 2-dominating. In particular, $L_0 \cup L_1$ does not satisfy $U_{fin}(\mathcal{O}, \Omega)$.*

²Indeed, in [26] Scheepers points out that Proposition 3.1 implies that no class between $S_1(\Omega, \Omega)$ and $S_{fin}(\Omega, \Omega)$ is provably additive. The missing ingredient to upgrade to $U_{fin}(\mathcal{O}, \Omega)$ was Theorem 3.2.

PROOF – Let L_0, L_1 be as in Proposition 3.1. As $L_0 + L_1 = \mathbb{Z}^{\mathbb{N}}$ and in general $(f + g)/2 \leq \max\{f, g\}$ for all $f, g \in \mathbb{Z}^{\mathbb{N}}$, we have that $L_0 \cup L_1$ is 2-dominating. By Theorem 3.2, the continuous image $\{|f| : f \in L_0 \cup L_1\}$ of $L_0 \cup L_1$ does not satisfy $\cup_{fin}(\mathcal{O}, \Omega)$. \square

We now treat the Borel case. The following theorem is due to Bartoszyński, Shelah, and Tsaban [4].

Theorem 3.3. *Assume that $\text{cov}(\mathcal{M}) = \mathfrak{c}$. Then there exist \mathfrak{c} -Luzin subsets L_1 and L_2 of $\mathbb{Z}^{\mathbb{N}}$ satisfying $\mathcal{S}_1(\mathcal{B}_\Omega, \mathcal{B}_\Omega)$, such that for each $g \in \mathbb{Z}^{\mathbb{N}}$ there are $f_0 \in L_0, f_1 \in L_1$ satisfying $f_1(n) + f_2(n) = g(n)$ for all but finitely many n .*

In particular, the \mathfrak{c} -Luzin set $L_0 \cup L_1$ is 2-dominating, and consequently does not satisfy $\cup_{fin}(\mathcal{O}, \Omega)$.

PROOF – We follow the proof steps of Proposition 3.1. The major problem is that here the sets $G_i^{F,\beta}$ need not be comeager. In order to overcome this, we will consider only ω -covers where these sets are guaranteed to be comeager, and make sure that it is enough to restrict attention to this special sort of ω -covers. The following definition is essentially due to [27], but with a small twist that makes it work.

Definition 3.1. *A cover \mathcal{U} of X is ω -fat if for each finite $F \subseteq X$ and each finite family \mathcal{F} of nonempty open sets, there exists $U \in \mathcal{U}$ such that $F \subseteq U$ and for each $O \in \mathcal{F}$, $U \cap O$ is not meager. (Thus each ω -fat cover is an ω -cover.) Let $\mathcal{B}_\Omega^{\text{fat}}$ denote the collection of countable ω -fat Borel covers of X .*

Lemma 3.3. *Assume that \mathcal{U} is a countable collection of Borel sets of reals. Then $\cup \mathcal{U}$ is comeager if, and only if, for each nonempty basic open set O there exists $U \in \mathcal{U}$ such that $U \cap O$ is not meager.*

PROOF – (\Rightarrow) Assume that O is a nonempty basic open set. Then $\cup \mathcal{U} \cap O = \cup\{U \cap O : U \in \mathcal{U}\}$ is a countable union which is not meager. Thus there exists $U \in \mathcal{U}$ such that $U \cap O$ is not meager.

(\Leftarrow) Set $B = \cup \mathcal{U}$. As B is Borel, it has the Baire property. Let O be an open set and M be a meager set such that $B = (O \setminus M) \cup (M \setminus O)$. For each basic open set G , $B \cap G$ is not meager, thus $O \cap G$ is not meager as well.

Thus, O is open dense. As $O \setminus M \subseteq B$, we have that $\mathbb{R} \setminus B \subseteq (\mathbb{R} \setminus O) \cup M$ is meager. \square

Corollary 3.2. *Assume that \mathcal{U} is an ω -fat cover of some set X . Then:*

1. *For each finite $F \subseteq X$ and finite family \mathcal{F} of nonempty basic open sets, the set*

$$\bigcup \{U \in \mathcal{U} : F \subseteq U \text{ and for each } O \in \mathcal{F}, U \cap O \notin \mathcal{M}\}$$

is comeager;

2. *For each element x in the intersection of all sets of this form, \mathcal{U} is an ω -fat cover of $X \cup \{x\}$.*

PROOF – Write

$$\mathcal{V}_{F,\mathcal{F}} = \{U \in \mathcal{U} : F \subseteq U \text{ and for each } O \in \mathcal{F}, U \cap O \notin \mathcal{M}\}.$$

1. Assume that G is a nonempty open set. As \mathcal{U} is ω -fat and the family $\mathcal{F} \cup \{G\}$ is finite, there exists $U \in \mathcal{V}_{F,\mathcal{F}}$ such that $U \cap G$ is not meager. By Lemma 3.3, $\cup \mathcal{V}_{F,\mathcal{F}}$ is comeager.

2. Assume that F is a finite subset of $X \cup \{x\}$ and \mathcal{F} is a finite family of nonempty basic open sets. As $x \in \cup \mathcal{V}_{F \setminus \{x\}, \mathcal{F}}$, there exists $U \in \mathcal{U}$ such that $x \in U$, $F \setminus \{x\} \subseteq U$ (thus $F \subseteq U$), and for each $O \in \mathcal{F}$, $U \cap O$ is not meager. \square

Lemma 3.4. *If $|X| < \text{cov}(\mathcal{M})$, then X satisfies $S_1(\mathcal{B}_\Omega^{\text{fat}}, \mathcal{B}_\Omega^{\text{fat}})$.*

PROOF – Assume that $|X| < \text{cov}(\mathcal{M})$, and let $\{\mathcal{U}_n\}_{n \in \mathbb{N}}$ be a sequence of countable Borel ω -fat covers of X . Enumerate each cover \mathcal{U}_n by $\{U_k^n\}_{k \in \mathbb{N}}$. Let $\{A_n\}_{n \in \mathbb{N}}$ be a partition of \mathbb{N} into infinitely many infinite sets. For each m , let $a_m \in \mathbb{N}^{\mathbb{N}}$ be an increasing enumeration of A_m . Let $\{\mathcal{F}_n\}_{n \in \mathbb{N}}$ be an enumeration of all finite families of nonempty basic open sets.

For each finite subset F of X and each m define a function $\Psi_F^m \in \mathbb{N}^{\mathbb{N}}$ by

$$\Psi_F^m(n) = \min \{k : F \subseteq U_k^{a_m(n)} \text{ and for each } O \in \mathcal{F}_m, U_k^{a_m(n)} \cap O \notin \mathcal{M}\}$$

Since there are less than $\text{cov}(\mathcal{M})$ many functions Ψ_F^m , there exists by [1] a function $f \in \mathbb{N}^{\mathbb{N}}$ such that for each m and F , $\Psi_F^m(n) = f(n)$ for infinitely many n . Consequently, $\mathcal{V} = \{U_{f(n)}^{a_m(n)} : m, n \in \mathbb{N}\}$ is an ω -fat cover of X . \square

The following lemma justifies our focusing on ω -fat covers.

