Automatic Identification of Conceptual Metaphors With Limited Knowledge

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Abstract
Complete natural language understanding requires identifying and analyzing the meanings of metaphors, which are ubiquitous in text and speech. Underlying metaphors in language are conceptual metaphors, partial semantic mappings between disparate conceptual domains. Though some good results have been achieved in identifying linguistic metaphors over the last decade, little work has been done to date on automatically identifying conceptual metaphors. This paper describes research on identifying conceptual metaphors based on corpus data. Our method uses as little background knowledge as possible, to ease transfer to new languages and to minimize any bias introduced by the knowledge base construction process. The method relies on general heuristics for identifying linguistic metaphors and statistical clustering (guided by Wordnet) to form conceptual metaphor candidates. Human experiments show the system effectively finds meaningful conceptual metaphors.

Introduction
Metaphor is ubiquitous in human language, and so identifying and understanding metaphorical language is a key factor in understanding natural language text. Metaphorical expressions in language, so-called linguistic metaphors, are derived from and justified by underlying conceptual metaphors (Lakoff and Johnson 1980), which are cognitive structures that map aspects of one conceptual domain (the source concept) to another, more abstract, domain (the target concept). A large and varied scientific literature has grown over the last few decades studying linguistic and conceptual metaphors, in fields including linguistics (e.g., (Johnson, Lakoff, and others 2002; Boers 2003)), psychology (e.g., (Gibbs Jr 1992; Allbritton, McKoon, and Gerrig 1995; Wickman et al. 1999)), cognitive science (e.g., (Rohrer 2007; Thibodeau and Boroditsky 2011)), literary studies (e.g., (Goguen and Harrell 2010; Freeman 2003)), and more.

In this paper, we describe a novel system for discovering conceptual metaphors using natural language corpus data. The system identifies linguistic metaphors and uses them to find meaningful conceptual metaphors. A key principle is to rely as little as possible on domain and language specific knowledge bases or ontologies, but rather more on analyzing statistical patterns of language use. We limit such use to generic lexical semantics resources such as Wordnet. Such a knowledge-limited, corpus-centric approach promises to be more cost effective in transferring to new domains and languages, and to potentially perform better when the underlying conceptual space is not easily known in advance, as, e.g., in the study of metaphor variation over time or in different cultures.

The system works at three interrelated levels of metaphor analysis (Figure 1): (i) linguistic metaphors (individual metaphoric expressions), (ii) nominal analogies (analogical mappings between specific nouns), and (iii) conceptual metaphors (mappings between concepts). For instance, the system, when given a text, might recognize the expression ‘open government’ as a linguistic metaphor. The system may then find the nominal analogy “government - door” based on that linguistic metaphor and others. Finally, by clustering this and other nominal analogies found in the data, the system may discover an underlying conceptual metaphor: “An ORGANIZATION is a CONNECTION”.

Related Work
There has been a fair bit of work on identifying linguistic metaphors, but considerably less on finding conceptual metaphors. Most such work relies strongly on predefined semantic and domain knowledge. We give a short summary of the most relevant previous work in this area.

Birke and Sarkar (2006) approach the problem as a classical word sense disambiguation (WSD) task. They reduce
nonliteral language recognition to WSD by considering literal and nonliteral usages to be different senses of a single word, and adapting an existing similarity-based word-sense disambiguation method to the task of separating verbs occurrences into literal and nonliteral usages.

Turney et al. (2011) identify metaphorical phrases by assuming that these phrases will consist of both a “concrete” term and an “abstract” term. In their work they derive an algorithm to define the abstractness of a term, and then use this algorithm to contrast the abstractness of adjective-noun phrases. The phrases where the abstractness of the adjective differs from the abstractness of the noun by a predetermined threshold are judged as metaphorical. This method achieved better results than Birke and Sarkar on the same data.

In regards to interpreting metaphors researchers have used both rule and corpus based approaches. In one of the most successful recent corpus-based approaches, Shutova et al. (2012) find and paraphrase metaphors by co-clustering nouns and verbs. The CorMet system (Mason 2004) finds metaphorical mappings, given specific datasets in disparate conceptual domains. That is, if a given domain focused on lab work and one focused on finance it may find a conceptual metaphor saying that LIQUID is related to MONEY.

Nayak & Mukerjee (2012) have created a system which learns Container, Object and Subject Metaphors from observing a video demonstrating these metaphors visually and also observing a written commentary based on these metaphors. The system is a grounded cognitive model which uses a rule based approach to learn metaphor. The system works quite well, however it is apparently only currently capable of learning a small number conceptual metaphors.

The Metaphor Analysis System

As discussed in the introduction, our metaphor analysis system works at three interrelated levels of metaphor analysis: finding linguistic metaphors, discovering nominal analogies and then clustering them to find meaningful conceptual metaphors. As shown in Figure 2, each level of metaphor analysis feeds into the next; all intermediate results are cached to avoid recomputing already-computed results. All levels of analysis rely on a large, parsed corpus for statistical analysis (the experiments described below use the COCA n-grams database). The system also currently uses a small amount of predefined semantic knowledge, including lexical semantics via Wordnet, dictionary senses via Wiktionary, and Turney’s ratings of the abstractness of various terms (this last is derived automatically from corpus statistics). Input texts are also parsed to extract expressions which are classified as metaphors or not as described below.

We note that the only manually-created background knowledge sources used are Wordnet and the number of definitions of words in Wiktionary; these are used in very circumscribed ways in the system, as described below. Other than those resources, the only language-dependence in the system is the use of a parser to find expressions of certain forms, such as verb-object pairs.

Linguistic Metaphors

The system uses a robust heuristic algorithm to identify linguistic metaphors. The method classifies expressions with specific syntactic forms as being either metaphorical or not based on consideration of two focal words in the expression. The types of expressions we consider are those delineated by (Krishnakumaran and Zhu 2007):

Type 1 The nouns of an identifying clause e.g., “My lawyer is a shark.”

Type 2 A lexical verb with its direct object, e.g., “He entered politics.”

Type 3 An adjective and the noun it describes, e.g., “sweet child,” or “The book is dead.”

We term the “metaphorical” term in each such expression the facet, and the noun it describes the target. Note that the facet expresses one aspect of a source domain used to understand the target metaphorically.

Our algorithm is based on the insight in Turney’s Concrete-Abstract algorithm (Turney et al. 2011), i.e., the assumption that a metaphor usually involves a mapping from a relatively concrete domain to a relatively abstract domain. However, we also take into account the specific conceptual domains involved. Literal use of a concrete facet will tend to be more salient for certain categories of concrete objects and not others. For example, in its literal use, the adjective “dark” may be associated with certain semantic categories such as Substance (e.g. “wood”) or Body Part (e.g. “skin”).

To illustrate the idea, consider the case of Type 3 metaphors, consisting of an adjective-noun pair. The algorithm assumes that if the modified noun belongs to one of the concrete categories associated with the literal use of the adjective then the phrase is probably non-metaphorical. Conversely, if the noun does not belong to one of the concrete categories associated with the literal use of the adjective then it is probably metaphorical. In a sense, this algorithm combines the notion of measuring concreteness and that of using selectional preferences, as has been well-explored in previous work on metaphor identification (Fass and Wilks 1983).

To illustrate the approach, consider analyzing an adjective-noun expression ⟨A, N⟩ such as “open government”. First, if the adjective A has a single dictionary definition then the phrase is labeled as non-metaphorical, since
metaphorical usage cannot exist for one sense only. Then, the algorithm verifies that the noun \( N \) belongs to at least one high-level semantic category (using the WordStat dictionary of semantic categories based on WordNet\(^1\)). If not, the algorithm cannot make a decision and stops. Otherwise, it identifies the \( n \) nouns most frequently collocated with \( A \), and chooses the \( k \) most concrete nouns (using the abstractness scale of (Turney et al. 2011)). The high-level semantic categories represented in this list by at least \( i \) nouns each are selected; if \( N \) does not belong to the any of them, the phrase is labeled as metaphorical, otherwise it is labeled as non-metaphorical.

Based on exploratory analysis of a development dataset, separate from our test set, we set \( n = 1000; k = 100; \) and \( i = 16 \).