Lemma 3.5. *Assume that L is a set of reals such that for each nonempty basic open set O , $L \cap O$ is not meager. Then every countable Borel ω -cover \mathcal{U} of L is an ω -fat cover of L .*

PROOF – Assume that \mathcal{U} is a countable collection of Borel sets which is not an ω -fat cover of L . Then there exist a finite set $F \subseteq L$ and nonempty open sets O_1, \dots, O_k such that for each $U \in \mathcal{U}$ containing F , $U \cap O_i$ is meager for some i . For each $i = 1, \dots, k$ let

$$M_i = \bigcup \{U \in \mathcal{U} : F \subseteq U \text{ and } U \cap O_i \in \mathcal{M}\}.$$

Then $M_i \cap O_i$ is meager, thus there exists $x_i \in (L \cap O_i) \setminus M_i$. Then $F \cup \{x_1, \dots, x_k\}$ is not covered by any $U \in \mathcal{U}$. \square

Let $\mathbb{Z}^{\mathbb{N}} = \{y_\alpha : \alpha < \mathfrak{c}\}$, $\{M_\alpha : \alpha < \mathfrak{c}\}$ be all F_σ meager subsets of $\mathbb{Z}^{\mathbb{N}}$, and $\{\{\mathcal{U}_n^\alpha\}_{n \in \mathbb{N}} : \alpha < \mathfrak{c}\}$ be all sequences of countable families of Borel sets. Let $\{O_k : k \in \mathbb{N}\}$ and $\{\mathcal{F}_m : m \in \mathbb{N}\}$ be all nonempty basic open sets and all finite families of nonempty basic open sets, respectively, in $\mathbb{Z}^{\mathbb{N}}$.

We construct $L_i = \{x_\beta^i : \beta < \mathfrak{c}\}$, $i = 0, 1$, by induction on $\alpha < \mathfrak{c}$ as follows. At stage $\alpha \geq 0$ set $X_\alpha^i = \{x_\beta^i : \beta < \alpha\}$ and consider the sequence $\{\mathcal{U}_n^\alpha\}_{n \in \mathbb{N}}$. Say that α is i -good if for each n \mathcal{U}_n^α is an ω -fat cover of X_α^i . In this case, by Lemma 3.4 there exist elements $U_n^{\alpha,i} \in \mathcal{U}_n^\alpha$ such that $\{U_n^{\alpha,i}\}_{n \in \mathbb{N}}$ is an ω -fat cover of X_α^i . We make the inductive hypothesis that for each i -good $\beta < \alpha$, $\{U_n^{\beta,i}\}_{n \in \mathbb{N}}$ is an ω -fat cover of X_β^i . For each finite $F \subseteq X_\alpha^i$, i -good $\beta \leq \alpha$, and m define

$$G_i^{F,\beta,m} = \bigcup \left\{ U_n^{\beta,i} : F \subseteq U_n^{\beta,i} \text{ and for each } O \in \mathcal{F}_m, U_n^{\beta,i} \cap O \notin \mathcal{M} \right\}.$$

By Corollary 3.2–1, $G_i^{F,\beta,m}$ is comeager. Set

$$Y_\alpha = \bigcup_{\beta < \alpha} M_\beta \cup \bigcup \left\{ \mathbb{Z}^{\mathbb{N}} \setminus G_i^{F,\beta,m} : \begin{array}{l} i < 2, \beta \leq \alpha \text{ } i\text{-good,} \\ m \in \mathbb{N}, F \subseteq X_\alpha^i \text{ Finite} \end{array} \right\}.$$

and $Y_\alpha^* = \{x \in \mathbb{Z}^{\mathbb{N}} : (\exists y \in Y_\alpha) x =^* y\}$ (where $x =^* y$ means that $x(n) = y(n)$ for all but finitely many n .) Then Y_α^* is a union of less than $\text{cov}(\mathcal{M})$ many

meager sets. Use Lemma 3.2 to pick $x_\alpha^0, x_\alpha^1 \in \mathbb{Z}^\mathbb{N} \setminus Y_\alpha^*$ such that $x_\alpha^0 + x_\alpha^1 = y_\alpha$. Let $k = \alpha \bmod \omega$, and change a finite initial segment of x_α^0 and x_α^1 so that they both become members of O_k . Then $x_\alpha^0, x_\alpha^1 \in O_k \setminus Y_\alpha$, and $x_\alpha^0 + x_\alpha^1 =^* y_\alpha$. By Corollary 3.2–2, the inductive hypothesis is preserved.

Thus each L_i satisfies $S_1(\mathcal{B}_\Omega^{\text{fat}}, \mathcal{B}_\Omega^{\text{fat}})$ and its intersection with each nonempty basic open set has size \mathfrak{c} . By Lemma 3.5, $\mathcal{B}_\Omega^{\text{fat}} = \mathcal{B}_\Omega$ for L_i . Finally, $L_0 + L_1$ is dominating, so $L_0 \cup L_1$ is 2-dominating. \square

Thus, no class between $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Omega)$ and $U_{fin}(\mathcal{O}, \Omega)$ (inclusive) is provably additive.

Remark 3.1 - As $\text{non}(U_{fin}(\mathcal{O}, \Omega)) = \mathfrak{d}$, a natural question is whether the method of Proposition 3.1 can be generalized to work for $U_{fin}(\mathcal{O}, \Omega)$ under the weaker assumption $\mathfrak{d} = \mathfrak{c}$. By the forthcoming Theorem 4.2, such a trial is doomed to fail, since $\mathfrak{u} < \mathfrak{g}$ implies that $\mathfrak{g} = \mathfrak{d} = \mathfrak{c}$.

3.2. On splitting properties

It is well known that nonprincipal ultrafilters on \mathbb{N} do not have the Baire property, and in particular are nonmeager [3]. We can prove more than that.

The following lemma is due to Shelah [31].