**Nominal Analogies**

Once a set of metaphorical expressions \( S_M = \{\{f, n_i\}\} \) (each a pair of a facet and a target noun) is identified, we seek nominal analogies which relate two specific nouns, a source and a target. For example, if the system finds many different linguistic metaphors which can be interpreted as viewing governments as doors, we may have the nominal analogy “government \( \sim \) door.”

The basic idea behind the nominal analogy finding algorithm is that, since the metaphorical facets of a target noun are to be understood in reference to the source noun, we must find source/target pairs such that many of the metaphorical facets of the target are associated in literal senses with the source (see Figure 3). The stronger and more numerous these associations, the more likely the nominal analogy is to be real.

It should be noted that the system will not only find frequent associations. Since the association strength of each facet-noun pairing is measured by PMI, not its raw frequency, infrequent pairings can (and do) pop up as significant.

**Candidate generation.** We first find a set \( S_C \) of nouns as candidate source terms for nominal analogies. This set is the set of all nouns (in a given corpus) which have strong non-metaphoric associations with facets (adjectives or verbs) that are used in the linguistic metaphors in \( S_M \). We start with the set of all facets used in the linguistic metaphors in \( S_M \) which have a positive point-wise mutual information (PMI; cf. (Pantel and Lin 2002)) with some target term in the set. We then define the set \( S_C \) to consist of all other (non-target) nouns (in a given large corpus) associated with each of those facets (in the appropriate syntactic relationships) with a positive PMI. A higher threshold than 0 can also be set, though we haven’t seen that increase precision, and it may reduce recall.

For example, consider finding candidate source terms for the target term “government.” We first find all facets in linguistic metaphors identified by the system that are associated with “government” with a positive PMI. These include such terms as “better”, “big”, “small”, “divided”, “open”, “closed”, and “limited.” Each of these facets also are associated with other nouns in non-metaphorical senses. For instance, the terms “open” and “closed” are associated with the words “door”, “table”, “sky”, “arms”, and “house”.

**Identification.** To identify which pairs of candidate source terms in \( S_C \) and target terms are likely nominal analogies, we seek a measurement of the similarity of the non-metaphorical facets of each candidate with the metaphorical facets of each target.

To begin, we define for each facet \( f_i \) and noun (source or target) \( n_t \) the pair’s association score \( a_{ij} \) as its PMI, and the pair’s metaphoricity score, \( m_{ij} \) where \( m_{ij} = 1 \) if the pair is judged by the system to be metaphorical and \( m_{ij} = 0 \) if it is judged to be non-metaphorical. In our current system, all metaphoricity scores are either 1 or 0, but (as described below) we plan to generalize the scheme to allow different levels of confidence in linguistic metaphor classification.

We then define the a metaphor facet distribution (MFT) for facets given target terms by normalizing the product of association and metaphoricity scores:

\[
P_M(f_i | n_j) = \frac{a_{ij} m_{ij}}{\sum_{ij} a_{ij} m_{ij}}
\]

as well as a literal facet distribution (LFT), similarly, as:

\[
P_L(f_i | n_j) = \frac{a_{ij}(1 - m_{ij})}{\sum_{ij} a_{ij}(1 - m_{ij})}
\]

As noted above, we seek source term / target term pairs such that the metaphorical facets of the target term are likely to be literal facets of the source term and vice versa. A natural measure of this tendency for a candidate source noun \( n_s \) and candidate target noun \( n_t \) is the Jensen-Shannon divergence between the LFT of \( n_s \) and the MFT of \( n_t \),

\[
D_{JS}(P_L(\cdot | n_s) \mid P_M(\cdot | n_t))
\]

The larger the J-S divergence, the less likely the pair is to be a good nominal analogy.

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\(^1\)See [http://provalisresearch.com/](http://provalisresearch.com/).
We then compute a heuristic estimation of the probability that a noun pair is a nominal analogy:

$$P_{NA}(n_s, n_t) = e^{-\alpha(n_s)D_{JS}(P_L(n_s) || P_M(n_t))}$$

Where $\alpha(n_s)$ is the abstraction score of $n_s$—empirically, we find it useful to multiply the J-S divergence by the abstractness score of the candidate source noun, since good source nouns tend to be more concrete (since metaphors typically map a concrete source domain to an abstract target domain).

The output of this process is a set of potential nominal analogies, $S_A = \{(n_s, n_t)\}$, each a pair of a source noun and a target noun, with an associated confidence given by $P_{NA}$. We note that at this stage recall is more important than precision, since many erroneous nominal analogies are filtered out when nominal analogies are clustered to find conceptual metaphors.

### Conceptual Metaphors

After computing a set of nominal analogies $S_A$ as above, the system seeks a set of plausible conceptual metaphors that parsimoniously covers the most likely ones. A conceptual metaphor (CM) can be represented as a pair $\langle C_s, C_t \rangle$ of a source concept $C_s$ and a target concept $C_t$.

Which concept pairs most likely represent conceptual metaphors? We consider three types of conditions: (i) consistency of the pair with linguistic metaphors in the corpus, (ii) the semantic relationship between the two concepts, and (iii) properties of each of the concepts on their own.

To address the first, consistency with the corpus, let us consider a concept as a set of nouns, representing the set of objects that are instances of the given concept. A concept pair $CP = \langle C_s, C_t \rangle$ thus may be viewed as the set of noun pairs $NP(CP) = \{(n_s, n_t) | n_s \in C_s, n_t \in C_t \}$, each of which is a potential nominal analogy. The more such pairs are high-scoring NAs, and the higher their scores, the more likely $CP$ is to be a conceptual metaphor. As well, we may consider the facets that join each of these NAs; if the same facets join many of the NAs for $CP$, it is more likely to be a conceptual metaphor.

Regarding the semantic relationship of the two concepts, we require that the target concept $C_t$ be more abstract than the source concept $C_s$; the larger the gap in abstractness, the more likely $CP$ is to be a conceptual metaphor.

Finally, each of the concepts should be semantically coherent, and the source concept should be comparatively concrete.

Currently, to ensure that concepts are semantically coherent we consider only subsets of nouns which are all hyponyms of a single Wordnet synset, by which synset we represent the concept\(^2\). (In future work, we will seek to remove this dependence on Wordnet, by using distributional measures to determine semantic coherence.)

\(^2\)Our approach here is similar to that of (Ahrens, Chung, and Huang 2004), though they rely much more strongly on Wordnet to determine conceptual mappings.

The rest of the conditions amount to the following hard and soft constraints:

- $\alpha(C_t) > \alpha(C_s)$;
- The larger $\alpha(C_t) - \alpha(C_s)$, the better;
- The more possible facets associated with covered nominal analogies, the better;
- The higher $\sum_{(n_s, n_t) \in NP(CP)} P_{NA}(n_s, n_t)$, the better;
- The lower $\alpha(C_s)$, the better; and
- The more elements in $NP(CP)$, the better.

We thus may define an overall score for each pair of concepts $\langle C_s, C_t \rangle$ as:

$$\frac{(\alpha(C_t) - \alpha(C_s)) \phi(CP) \log \sum_{(n_s, n_t) \in NP(CP)} P_{NA}(n_s, n_t)}{\alpha(C_s)^2}$$

(1)

where

$$\phi(\langle C_s, C_t \rangle) = \frac{|NA(\langle C_s, C_t \rangle)|}{\max(|C_s|, |C_t|)^2}$$

such that $NA(\langle C_s, C_t \rangle) = \{(n_s, n_t) | P_{NA}(n_s, n_t) > \frac{1}{2}\}$ is the set of all likely nominal analogies for the concept pair. The function $\phi$ thus gives the fraction of all possible such nominal analogies that are actually found.

We thus filter all concept pairs that satisfy the hard constraints above, and have at least a threshold number of shared facets (in our experiments 5 worked well), ranking them by the scoring function in equation (1). We then remove any concept pairs that are subsumed by higher-scoring pairs, where $\langle C_s, C_t \rangle$ subsumes $\langle C'_s, C'_t \rangle$ iff:

- $C'_s$ is a hyponym of $C_s$ and $C'_t$ is a hyponym of $C_t$ or $C'_t = C_t$

- or

- $C'_t$ is a hyponym of $C_t$ and $C'_s$ is a hyponym of $C_s$ or $C'_s = C_s$

The result is a ranked list of concept pairs, each with a list of facets that connect relevant source terms to target terms. The pair of concepts constitutes a conceptual metaphor as found by the system, and the distribution of facets is a representation of the conceptual frame, i.e., the aspects of the source concept that are mapped to the target concept.