Lemma 3.6. *Assume that U is a nonprincipal ultrafilter on \mathbb{N} and that $M \subseteq [\mathbb{N}]^{\aleph_0}$ is meager. Then $U \setminus M$ is a subbase for U . In fact, for each $a \in U$ there exist $a_0, a_1 \in U \setminus M$ such that $a_0 \cap a_1 \subseteq a$.*

PROOF – Recall that $[\mathbb{N}]^{\aleph_0}$ is a subspace of $P(\mathbb{N})$ whose topology is defined by its identification with $\{0, 1\}^\mathbb{N}$. It is well known [3, 8] that for each meager subset M of $\{0, 1\}^\mathbb{N}$ there exist $x \in \{0, 1\}^\mathbb{N}$ and an increasing $h \in \mathbb{N}^\mathbb{N}$ such that

$$M \subseteq \{y \in \{0, 1\}^\mathbb{N} : (\forall^\infty n) y \upharpoonright [h(n), h(n+1)) \neq x \upharpoonright [h(n), h(n+1))\}.$$

(The set on the right hand side is also meager.) Translating this to the language of $[\mathbb{N}]^{\aleph_0}$, we get that for each n there exist disjoint sets I_0^n and I_1^n satisfying $I_0^n \cup I_1^n = [h(n), h(n+1))$, such that

$$(3.1) \quad M \subseteq \{y \in [\mathbb{N}]^{\aleph_0} : (\forall^\infty n) y \cap I_0^n \neq \emptyset \text{ or } I_1^n \not\subseteq y\}.$$

Assume that the sets $I_0^n, I_1^n, n \in \mathbb{N}$, are chosen as in (3.1). Let a be an infinite co-infinite subset of \mathbb{N} . Then either $x = \bigcup_{n \in a} [h(n), h(n+1)) \notin U$, or else $x = \bigcup_{n \in \mathbb{N} \setminus a} [h(n), h(n+1)) \notin U$. We may assume that the former case holds. Split a into two disjoint infinite sets a_1 and a_2 . Then $x_i = \bigcup_{n \in a_i} [h(n), h(n+1)) \notin U$ ($i = 0, 1$).

Assume that $b \in U$. Then $\tilde{b} = b \setminus x = b \cap (\mathbb{N} \setminus x) \in U$. Define sets $y_1, y_2 \in U \setminus M$ as follows.

$$y_1 = \tilde{b} \cup \bigcup_{n \in a_2} I_1^n$$

$$y_2 = \tilde{b} \cup \bigcup_{n \in a_1} I_1^n$$

By (3.1), $y_1, y_2 \notin M$. As $y_1, y_2 \supseteq \tilde{b}, y_1, y_2 \in U$. Now, $y_1 \cap y_2 = \tilde{b} \subseteq b$. □

The following theorem is due to Tsaban [31].

Theorem 3.4. *Assume that $\text{add}(\mathcal{M}) = \mathfrak{c}$. Then there exist two \mathfrak{c} -Luzin sets L_0 and L_1 such that:*

1. L_0, L_1 satisfy $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Omega)$;
2. $L = L_0 \cup L_1$ satisfies $\text{Split}(\mathcal{B}_\Lambda, \mathcal{B}_\Lambda)$; and
3. $L = L_0 \cup L_1$ does not satisfy $\text{Split}(\Omega, \Omega)$.

PROOF – We follow the footsteps of the proof of Theorem 3.3. Let $U = \{a_\alpha : \alpha < \mathfrak{c}\}$ be a nonprincipal ultrafilter on \mathbb{N} . Let $\{M_\alpha : \alpha < \mathfrak{c}\}$ enumerate all F_σ meager sets in $[\mathbb{N}]^{\aleph_0}$, and $\{\{\mathcal{U}_n^\alpha\}_{n \in \mathbb{N}} : \alpha < \mathfrak{c}\}$ enumerate all countable sequences of countable families of Borel sets in $[\mathbb{N}]^{\aleph_0}$. Let $\{O_i : i \in \mathbb{N}\}$ and $\{\mathcal{F}_i : i \in \mathbb{N}\}$ enumerate all nonempty basic open sets and finite families of nonempty basic open sets, respectively, in $[\mathbb{N}]^{\aleph_0}$.

We construct $L_i = \{a_\beta^i : \beta < \mathfrak{c}\}, i = 0, 1$, by induction on $\alpha < \mathfrak{c}$ as follows. At stage $\alpha \geq 0$ set $X_\alpha^i = \{a_\beta^i : \beta < \alpha\}$ and consider the sequence $\{\mathcal{U}_n^\alpha\}_{n \in \mathbb{N}}$. Say that α is i -good if for each n \mathcal{U}_n^α is an ω -fat cover of X_α^i . In this case, by the above remarks there exist elements $U_n^{\alpha,i} \in \mathcal{U}_n^\alpha$ such that $\{U_n^{\alpha,i}\}_{n \in \mathbb{N}}$ is an ω -fat cover of X_α^i . We make the inductive hypothesis that for each i -good

$\beta < \alpha$, $\{U_n^{\beta,i}\}_{n \in \mathbb{N}}$ is an ω -fat cover of X_α^i . For each finite $F \subseteq X_\alpha^i$, i -good $\beta \leq \alpha$, and m define

$$G_i^{F,\beta,m} = \bigcup \left\{ U_n^{\beta,i} : F \subseteq U_n^{\beta,i} \text{ and } (\forall O \in \mathcal{F}_m) U_n^{\beta,i} \cap O \notin \mathcal{M} \right\}.$$

By the inductive hypothesis, $G_i^{F,\beta,m}$ is comeager. Set

$$Y_\alpha = \bigcup_{\beta < \alpha} M_\beta \cup \bigcup \left\{ [\mathbb{N}]^{\aleph_0} \setminus G_i^{F,\beta,m} : \begin{array}{l} i < 2, \beta \leq \alpha \text{ } i\text{-good,} \\ m \in \mathbb{N}, F \subseteq X_\alpha^i \text{ Finite} \end{array} \right\},$$

and $Y_\alpha^* = \{x \in [\mathbb{N}]^{\aleph_0} : (\exists y \in Y_\alpha) x =^* y\}$. (Here $x =^* y$ means that $x \subseteq^* y$ and $y \subseteq^* x$.) Y_α^* is a union of less than $\text{add}(\mathcal{M})$ many meager sets, and is therefore meager. Use Lemma 3.6 to pick $a_\alpha^0, a_\alpha^1 \in U \setminus Y_\alpha^*$ such that $a_\alpha^0 \cap a_\alpha^1 \subseteq^* a_\alpha$. Let $k = \alpha \bmod \omega$, and change finitely many elements of a_α^0 and a_α^1 so that they both become members of O_k . Then $a_\alpha^0, a_\alpha^1 \in (U \cap O_k) \setminus Y_\alpha$, and $a_\alpha^0 \cap a_\alpha^1 \subseteq^* a_\alpha$. Observe that the inductive hypothesis remains true for α . This completes the construction.

Clearly L_0 and L_1 are \mathfrak{c} -Luzin sets and $L_0 \cup L_1$ is a subbase for U . We made sure that for each nonempty basic open set G , $|L_0 \cap G| = |L_1 \cap G| = \mathfrak{c}$, thus $\mathcal{B}_\Omega = \mathcal{B}_\Omega^{\text{fat}}$ for L_0 and L_1 . By the construction, $L_0, L_1 \in S_1(\mathcal{B}_\Omega^{\text{fat}}, \mathcal{B}_\Omega^{\text{fat}})$.