### Evaluation

#### Linguistic Metaphors

We evaluated linguistic metaphor identification based on a selection of metaphors hand-annotated in the Reuters RCV1 dataset (Lewis et al. 2004).

For feasibility, we considered here all expressions of Types 1, 2, and 3 for a small number of target terms, “God”, “governance”, “government”, “father”, and “mother”. From each of the 342,000 texts we identified the first sentence (if any) including one of the target words. Each such sentence was parsed by the Stanford Dependency Parser (De Marneffe, MacCartney, and Manning 2006), from which we extracted expressions with the syntactic forms of Types 1, 2, and 3.
Table 1: Linguistic metaphor detection results for RCV1

<table>
<thead>
<tr>
<th>Metaphor Type</th>
<th>% metaphors</th>
<th>Precision</th>
<th>Recall</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type 1</td>
<td>66.7%</td>
<td>83.9%</td>
<td>97.5%</td>
</tr>
<tr>
<td>Type 2</td>
<td>51.4%</td>
<td>76.1%</td>
<td>82%</td>
</tr>
<tr>
<td>Type 3</td>
<td>24.2%</td>
<td>54.4%</td>
<td>43.5%</td>
</tr>
</tbody>
</table>

Four research assistants annotated these expressions to determine whether the key word in the expression is used in its most salient embodied/concrete sense or in a secondary, metaphorical sense. For instance, in the case of “bitter lemon” the first embodied definition of the adjective “bitter” is “Having an acrid taste.” Hence in this case, it is used literally. When asked to judge, on the other hand, whether the phrase “bitter relationship” is literal or metaphorical, that basic meaning of “bitter” is used to make a decision; as “relationship” cannot have an acrid taste, the expression is judged as metaphorical.

Annotators were given the entire sentence in which each expression appears, with the target word and related lexical units visually marked. Inter-annotator agreement, measured by Cronbach’s Alpha, was 0.78, 0.80 and 0.82 for expressions of Type 1, 2, and 3 respectively. The majority decision was used as the label for the expression in the testing corpus.

Results (precision and recall) are given in Table 1. Both precision and recall are significantly above baseline for all metaphor types. We do note that both precision and recall are notably lower for Type 3 metaphors, partly due to their much lower frequency in the data.

Conceptual Metaphors

To evaluate the validity of nominal analogies and conceptual metaphors found by our system, we ran the system to generated a set of linguistic metaphors, nominal analogies, and conceptual metaphors based on a slightly larger but more focused set of target terms related to the concept of “governance”. These terms were: “governance,” “government,” “authority,” “power,” “administration,” “administra-
tor,” “politics,” “leader,” and “regime.” The highest ranked conceptual metaphors found by the system are given in Table 2.

For evaluation, we recruited 21 naive subjects, 11 female, 10 male, with native fluency in American English. Subject age ranged from 19 to 50, averaging 25.5 years old. Education ranged from subjects currently in college to possessing master’s degrees; just over half of all subjects (12) had bachelor’s degrees.

Each subject was first given an explanation of what conceptual metaphors are in lay terms. The subject was then given a sequence of conceptual metaphors and asked whether each conceptual metaphor reflects a valid and meaningful conceptual metaphor in the subject’s own language. Some of the conceptual metaphors presented were those generated by the system, and others were foils, generated by randomly pairing source and target concepts from the system-generated results.

To evaluate these results, we computed the fraction of CMs validated by a majority of subjects and the fraction of the total number of trials that were validated by subjects. We also separately evaluated validation of the highest-ranking CMs, which were the top 19 out of the 32 total CMs found.

Results are given in Table 3. We note first of all that a clear majority of CMs produced by the system were judged to be meaningful by most subjects. Furthermore, CMs produced by the system are more likely to be validated by the majority of subjects than foils, which supports the hypothesis that system-produced CMs are meaningful; higher ranking CMs were more validated yet.

To evaluate the system’s framing of its conceptual metaphors, subjects were presented with a succession of word pairs and asked to judge the meaningfulness of each expression as a metaphor. Each primary stimulus represented a linguistic metaphor implied by a conceptual metaphor found by the system, comprising a pair of a facet word and a target word taken from the conceptual metaphor. (Note that such pairs are not necessarily linguistic metaphors found originally in the data.) In addition, we included a number of foils, which were based on randomly matching facets from one conceptual metaphor with target terms from different conceptual metaphors. We constructed foils in this way so as to give a meaningful baseline, however, this method
also tends to increase the rate at which subjects will accept
the foils.

Results for framing are given in Table 4. A large ma-

ority of CM framing statements were judged valid by most
subjects, supporting the validity of the systems framing of
conceptual metaphors.

Error analysis

Here we consider selected conceptual metaphors proposed
by the system and their component framing statements, and
discuss the system’s key weaknesses.

Consider the proposed conceptual metaphor AN IDEA IS
A GARMENT. The framing statements given for it are as
follows:

• An idea can be matching and a garment can be matching
• An idea can be light and a garment can be light
• An idea can be old and a garment can be old
• An idea can be net and a garment can be net
• An idea can be pink and a garment can be pink

While the notion of an idea being like a garment is plaus-
ible, with someone being able to put the idea on (consider
it true) or take it off (dismiss it), etc., many of the framing
statements are not clearly relevant, and a number seem to be
simply mistaken (e.g., “old idea” is likely literal).

We see a similar pattern for A REGIME IS A ROOM.
The framing statements the system gives for this conceptual
metaphor are as follows:

• A regime can be cold and a room can be cold
• A regime can be old and a room can be old
• A regime can be supported and a room can be supported
• A regime can be burning and a room can be burning
• A regime can be new and a room can be new
• A regime can be austere and a room can be austere

Again, the notion of thinking of a regime as a room is
cogent, with the members of the regime in the room, and
people can enter or leave, or be locked out, and so forth.
However, not all of these framing statements make sense.
One issue is that some of the facets are not specific to rooms
per se, but apply to many physical objects (old, shape, burn-
ing, new).

The two main (interrelated) difficulties seem to be (a)
finding the right level of abstraction for the concepts in
the conceptual metaphor, as related to the framing statements,
and (b) finding good framing statements.

One way to improve the system would be to first use
the current system to find proposed CMs and associated
framing statements, and then refine both the concepts in the CMs
and the associated framing statements. Refining the framing
statements would start by finding additional facets related
to the nominal analogies for each conceptual metaphor. For
A REGIME IS A ROOM, for example, it would be nice to
see facets such as "encompassing", "inescapable", or "af-
flecting". In addition we can cluster some of the facets such as
"burning/cold" into larger categories such as "temperature",
which give clearer pictures of the different aspects of the
source concept that are being mapped.

Conclusions

In this paper we have described a system which, start-
ing from corpus data, finds linguistic metaphors and clus-
ters them to find conceptual metaphors. The system uses
minimal background knowledge, consisting only of lexical
semantics in the form of Wordnet and Wiktionary, and
generic syntactic parsing and part-of-speech tagging. Even
with this fairly knowledge-poor approach, experiments show
the system to effectively find novel linguistic and conceptual
metaphors.

Our future work will focus on two main goals—

improving overall effectiveness of the system, and reducing
our already low reliance on background knowledge.

One key area for improvement is in the underlying LM
identification algorithms, by incorporating more linguistic
cues and improving the underlying syntactic processing. De-
veloping a good measure of system confidence in its clas-
sifications will also aid higher-level processing. More funda-
mentally, we will explore implementation of an iter-
ative EM-like process to refine estimations at all three lev-
els of metaphor representation. Hypothesized conceptual
metaphors can help refine decisions about what expressions
are linguistic metaphors, and vice versa. We will also apply
clustering to the facets within conceptual metaphors to im-
prove framing by finding the key aspects which are mapped
between source and target domains.

To reduce dependence on background knowledge, we
plan to use unsupervised clustering methods to find seman-
tically coherent word groups based on corpus statistics, such
as latent Dirichlet allocation (Blei, Ng, and Jordan 2003)
or spectral clustering (Brew and Schulte im Walde 2002),
which will reduce or eliminate our reliance on Wordnet. To
eliminate our need for Wiktionary (or a similar resource)
to determine polysemy, we will apply statistical techniques
such as in (Sprout and van Santen 1998) to estimate the level
of polysemy of different words from corpus data.

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