As we assume that $\text{add}(\mathcal{M}) = \mathfrak{c}$, every \mathfrak{c} -Luzin set (in particular, $L_0 \cup L_1$) satisfies $S_1(\mathcal{B}, \mathcal{B})$ [27], and therefore also $\text{Split}(\mathcal{B}_\Lambda, \mathcal{B}_\Lambda)$.

The following lemma was proved in Just-Miller-Scheepers-Szeptycki [17].

Lemma 3.7. *If there is a continuous image of X in $[\mathbb{N}]^{\aleph_0}$ that is a subbase for a nonprincipal ultrafilter on \mathbb{N} , then X does not satisfy $\text{Split}(\Omega, \Omega)$.*

As $L_0 \cup L_1$ is a subbase for a nonprincipal ultrafilter on \mathbb{N} , it does not satisfy $\text{Split}(\Omega, \Omega)$. □

It follows that no property between $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Omega)$ and $\text{Split}(\Omega, \Omega)$ is provably additive.

4. Consistently positive results

4.1. On the Scheepers diagram

The following theorem is folklore.

Theorem 4.1. *It is consistent that all classes between $S_1(\Omega, \Gamma)$ and $S_1(\mathcal{O}, \mathcal{O})$ (inclusive) are σ -additive.*

PROOF – As $S_1(\mathcal{O}, \mathcal{O})$ implies strong measure zero, Borel’s Conjecture (which asserts that every strong measure zero set is countable) implies that all elements of $S_1(\mathcal{O}, \mathcal{O})$ are countable, and thus all classes below $S_1(\mathcal{O}, \mathcal{O})$ are σ -additive. Borel’s Conjecture was proved consistent by Laver [19]. \square

A variant of Borel’s Conjecture for $U_{fin}(\mathcal{O}, \Omega)$ is false [17, 25, 5, 32]. However, we have the following.

The following theorem is proved in Bartoszyński-Shelah-Tsaban [4] and in Zsomszky [35, 34].

Theorem 4.2. *If $u < g$, then $\text{add}(U_{fin}(\mathcal{O}, \Omega)) = \text{add}(S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Omega)) = c$.*

PROOF – In [35, 34] it is proved that $u < g$ implies that $U_{fin}(\mathcal{O}, \Omega) = S_{fin}(\mathcal{O}, \mathcal{O})$, and the same assertion holds in the Borel case. The theorem follows from Corollary 2.3, together with the fact that $u < g$ implies that $g = c$ [8]. \square

In the remainder of this section we will show that σ -additivity of $U_{fin}(\mathcal{O}, \Omega)$ (and $S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Omega)$) actually follow from the weaker axiom NCF, and that a suitable combinatorial version of this assertion actually gives a characterization of NCF.

In Theorem 3.2, $\mathbb{N}^{\mathbb{N}}$ can be replaced by $\mathbb{N}^{\uparrow\mathbb{N}}$ – the (strictly) increasing elements of $\mathbb{N}^{\mathbb{N}}$. To see this, note that the function $\Phi : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\uparrow\mathbb{N}}$ defined by

$$\Phi(f)(n) = n + f(0) + f(1) + \dots + f(n)$$

is a homeomorphism which preserves finite-dominance in both directions.

We now consider the purely combinatorial counterpart of the question whether $U_{fin}(\mathcal{O}, \Omega)$ is additive. Let \mathfrak{D}_{fin} denote the collection of subsets of $\mathbb{N}^{\uparrow\mathbb{N}}$ which are not finitely-dominating. By the previous comment,

$$\text{add}(\mathfrak{D}_{fin}) \leq \text{add}(U_{fin}(\mathcal{O}, \Omega)) \leq \text{add}(S_1(\mathcal{B}_\Gamma, \mathcal{B}_\Omega)).$$

Recall that for an increasing $h \in \mathbb{N}^{\mathbb{N}}$ and a filter $\mathcal{F} \subseteq [\mathbb{N}]^{\aleph_0}$,

$$\mathcal{F}/h = \{a/h : a \in \mathcal{F}\} = \left\{ a : \bigcup_{n \in a} [h(n), h(n+1)) \in \mathcal{F} \right\}.$$

(The first equality is the definition; the second an easy fact.) If \mathcal{F} is an ultrafilter, then so is \mathcal{F}/h . We say that filters \mathcal{F}_1 and \mathcal{F}_2 on \mathbb{N} are *compatible in the Rudin-Keisler order* (or, in short, *Rudin-Keisler compatible*) if there is an increasing $h \in \mathbb{N}^{\mathbb{N}}$ such that $\mathcal{F}_1/h \cup \mathcal{F}_2/h$ satisfies the finite intersection property (that is, it is a filter base). If $\mathcal{F}_1, \mathcal{F}_2$ are Rudin-Keisler compatible ultrafilters, then there is an increasing $h \in \mathbb{N}^{\mathbb{N}}$ such that $\mathcal{F}_1/h = \mathcal{F}_2/h$.

Definition 4.1. *NCF (near coherence of filters) is the assertion that every two nonprincipal ultrafilters on \mathbb{N} are Rudin-Keisler compatible.*

NCF is independent of ZFC [10, 11], and has many equivalent forms and implications (e.g., [6, 7]).

In the sequel, we often use the following convenient notation for $f, g \in \mathbb{N}^{\mathbb{N}}$:

$$[f \leq g] = \{n : f(n) \leq g(n)\}.$$

The following theorem is proved in Bartoszyński-Shelah-Tsaban [4].

Theorem 4.3. *NCF holds if, and only if, $\mathfrak{D}_{\text{fin}}$ is additive.*

PROOF – (\Rightarrow) Assume that $Y_1, Y_2 \in \mathfrak{D}_{\text{fin}}$. We may assume that all elements of Y_1 and Y_2 are strictly increasing and that Y_1 and Y_2 are closed under finite maxima. Thus, it suffices to show that

$$\{\max\{f_1, f_2\} : f_1 \in Y_1, f_2 \in Y_2\}$$

is not dominating. For each $i = 1, 2$, do the following: Choose an increasing $g_i \in \mathbb{N}^{\mathbb{N}}$ witnessing that Y_i is not dominating. The set $\{[f \leq g] : f \in Y_i\}$ has the finite intersection property. Extend it to a nonprincipal ultrafilter \mathcal{F}_i .

Fix an increasing $h \in \mathbb{N}^{\mathbb{N}}$ such that $\mathcal{F}_1/h \cup \mathcal{F}_2/h$ has the finite intersection property. Define $g \in \mathbb{N}^{\mathbb{N}}$ by $g(n) = \max\{g_1(h(n+1)), g_2(h(n+1))\}$ for each n . Given $f_1 \in Y_1, f_2 \in Y_2$, let a be the infinite set $[f_1 \leq g_1]/h \cap [f_2 \leq g_2]/h$.

For each $n \in a$ and each $i = 1, 2$, there is $k \in [h(n), h(n+1))$ such that $f_i(k) \leq g_i(k)$. Thus,

$$f_i(n) \leq f_i(h(n)) \leq f_i(k) \leq g_i(k) \leq g_i(h(n+1)) \leq g(n),$$

thus $\max\{f_1(n), f_2(n)\} \leq g(n)$ for all $n \in a$.

(\Leftarrow) We will use the following.

Lemma 4.1. *If NCF fails, then there exist ultrafilters \mathcal{F}_1 and \mathcal{F}_2 such that for each increasing $h \in \mathbb{N}^{\mathbb{N}}$ there exist $a_1 \in \mathcal{F}_1/h$ and $a_2 \in \mathcal{F}_2/h$ such that for all $n \in a_1$ and $m \in a_2$, $|n - m| > 1$.*

PROOF – Assume that \mathcal{F}_1 and \mathcal{F}_2 are Rudin-Keisler incompatible nonprincipal ultrafilters and let h be an increasing element of $\mathbb{N}^{\mathbb{N}}$. Define increasing $f_0, f_1 \in \mathbb{N}^{\mathbb{N}}$ by

$$\begin{aligned} f_0(n) &= h(2n) \\ f_1(n) &= h(2n+1) \end{aligned}$$

Then there exist

$$\begin{aligned} X_1 \in \mathcal{F}_1/f_0 & & X_2 \in \mathcal{F}_2/f_0 \\ Y_1 \in \mathcal{F}_1/f_1 & & Y_2 \in \mathcal{F}_2/f_1 \end{aligned}$$

such that the sets $X_1 \cap X_2 = Y_1 \cap Y_2 = \emptyset$.³ For $i = 1, 2$ let

$$\begin{aligned} \tilde{X}_i &= 2 \cdot X_i \cup (2 \cdot X_i + 1) \\ \tilde{Y}_i &= (2 \cdot Y_i + 1) \cup (2 \cdot Y_i + 2) \end{aligned}$$

Observe that $\tilde{X}_1 \cap \tilde{X}_2 = \tilde{Y}_1 \cap \tilde{Y}_2 = \emptyset$ either. Now,

$$\begin{aligned} \bigcup_{n \in \tilde{X}_i} [f_0(n), f_0(n+1)) &= \bigcup_{n \in \tilde{X}_i} [h(n), h(n+1)) \\ \bigcup_{n \in \tilde{Y}_i} [f_1(n), f_1(n+1)) &= \bigcup_{n \in \tilde{Y}_i} [h(n), h(n+1)) \end{aligned}$$

³Since nonprincipal filters are closed under finite modifications, we can shrink the elements to turn the finite intersection into an empty intersection.

therefore $\tilde{X}_i, \tilde{Y}_i \in \mathcal{F}_i/h$, thus $a_i = \tilde{X}_i \cap \tilde{Y}_i \in \mathcal{F}_i/h$. If $n \in a_1$ is even, then $n, n+1 \in \tilde{X}_1$, and $n-1, n \in \tilde{Y}_1$. Thus, if n is large enough, then $n, n+1 \notin \tilde{X}_2$, and $n-1, n \notin \tilde{Y}_2$, therefore $n-1, n, n+1 \notin a_2$. The case that $n \in a_1$ is odd is similar. \square

For a filter \mathcal{F} and an increasing $g \in \mathbb{N}^{\mathbb{N}}$, define

$$Y_{\mathcal{F},g} = \{f \in \mathbb{N}^{\mathbb{N}} : [f \leq g] \in \mathcal{F}\}.$$

Then $Y_{\mathcal{F},g} \in \mathfrak{D}_{\text{fin}}$. It therefore suffices to prove the following.

Lemma 4.2. *If \mathcal{F}_1 and \mathcal{F}_2 are as in Lemma 4.1, and $g(n) \geq 2n$ for each n , then $Y_{\mathcal{F}_1,g} \cup Y_{\mathcal{F}_2,g}$ is 2-dominating.*

PROOF – Let $f \in \mathbb{N}^{\mathbb{N}}$ be any increasing function. Define by induction

$$\begin{aligned} h(0) &= 0 \\ h(n+1) &= f(h(n)) + 1 \end{aligned}$$

By the assumption, there exist $a_1 \in \mathcal{F}_1/h$ and $a_2 \in \mathcal{F}_2/h$ such that for each $n \in a_1$ and $m \in a_2$, $|n - m| > 1$.

Fix $i < 2$. For each n , define

$$f_i(n) = \begin{cases} f(h(k-1)) + n - h(k-1) & n \in [h(k), h(k+1)) \text{ for } k \in a_i \\ f(h(k)) + n - h(k) & n \in [h(k), h(k+1)) \\ & \text{where } k \notin a_i, k+1 \in a_i \\ f(n) & \text{otherwise} \end{cases}$$

It is not difficult to verify that f_i is increasing.

For each $k \in a_i$ and $n \in [h(k), h(k+1))$,

$$\begin{aligned} f_i(n) &= f(h(k-1)) + n - h(k-1) \leq \\ &\leq h(k) + n - h(k-1) \leq h(k) + n \leq 2n \leq g(n). \end{aligned}$$

Therefore $f_i \in Y_{\mathcal{F}_i,g}$.

For each n let k be such that $n \in [h(k), h(k+1))$. If n is large enough, then either $k, k + 1 \notin a_1$, and therefore $f_1(n) = f(n)$, or else $k, k + 1 \notin a_2$, and therefore $f_2(n) = f(n)$, that is, $f(n) \leq \max\{f_1(n), f_2(n)\}$.⁴ \square

This completes the proof of Theorem 4.3. \square

Let $\text{add}(\mathfrak{D}_{\text{fin}}, \mathfrak{D})$ denote the minimal cardinality of a collection of members of $\mathfrak{D}_{\text{fin}}$ whose union is dominating. It is immediate that $\mathfrak{b} \leq \text{add}(\mathfrak{D}_{\text{fin}}, \mathfrak{D})$.

The following lemma is due to Blass [9].

Lemma 4.3. $\max\{\mathfrak{b}, \mathfrak{g}\} \leq \text{add}(\mathfrak{D}_{\text{fin}}, \mathfrak{D})$.

PROOF – We need only prove that $\mathfrak{g} \leq \text{add}(\mathfrak{D}_{\text{fin}}, \mathfrak{D})$. Assume that $\kappa < \mathfrak{g}$ and $Y_\alpha \in \mathfrak{D}_{\text{fin}}$, $\alpha < \kappa$. We may assume each Y_α is closed under pointwise maxima of its finite subsets. For each α , let g_α be a witness for Y_α not being dominating, and extend $\{[f \leq g_\alpha] : f \in Y_\alpha\}$ to a nonprincipal ultrafilter \mathcal{F}_α on \mathbb{N} .

We will use the following “morphism”.

The following lemma is due to Mildenerger [20, 21].

Lemma 4.4. For each $f \in \mathbb{N}^{\mathbb{N}}$ and each ultrafilter \mathcal{U} ,

$$\mathcal{G}_{\mathcal{U},f} = \{a \in [\mathbb{N}]^{\aleph_0} : f \leq_{\mathcal{U}} a^+\}$$

is groupwise dense.

PROOF – Clearly, $\mathcal{G}_{\mathcal{U},f}$ is closed under taking almost subsets. Assume that $\{[h(n), h(n+1)) : n \in \omega\}$ is an interval partition of ω . By merging consecutive intervals we may assume that for each n , and each $k \in [h(n), h(n+1))$, $f(k) \leq h(n + 2)$.

Since \mathcal{U} is an ultrafilter, there exists $\ell \in \{0, 1, 2\}$ such that

$$a_\ell = \bigcup_n [h(3n + \ell), h(3n + \ell + 1)) \in \mathcal{U}$$

Take $a = a_{\ell+2 \bmod 3}$. For each $k \in a_\ell$, let n be such that $k \in [h(3n + \ell), h(3n + \ell + 1))$. Then $f(k) \leq h(3n + \ell + 2) = a^+(k)$. Thus $a \in \mathcal{G}_{\mathcal{U},f}$. \square

⁴In fact we get equality here.

Thus, we can take $a \in \bigcap_{\alpha < \kappa} \mathcal{G}_{\mathcal{U}_\alpha, g_\alpha}$, and $g = a^+$ will witness that $\bigcup_{\alpha < \kappa} Y_\alpha$ is not dominating. \square

Theorem 4.4. *If $\mathfrak{D}_{\text{fin}}$ is additive (equivalently, NCF holds), then it is $\text{add}(\mathfrak{D}_{\text{fin}}, \mathfrak{D})$ -additive and therefore $\max\{\mathfrak{b}, \mathfrak{g}\}$ -additive. In particular, in this case it is σ -additive.*

PROOF – Assume that $\kappa < \text{add}(\mathfrak{D}_{\text{fin}}, \mathfrak{D})$ and $Y_\alpha \in \mathfrak{D}_{\text{fin}}$, $\alpha < \kappa$. We may assume that each Y_α is closed under pointwise maxima of finite subsets, and that the family $\{Y_\alpha : \alpha < \kappa\}$ is additive. It follows that

$$\text{maxfin} \left(\bigcup_{\alpha < \kappa} Y_\alpha \right) = \bigcup_{\alpha < \kappa} Y_\alpha$$

and is therefore not dominating. Thus, $\bigcup_{\alpha < \kappa} Y_\alpha \in \mathfrak{D}_{\text{fin}}$.

The second assertion follows from Lemma 4.3. \square

Corollary 4.1. *If NCF holds, then*

$$\max\{\mathfrak{b}, \mathfrak{g}\} \leq \text{add}(\mathcal{U}_{\text{fin}}(\mathcal{O}, \Omega)) \leq \text{add}(\mathcal{S}_1(\mathcal{B}_\Gamma, \mathcal{B}_\Omega)) \leq \text{cf}(\mathfrak{d}) = \mathfrak{d}.$$

Added in proof: Banach and Zdomskyy improved Theorem 4.4 and Corollary 4.1 by showing that NCF implies that the mentioned additivity numbers are equal to \mathfrak{d} .

Problem 4.1. *Is any of the classes $\mathcal{S}_{\text{fin}}(\Omega, \Omega)$, $\mathcal{S}_1(\Gamma, \Omega)$, and $\mathcal{S}_{\text{fin}}(\Gamma, \Omega)$ consistently additive?*

For the Borel case there remains only one unsolved class.

Problem 4.2. *Is $\mathcal{S}_{\text{fin}}(\mathcal{B}_\Omega, \mathcal{B}_\Omega)$ consistently additive?*

4.2. On splitting properties

The following theorem is due to Zdomskyy [35, 34].

Theorem 4.5. *It is consistent that $\text{add}(\text{Split}(\Lambda, \Lambda)) = \text{add}(\text{Split}(\mathcal{B}_\Lambda, \mathcal{B}_\Lambda)) = \mathfrak{b} = \mathfrak{u}$.*

PROOF – In [35, 34] it is proved that $\mathfrak{u} < \mathfrak{g}$ implies that $\text{Split}(\Lambda, \Lambda) = \text{U}_{fin}(\mathcal{O}, \Gamma)$, and the same assertion holds in the Borel case. The theorem follows from Corollary 2.2, together with the fact that $\mathfrak{u} < \mathfrak{g}$ implies that $\mathfrak{b} = \mathfrak{u}$ [8]. \square

The last theorem implies that one cannot obtain a negative solution to Problem 2.4 in ZFC.

5. τ -covers

\mathcal{U} is a τ -cover of X if it is a large cover of X (that is, each member of X is contained in infinitely many members of the cover), and for all $x, y \in X$, (at least) one of the sets $\{U \in \mathcal{U} : x \in U, y \notin U\}$ and $\{U \in \mathcal{U} : y \in U, x \notin U\}$ is finite. τ -covers are motivated by the *tower number* \mathfrak{t} [28] and were incorporated into the framework of selection principles in [29]. Every open τ -cover of a set of reals contains a countable τ -cover of that set [31]. Let \mathbb{T} and $\mathcal{B}_{\mathbb{T}}$ denote the collections of countable open and Borel τ -covers of X , respectively.

5.1. On the Scheepers diagram

Taking \mathbb{T} into account and removing trivial properties and known equivalences, we obtain the diagram in Figure 2 [29, 22]. In this diagram too, the critical cardinality of each property appears below it. A similar diagram, with several additional equivalences, is available in the Borel case [29].

Proposition 5.1. $S_1(\mathbb{T}, \mathcal{O})$ and $S_1(\mathcal{B}_{\mathbb{T}}, \mathcal{B})$ are σ -additive.

PROOF – As in Proposition 2.1. \square

Definition 5.1. For each countable cover of X enumerated bijectively as $\mathcal{U} = \{U_n\}_{n \in \mathbb{N}}$ we associate the Marczewski function $h_{\mathcal{U}} : X \rightarrow P(\mathbb{N})$, defined by $h_{\mathcal{U}}(x) = \{n : x \in U_n\}$ for each $x \in X$.

\mathcal{U} is a large cover of X if, and only if, $h_{\mathcal{U}}[X] \subseteq [\mathbb{N}]^{\aleph_0}$. Let $Y \subseteq [\mathbb{N}]^{\aleph_0}$. Y is *centered* if for each finite $F \subseteq Y$, $\cap F$ is infinite. A set $a \in [\mathbb{N}]^{\aleph_0}$ is a *pseudo-intersection* of Y if $a \subseteq^* y$ for all $y \in Y$. Y is *linearly quasiordered* by \subseteq^* if

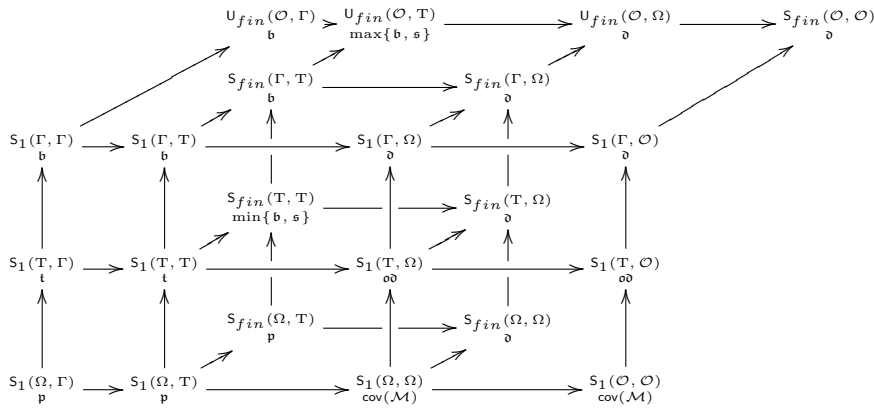


Figure 2 – The Scheepers diagram, enhanced with τ -covers

for all $y, z \in Y$, $y \subseteq^* z$ or $z \subseteq^* y$. Note that if Y has a pseudo-intersection or is linearly quasiordered by \subseteq^* , then Y is centered.

The following lemma is due to Tsaban [28].

Lemma 5.1. Assume that \mathcal{U} is a countable large cover of X .

1. \mathcal{U} is an ω -cover of X if, and only if, $h_{\mathcal{U}}[X]$ is centered;
2. \mathcal{U} contains a γ -cover of X if, and only if, $h_{\mathcal{U}}[X]$ has a pseudo-intersection;
3. \mathcal{U} is a τ -cover of X if, and only if, $h_{\mathcal{U}}[X]$ is linearly quasiordered by \subseteq^* .

For families $\mathcal{B} \subseteq \mathcal{A}$ of covers of a space X , define the property \mathcal{A} choose \mathcal{B} as follows.

$(\mathcal{A}/\mathcal{B})$: For each $\mathcal{U} \in \mathcal{A}$, there is $\mathcal{V} \subseteq \mathcal{U}$ such that $\mathcal{V} \in \mathcal{B}$.

This is a prototype for many classical topological notions, most notably compactness and being Lindelöf.

The following theorem is due to Tsaban [29].

Theorem 5.1. $\text{add}(\binom{\mathbb{T}}{\Gamma}) = \text{add}(\binom{\mathcal{B}_{\mathbb{T}}}{\mathcal{B}_{\Gamma}}) = \mathfrak{t}$.

PROOF – We prove the open case. Assume that $\kappa < \mathfrak{t}$, and let X_α , $\alpha < \kappa$, be sets satisfying $\left(\frac{\mathfrak{T}}{\Gamma}\right)$. Let \mathcal{U} be a countable open τ -cover of $X = \bigcup_{\alpha < \kappa} X_\alpha$. By Lemma 5.1, $h_{\mathcal{U}}[X] = \bigcup_{\alpha < \kappa} h_{\mathcal{U}}[X_\alpha]$ is linearly quasiordered by \subseteq^* . Since each X_α satisfies $\left(\frac{\mathfrak{T}}{\Gamma}\right)$, for each α \mathcal{U} contains a γ -cover of X_α , that is, $h_{\mathcal{U}}[X_\alpha]$ has a pseudo-intersection.

The following lemma is due to Tsaban [28].

Lemma 5.2. *Assume that $Y \subseteq [\mathbb{N}]^{\aleph_0}$ is linearly quasiordered by \subseteq^* , and for some $\kappa < \mathfrak{t}$, $Y = \bigcup_{\alpha < \kappa} Y_\alpha$ where each Y_α has a pseudo-intersection. Then Y has a pseudo-intersection.*

PROOF – If for each $\alpha < \kappa$ Y_α has a pseudo-intersection $y_\alpha \in Y$, then a pseudo-intersection of $\{y_\alpha : \alpha < \kappa\}$ is also a pseudo-intersection of Y . Otherwise, there exists $\alpha < \kappa$ such that Y_α has no pseudo-intersection $y \in Y$. That is, for all $y \in Y$ there exists a $z \in Y_\alpha$ such that $y \not\subseteq^* z$; thus $z \subseteq^* y$. Therefore, a pseudo-intersection of Y_α is also a pseudo-intersection of Y . □

By Lemma 5.2, $h_{\mathcal{U}}[X]$ has a pseudo-intersection, that is, \mathcal{U} contains a γ -cover of X . □

Corollary 5.1. $\text{add}(\mathfrak{S}_1(\mathfrak{T}, \Gamma)) = \text{add}(\mathfrak{S}_1(\mathfrak{B}_\mathfrak{T}, \mathfrak{B}_\Gamma)) = \mathfrak{t}$.

PROOF – Note that

$$\mathfrak{S}_1(\mathfrak{T}, \Gamma) = \left(\frac{\mathfrak{T}}{\Gamma}\right) \cap \mathfrak{S}_1(\Gamma, \Gamma).$$

It follows that $\text{add}(\mathfrak{S}_1(\mathfrak{T}, \Gamma))$ is at least the minimum of the additivity numbers of $\left(\frac{\mathfrak{T}}{\Gamma}\right)$ and $\mathfrak{S}_1(\Gamma, \Gamma)$, which are \mathfrak{t} (Theorem 5.1) and \mathfrak{h} (Theorem 2.2), respectively. As $\mathfrak{t} \leq \mathfrak{h}$ [8], $\text{add}(\mathfrak{S}_1(\mathfrak{T}, \Gamma)) \geq \mathfrak{t}$. On the other hand, $\text{add}(\mathfrak{S}_1(\mathfrak{T}, \Gamma)) \leq \text{non}(\mathfrak{S}_1(\mathfrak{T}, \Gamma)) = \mathfrak{t}$ (Figure 2).

In the Borel case use $\text{add}(\mathfrak{S}_1(\mathfrak{B}_\Gamma, \mathfrak{B}_\Gamma)) = \mathfrak{b} \geq \mathfrak{t}$ (Theorem 2.2). □

Note that $\mathfrak{S}_{fin}(\Omega, \mathfrak{T})$ implies $\left(\frac{\Omega}{\mathfrak{T}}\right)$.

The following corollary is due to Tsaban [29].

Corollary 5.2. *Assume the Continuum Hypothesis. Then no class between $\mathfrak{S}_1(\mathfrak{B}_\Omega, \mathfrak{B}_\Gamma)$ and $\left(\frac{\Omega}{\mathfrak{T}}\right)$ (inclusive) is additive.*

PROOF – By Theorem 3.1, there are sets A and B satisfying $S_1(\mathcal{B}_\Omega, \mathcal{B}_\Gamma)$, such that $A \cup B$ does not satisfy $S_1(\Omega, \Gamma)$. Now,

$$S_1(\Omega, \Gamma) = \left(\begin{matrix} \Omega \\ \Gamma \end{matrix} \right) \cap S_1(\mathbb{T}, \Gamma),$$

and by Corollary 5.1, $A \cup B$ satisfies $S_1(\mathbb{T}, \Gamma)$. Thus, $A \cup B$ does not satisfy $\left(\begin{matrix} \Omega \\ \Gamma \end{matrix} \right)$. \square

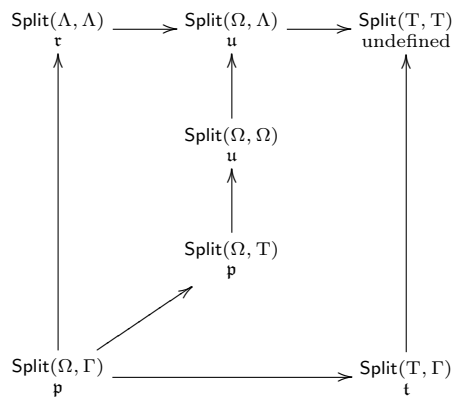
Problem 5.1. *Is any of the properties $S_1(\mathbb{T}, \mathbb{T})$, $S_{fin}(\mathbb{T}, \mathbb{T})$, $S_1(\Gamma, \mathbb{T})$, $S_{fin}(\Gamma, \mathbb{T})$, and $U_{fin}(\mathcal{O}, \mathbb{T})$ (or any of their Borel versions) provably (or at least consistently) additive?*

Zdomskyy [36] proved that $U_{fin}(\mathcal{O}, \mathbb{T})$ is consistently additive.

Problem 5.2. *Is any of the classes $S_{fin}(\Omega, \Gamma)$, $S_1(\mathbb{T}, \Omega)$, and $S_{fin}(\mathbb{T}, \Omega)$ consistently additive?*

5.2. On splitting properties

Here, taking \mathbb{T} into account and removing trivialities and equivalences, we obtain the following diagram (in the open case, and a similar one in the Borel case) [31]:



We also have that $Split(\mathbb{T}, \Gamma) = \left(\begin{matrix} \mathbb{T} \\ \Gamma \end{matrix} \right)$ [31]. By Theorem 5.1, $add(Split(\mathbb{T}, \Gamma)) = t$.

The following theorem is due to Tsaban [31].

Theorem 5.2. $u \leq \text{add}(\text{Split}(\mathbb{T}, \mathbb{T}))$.

PROOF – A nonprincipal ultrafilter U on \mathbb{N} is called a *simple P -point* if there exists a base B for U such that B is linearly quasiordered by \subseteq^* . We call such a base a *simple P -point base*.

Lemma 5.3. X satisfies $\text{Split}(\mathbb{T}, \mathbb{T})$ if, and only if, for each countable open τ -cover \mathcal{U} of X , $h_{\mathcal{U}}[X]$ is not a simple P -point base.

Thus, our theorem follows from the following Ramseyan property.

Lemma 5.4. Assume that $\lambda < u$ and $B = \bigcup_{\alpha < \lambda} B_{\alpha}$ is a simple P -point base. Then there exists $\alpha < \lambda$ such that B_{α} is a simple P -point base.

PROOF – Assume that B is a simple P -point base and U is the simple P -point it generates. In particular, B is linearly ordered by \subseteq^* . We will show that some B_{α} is a base for U . Assume otherwise. For each $\alpha < \lambda$ choose $a_{\alpha} \in U$ that witnesses that B_{α} is not a base for U , and $\tilde{a}_{\alpha} \in B$ such that $\tilde{a}_{\alpha} \subseteq^* a_{\alpha}$. As B is linearly ordered by \subseteq^* , \tilde{a}_{α} is a pseudo-intersection of B_{α} .

The cardinality of the linearly ordered set $Y = \{\tilde{a}_{\alpha} : \alpha < \lambda\}$ is smaller than u . Thus it is not a base for U and we can find again an element $a \in \mathcal{F}$ which is a pseudo-intersection of Y , and therefore of B ; a contradiction. \square

This completes the proof of Theorem 5.2. \square

Consistently, there are no P -points [3]. By Lemma 5.3, in such a model $\text{Split}(\mathbb{T}, \mathbb{T}) = P(\mathbb{R})$ and therefore $\text{add}(\text{Split}(\mathbb{T}, \mathbb{T}))$ is undefined.

Note that $\text{Split}(\Omega, \mathbb{T})$ implies $\binom{\Omega}{\mathbb{T}}$, and since $\text{Split}(\mathcal{B}_{\Omega}, \mathcal{B}_{\Gamma}) = \binom{\mathcal{B}_{\Omega}}{\mathcal{B}_{\Gamma}} = S_1(\mathcal{B}_{\Omega}, \mathcal{B}_{\Gamma})$, we have by Corollary 5.2 that no class between $\text{Split}(\mathcal{B}_{\Omega}, \mathcal{B}_{\Gamma})$ and $\text{Split}(\Omega, \mathbb{T})$ (inclusive) is provably additive.

Thus, $\text{Split}(\Omega, \Lambda)$, $\text{Split}(\mathbb{T}, \mathbb{T})$, and $\text{Split}(\mathbb{T}, \Gamma)$ are (provably) σ -additive, whereas $\text{Split}(\Omega, \Omega)$, $\text{Split}(\Omega, \mathbb{T})$, and $\text{Split}(\Omega, \Gamma)$ are not provably additive. The situation for $\text{Split}(\Lambda, \Lambda)$ is Problem 2.4.

Problem 5.3. Improve the lower bound or the upper bound in the inequality $\aleph_1 \leq \text{add}(\text{Split}(\Omega, \Lambda)) \leq \mathfrak{c}$.

Problem 5.4. Can the lower bound u on $\text{add}(\text{Split}(\mathbb{T}, \mathbb{T}))$ be improved?

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